SWARAJ ENGINES LIMITED

Plot No. 2, Indl. Focal Point, Phase-IX, S.A.S. Nagar Distt. S.A.S. Nagar (Mohali)

Tel.: 0172-2234941-47, 2234950

(Near Chandigarh)

02/SP/EXCH 20th April, 2023

BSE Limited Listing Department

P.J. Towers, 1st Floor, Dalal Street, Fort, Mumbai - 400 001

Email: corp.relations@bseindia.com

Scrip Code: 500407

National Stock Exchange of India Limited

swaraj

Capital Market-Listing, Exchange Plaza,

Bandra Kurla Complex,

Bandra (E),

Mumbai- 400051

Email: cmlist@nse.co.in

Scrip Name: SWARAJENG

SUB: Certificate from Practicing Company Secretary u/r 40(9) of SEBI (LODR)

Regulation, 2015 for the financial year ended 31st March, 2023

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate from M/s A. Arora & Co. Practicing Company Secretaries, for the financial year ended 31st March, 2023.

Kindly take the above information on your record.

With regards,

For Swaraj Engines Limited

(Rajesh K. Kapila) **Company Secretary**

Encl: As above

Regd. Office: Industrial Area, Phase IV, S.A.S. Nagar, Mohali, Near Chandigarh-160 055 Tel.: 91-172-2271620-27, Fax: 91-172-2271307 & 2272731

> Website: www.swarajenterprise.com CIN - L50210PB1985PLC006473

AJAY K. ARORA LL.B., FCS, IP GST: 04ADSPA8498H1Z3

A. ARORA & CO.

Company Secretaries

Insolvency Professional

S.C.O. 64-65, 1ST FLOOR, SECTOR 17-A, MADHYA MARG, CHANDIGARH-160 017

Ph.: (O) 2701906

MOBILE: 98140-06492

E-MAIL: ajaykcs@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2023

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Swaraj Engines Limited maintained by M/s. MCS Share Transfer Agent Limited, Srivenkatesh Bhawan, F-65, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi - 110020 pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- During the financial year ended on 31st March, 2023, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange, except those rejected on technical grounds.

For A. Arora & Co.

Company Secretaries

C.P No.: 993

UDIN: F002191E000121794 Peer Review Cer No. 2120/2022

Date: 17.04.2023 Place : Chandigarh