

16<sup>th</sup> April, 2021

<p>To The Listing Department, <b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001. Tel: 022-2272 1233/34 Fax: 022-2272 1919 Email: <a href="mailto:corp.relations@bseindia.com">corp.relations@bseindia.com</a> <a href="mailto:Corp.compliance@bseindia.com">Corp.compliance@bseindia.com</a></p> <p><b>Scrip code: 501242</b></p>	<p>To The Listing Department, <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051. Tel: 022-26598100/14 Fax: 022-26598120 Email: <a href="mailto:cmllist@nse.co.in">cmllist@nse.co.in</a></p> <p><b>Symbol: TCIFINANCE</b></p>
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Dear Sir/Madam,

**Sub: Compliance Certificate for half year ended 31<sup>st</sup> March, 2021 under Reg 7(3) of SEBI (LODR) Regulations, 2015.**

With reference to the subject cited above, we herewith forward the Compliance Certificate issued under Regulation 7(3) of SEBI (LODR) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking You.

Yours faithfully,

For TCI Finance Limited



**Srishti Soni**

**Company Secretary & Compliance Officer**



16<sup>th</sup> April, 2021

<p>To The Listing Department, <b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001. Tel: 022-2272 1233/34 Fax: 022-2272 1919 Email: <a href="mailto:corp.relations@bseindia.com">corp.relations@bseindia.com</a> <a href="mailto:Corp.compliance@bseindia.com">Corp.compliance@bseindia.com</a></p> <p><b>Scrip code: 501242</b></p>	<p>To The Listing Department, <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051. Tel: 022-26598100/14 Fax: 022-26598120 Email: <a href="mailto:cmlist@nse.co.in">cmlist@nse.co.in</a></p> <p><b>Symbol: TCIFINANCE</b></p>
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Dear Sir/Madam,

**Sub: Compliance Certificate for half year ended 31<sup>st</sup> March, 2021**  
**Ref: Regulation 7(3) of SEBI (LODR) Regulations, 2015**

This is to certify that the Company, M/s. TCI Finance Limited, has been maintaining all the activities in relation to both physical and electronic share transfer facility with **KFin Technologies Private Limited, (Formerly known as Karvy Fintech Private Limited)**, a Category I Registrar and Share Transfer Agent registered with SEBI vide registration no. INR000000221 having their office at Selenium Building, Tower B, Plot No 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy Telangana India – 500032, who are the registrars and transfer agent of the Company, as required under Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In conformity of the same a copy of the confirmation received from the RTA, **KFin Technologies Private Limited**, is attached herewith for your records.

Thanking You.

Yours faithfully,

For TCI Finance Limited



**Srishti Soni**

**Company Secretary**



**COMPLIANCE CERTIFICATE**

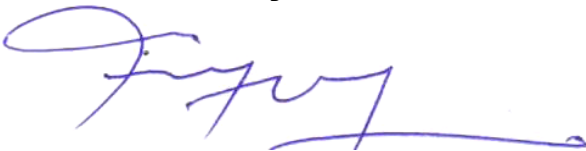
THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to TCI FINANCE LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFin Technologies Private Limited



Mohd Mohsin Uddin  
Senior Manager

Place : Hyderabad  
Date : 05/04/2021

**KFin Technologies Private Limited**  
(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana, India, 500032. Ph: +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN: U72400TG2017PTC117649