

SRESTHA FINVEST LIMITED

Date: 10th April 2021

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarter and year ended 31/03/2021

With reference to the above subject we are herewith submitting the compliances pertaining to quarter and year ended 31/03/2021 are as follows:

1. Shareholding Pattern for the quarter ended 31st March 2021.
2. Practicing Company Secretary Certificate for the half year ended 31st March 2021 under Regulation 40 (9) of SEBI (LODR) Regulations, 2015.
3. Undertaking under Regulation 46 of SEBI (LODR) Regulations, 2015 for the quarter and year ended 31st March 2021.

This is for your information and records.

**Yours faithfully,
For Srestha Finvest Limited**

10-04-2021

X A. JITHENDRA KUMAR BAF...

Company Secretary & Compliance Officer
Signed by: A. Jithendra Kumar Bafna

Encl: as above



AXN PRABHU & ASSOCIATES
COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31ST MARCH 2021**

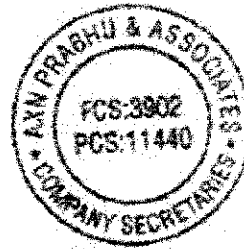
I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Srestha Finvest Limited** maintained by M/s. Purva Sharegistry (India) Private Limited pertaining to transfer of equity shares of the company for the period from **01st October 2020 to 31st March 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015, by, M/s. Srestha Finvest Limited and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 31st March 2021.

A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 01st October 2020 to 31st March 2021 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds. - **NIL**

B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment. - **NIL**

Date: 09/04/2021

Place: Chennai



AXN Prabhu & Associates
Company Secretary in Practice
FCS 3902 | C.P. No. 11440
UDIN: F003902C000034482