



DB (INTERNATIONAL) STOCK BROKERS LTD.

- NSE & BSE: CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

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CIN: L67120GJ1992PLC121278

18th April 2022

To, The Manager, Listing Department, National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai - 400051 NSE Symbol: DBSTOCKBRO	To, The Manager, Department of Corporate Services, BSE Limited, Phiroze Jeejeebhoy Towers Dalal Street 28th Floor, Dalal Street, Mumbai- 400001 Company Code: 530393
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Dear Sir/Ma'am,

Sub: Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the year ended on 31.03.2022

Pursuant to Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we are enclosing certificate dated 15.04.2022 from the Practicing Company Secretary, certifying compliance with the aforesaid provisions for the year ended on 31.03.2022 (Period from 01.04.2021 to 31.03.2022).

This is for your information and records.

Thanking you,

Yours faithfully,

For and on behalf of
DB (International) Stock Brokers Limited


Pragya Singh
Company Secretary and Compliance Officer



Encl: As above



CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2022

We have examined the relevant books, registers, forms, documents and records of DB (International) Stock Brokers Limited (the Company), maintained and produced before us by ABHIPRA CAPITAL LIMITED, their Registrar & Transfer Agent, in respect of share transfers lodged for registration during the period 1st April, 2021 to 31st March 2022 for the purpose of issuing the certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

Based on our examination as well as information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purpose of certification, we hereby certify that in our opinion and according to the best of our information and belief, in relation to the period from 1st April, 2021 to 31st March 2022 –

- i. That no transfer request was received during the period from 1st April, 2021 to 31st March 2022 and no physical transfer request is pending as on the last date of transfer meeting held on 31st March 2022;
- ii. That no request was received for split. Duplicate, consolidation and subdivision during the period from 1st April, 2021 to 31st March 2022;
- iii. That request received for demat is – NSDL –NIL, CDSL-NIL, No of request rejected – NIL during the period from 1st April, 2021 to 31st March 2022;
- iv. That no request for Remat was received during the period from 1st April, 2021 to 31st March 2022.

Date: 15-04-2022

Place: Delhi

**For Surya Gupta & Associates
Company Secretaries**

SURYAKA Digitally signed by
SURYAKANT GUPTA
NT GUPTA Date: 2022.04.15
10:17:16 +05'30'

Suryakant Gupta
Practicing Company Secretary
COP No.: 10828
M. No.: F9250
Peer Review:907/2020
UDIN: F009250D000119954