

May 27, 2022

**The Department of Corporate Services - CRD  
BSE Limited  
Pheeroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400 001**

**The Secretary  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor  
Plot No- 'C Block, G Block  
Bandra-Kurla Complex, Bandra (East)  
Mumbai 400 051**

**Scrip Code: 531595**

**Scrip Code: CGCL**

**Sub: Capri Global Capital Limited - Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir / Madam,

The details of violation of the Company's Code of internal procedures and conduct for Regulating, Monitoring and Reporting of Trading by Insiders (The Code) formulated under the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT Regulations) by Designated Person ("DP") along with the action taken by the Company thereon is enclosed as "Annexure A".

This disclosure is being made in terms of the SEBI PIT Regulations, applicable SEBI and Stock Exchange Circular(s).

Please take the above disclosure on record.

Thanking you,

Yours faithfully,

*for* **Capri Global Capital Limited**

**Yashesh Bhatt  
Company Secretary  
Membership No. - ACS 20491**

**Capri Global Capital Limited**

**Registered Office:**

CIN: L65921MH1994PLC173469 Regd. Off: 502, Tower A, Peninsula Business Park, Lower Parel, Mumbai – 400013.

☎ +9122 4088 8100/4354 8200 ✉ contact@capriglobal.in 🌐 www.capriglobal.in

**Annexure A**

**Report by Capri Global Capital Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

*[For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/ <del>Intermediary/Fiduciary</del>	Capri Global Capital Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Amar Rajpurohit
	ii. PAN of the DP	AUIPR2616P
	iii. Designation of DP	Business Head – Urban Retail
	iv. Functional Role of DP	Business
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Capri Global Capital Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	2000 Equity Shares bought on March 23, 2022, for total value of Rs. 12,33,652.10
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
i. Date of intimation of trade(s) by concerned DP / <del>director/ promoter/promoter group to Company</del> under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
ii. Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
4	Details of violations observed under Code of Conduct	Contra Trade
5	Action taken by Listed company/ <del>Intermediary/Fiduciary</del>	Penalty of Rs. 55,000/- issued to DP

<b>Sr. No.</b>	<b>Particulars</b>	<b>Details</b>												
6	Reasons recorded in writing for taking action stated above	The aforesaid action was taken after taking into account the following factors: <ol style="list-style-type: none"> <li>1. The quantum of shares involved;</li> <li>2. Gravity of a situation;</li> <li>3. It being second instance of non-compliance on the part of the DP.</li> </ol>												
7	Details of the previous instances of violations, if any, since last financial year	Sale of 835 Equity Shares on May 14, 2021, for total value of Rs. 383,265/- during the window closure period												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment in case of Online:													
		<table border="1"> <thead> <tr> <th><b>Particulars</b></th> <th><b>Details</b></th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Amar Rajpurohit</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>Bank: HDFC Bank Branch: Account Number: 06451050000602</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>N138221964137143</td> </tr> <tr> <td>Transaction date</td> <td>18.05.2022</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 50,000/-</td> </tr> </tbody> </table>	<b>Particulars</b>	<b>Details</b>	Name of the transferor	Amar Rajpurohit	Bank Name, branch and Account number	Bank: HDFC Bank Branch: Account Number: 06451050000602	UTR/Transaction reference Number	N138221964137143	Transaction date	18.05.2022	Transaction Amount (in Rs.)	Rs. 50,000/-
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Transaction Amount (in Rs.)	Rs. 5,000/-													
9	Any other relevant information	None												

Yours faithfully,  
for **Capri Global Capital Limited**

**(Yashesh Bhatt)**  
**Company Secretary**  
PAN: AFLPB5264R

E-mail ID: [Yashesh.Bhatt@capriglobal.in](mailto:Yashesh.Bhatt@capriglobal.in), [secretarial@capriglobal.in](mailto:secretarial@capriglobal.in)

Place: Mumbai

Date: May 27, 2022

Encl.: As above

