

RICO RICO AUTO INDUSTRIES LIMITED

REGD. & CORP. OFFICE : 38 KM STONE, DELHI-JAIPUR HIGHWAY, GURUGRAM - 122001, HARYANA (INDIA)
EMAIL : rico@ricoauto.in WEBSITE : www.ricoauto.in TEL : +91 124 2824000 FAX : +91 124 2824200
CIN : L34300HR1983PLC023187

RAIL:SEC:2023

April 17, 2023

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400001 Scrip Code - 520008	National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No.C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai - 400051 Scrip Code - RICOAUTO
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Sub : **Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed herewith a copy of Compliance Certificate issued by K.K. Sachdeva & Associates, Company Secretaries in practice for the year ended 31st March, 2023.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
for **Rico Auto Industries Limited**

B.M. Jhamb
Company Secretary
FCS : 2446

Encl : As above

K.K.SACHDEVA & ASSOCIATES
COMPANY SECRETARIES
201, 3RD FLOOR, DR. MUKHERJEE NAGAR, DELHI-110 009
E-mail: sachdevakk@hotmail.com, Ph. 011-40394670, 98110 71577

Date: 17.04.2023

TO WHOMSOEVER IT MAY CONCERN

CERTIFICATE AS PER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

Based on the documents and information provided by **Rico Auto Industries Limited** (the Company) and **M/S MCS Share Transfer Agents Limited**, Registrar and Transfer Agent having its office at F-65, 1st Floor, Phase-1 Okhla Industrial Area, New Delhi-110020, for the purpose of issuing certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India(Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- a. The Company has not received any transfer requests during the financial year ended 31st March, 2023 and accordingly no Share Certificates relating to Share Transfer Deeds were issued.
- b. The Company has received two requests during the financial year ended 31st March, 2023 for issue of duplicate share certificates and the Letter of Confirmation has been issued within the stipulated time. Further there was no request received for sub-division, consolidation, renewal, exchange or endorsement during the financial year ended 31st March, 2023.

For **K K SACHDEVA & ASSOCIATES**
Company Secretaries
(Firm No I2002DE298700)

KISHOR KUMAR SACHDEVA
Digitally signed by KISHOR KUMAR SACHDEVA
Date: 2023.04.17 10:21:12 +05'30'

K.K. SACHDEVA
Proprietor
FCS 7153, CP 4721
Peer Review 1684/2022
UDIN : F007153E000112632