



NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

April 3, 2023

The Deputy Manager
Department of Corporate Services
BSE Limited
P. J. Towers, Dalal Street, Fort
Mumbai – 400 001

Ref: Scrip Code 530557

Sub: Submission of Certificate u/r 7(3) of SEBI LODR Regulations 2015 for the Year ended on 31st March 2023

Respected Sir or Madam,

With reference to the above captioned matter, we are enclosing along with this letter, Certificate u/r 7(3) of SEBI LODR Regulations 2015, duly signed by RTA and Compliance Officer of the Company for the year ended on **31st March 2023**.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,
For **NCL RESEARCH & FINANCIAL SERVICES LIMITED**

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: As stated above



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To
BSE Limited
P. J. Towers, Dalal Street
Fort, Mumbai – 400001

Sub: Compliance Certificate under Regulation 7(3) of SEBI LOD Regulations 2015

Dear Sir,

We being the Company Secretary of the Company and the Authorized Representatives of the Share Transfer Agents do hereby certify that the **NCL Research & Financial Services Limited** having its Registered Office 3rd Floor, Bhagyodaya Building, 79, Nagindas Master Road, Fort, Mumbai-400 023 has appointed **Purva Sharegistry (India) Private Limited** as its Share Transfer Agents (STA) having its Registered Office/Place of activities at 9, Shiv Shakti Ind. Estate, Ground Floor, J. R. Boricha Marg, Lower Parel, Mumbai-400 011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112.

Further, **Purva Sharegistry (India) Private Limited** has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LOD) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of **NCL Research & Financial Services Limited** during the period from April 1, 2022 to March 31, 2023 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of **NCL Research & Financial Services Limited**.

For & on behalf of
NCL Research & Financial Services Limited

For & on behalf of
Purva Sharegistry (India) Private Limited

Goutam Bose
Managing Director

Deepali D.
Compliance Officer

Place : Mumbai
Date : 03/04/2023

Place : Mumbai
Date : 03/04/2023