AUTOMOTIVE AXLES LIMITED

7th May 2021

The BSE Limited Limited Phiroze Jeejeebhoy Towers G- Block Dalal Street Mumbai - 400 001 Scrip Code: 505010

National Stock Exchange

Exchange Plaza, Plot No. C/1,

Bandra (E) Mumbai - 400 051 Scrip Code: AUTOAXLES

Thru : BSE Listing Centre

Thru: NEAPS

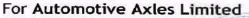
Dear Sir/Madam,

Sub: Reporting of violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated July 23, 2020

With reference to the above, please find enclosed herewith report on violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company by the Designated Persons and/or their immediate relatives.

This is for your information and record. Thanking you, Yours Truly,



Debadas Panda

Debadas Panda Company Secretary





Regd. Office & Mysuru Unit : Hootagalli Industrial Area, Off Hunsur Road, Mysuru – 570 018, Karnataka, India Telephone : 91-821-719 7500, Fax : 91-821-2402451 Email : <u>sec@autoaxle.com</u>, Website : <u>www.autoaxle.com</u> CIN : L51909KA1981PLC004198 ISO 9001:2015 / IATF 16949 : 2016, EMS : ISO : 14001:2015 & OHSAS : ISO : 45001 : 2018



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Reporting on Insider Trading violation under Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SN	Particulars	Details	
1.	Name of the listed company/	Automotive Axles Limited	
	Intermediary/Fiduciary		
2.	Please tick appropriate checkbox	Listed Company	
	Reporting in capacity of :		
	$\sqrt{1}$ Listed Company		
	Intermediary		
	Fiduciary		
3.	A. Details of Designated Person (DP)		
	i. Name of the DP	Krishnaprasad M V	
	ii. PAN of the DP	ACHPK6729R	
	iii. Designation of DP	Senior Manager	
	iv. Functional Role of DP	Costing	
	v. Whether DP is Promoter or belongs to	No	
	Promoter Group		
	B. If Reporting is for immediate relative of DP		
	i. Name of the immediate relative of DP	NA	
	ii. PAN of the immediate relative of DP	NA	
	C. Details of transaction(s)		
	i. Name of the scrip	Automotive Axles Limited	
		BSE:505010	
		NSE: AUTOAXLES	
	ii. No of shares traded and value (Rs.) (Date-	Sold 50 shares at Rs. 20,735/- on	
	wise)	April 08, 2020 & Sold 50 shares at	
		Rs. 21,268/- on April 09, 2020.	
	D. In case value of trade(s) is more than Rs.10 lac		
	i. Date of intimation of trade(s) by concerned	N.A.	
	DP/director/promoter/promoter group to		
	Company under regulation 7 of SEBI (PIT)		
	Regulations, 2015		
	ii. Date of intimation of trade(s) by Company to	N.A.	
	stock exchanges under regulation 7 of SEBI	N.7 C.	
	(PIT) Regulations, 2015		
4.	Details of violations observed under Code of	DP traded during the trading window	
	Conduct	closure period (Trading window was	
		closed from March 31, 2020 till May	
		28, 2021).	
F	Action taken by Listed company (Intermediant)	The Comments to als the full series	
5.	Action taken by Listed company/ Intermediary/	The Company took the following	
	Fiduciary	actions:	
		a. Sought explanation in writing for	
		the said violation; and	



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			b.Issued warning against any future violation.		
	Decemente dir contributione	for taking a stick			
6.	Reasons recorded in writing for taking action		Warning issued considering the		
	stated above		amount involved and the fact that this		
			was a first time violation by		
-		C . L	the DP and done inadvertently.		
7.	Details of the previous instances of violations, if		No		
	any, since last financial year If any amount collected for Code of Conduct violation(s)				
8.					
	i. Mode of transfer to SEBI - IPEF		None		
	(Online/Demand Draft)				
	ii. Details of transfer/ payment				
	In case of online:				
	Particulars	Details			
	Name of transferor	-			
	Bank Name, branch and	-			
	Account number				
	UTR/Transaction	-			
	reference Number				
	Transaction Date	-			
	Transaction Amount (in	-			
	Rs.)				
	In case of Demand Draft (DD): NA				
	Particulars	Details			
	Bank name and branch	-			
	DD Number	-			
	DD Date	-			
	DD Amount (in Rs.)	-			
9.	Any other relevant inform	ation	None		

For Automotive Axles Limited

Debadas Panda Company Secretary





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