



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB



VIL/SECRETARIAL/2020-21

Date: 13th May, 2020

BY LISTING PORTAL

National Stock Exchange of India Ltd,
Listing Department
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai – 400 051

Bombay Stock Exchange Ltd.,
Listing Department
Market Operations Dept.
P. J. Towers,
Dalal Street,
Mumbai - 400001

Dear Sir(s),

REF: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

With reference to the above caption, we hereby submit the Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 issued from Satish Batra & Associates LLP, Company Secretaries for the year ended on 31st March, 2020.

This is for your information and records.

Thanking You,
Yours Faithfully

For Vaswani Industries Limited

(Yashwant Vaswani)

Director

DIN: 01627408

Add: Vaswani Vatika, Opposite Gaurav Garden,
VIP Road, Amidih,
Raipur (C.G.) 492001



98931-26091, 0771-4048130
Email: satsihbatra40@yahoo.co.in

SATISH BATRA & ASSOCIATES LLP
COMPANY SECRETARIES

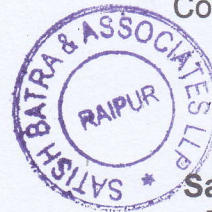
2nd floor, Ashoka Plaza, Ashoka Ratan,
Vidhan Sabha Marg, Shankar Nagar,
Raipur, 492007 (C. G.)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE YEAR ENDED ON 31ST
MARCH, 2020**

On the basis of confirmation received from **LINK INTIME INDIA PRIVATE LIMITED**, Registrar
& Share Transfer Agent of **VASWANI INDUSTRIES LIMITED**
(CIN:L28939CT2003PLC015964) the Company, we hereby certify that, there was no request
for transfer, sub-division, consolidation, renewal, endorsement, Transposition & Transmission
has been received by the Company during the period from **1st October, 2019 to 31st March,
2020.**

This certificate has been issued under Regulation 40(9) of the SEBI (Listing Obligations And
Disclosure Requirements) Regulation, 2015.

For **Satish Batra & Associates LLP**,
Company Secretaries



Satish Kumar Batra
Satish Kumar Batra
Designated Partner
FCS No.: 1316,
C.P. No. 2875
UDIN No: F001316B000224935

Date: 11th May, 2020
Place: Raipur (C.G.)



Link Intime India Pvt. Ltd.

CIN : U67190MH1999PTC118368
C-101, 247 Park, L.B.S. Marg,
Vikhroli (West), Mumbai - 400 083
Phone: +91 22 4918 6000
Fax: +91 22 4918 6060
Email: mumbai@linkintime.co.in
Website: www.linkintime.co.in

Date- 22nd April, 2020

To,
Company Secretary
Vaswani Industries Limited

Subject: Confirmation on Regulation 40(9)

Dear Sir / Madam,

We are the Registrar and Share Transfer Agent of Vaswani Industries Limited.

This is to certify that we have not received and processed any Transfer, Transmission and Name deletion request from the period 01.10.2019 to 31.03.2020.

This is for your information.

Thanking You!

For Link Intime India Pvt. Limited

Sd/-

Authorised Signatory