



Share Department, Board & Coordination Division, HO Plot No.4 Sector 10, Dwarka, New Delhi-110075
Tel No. : 011-28044857, E-mail: hosd@pnb.co.in

Scrip Code : PNB The Asstt. Vice President National Stock Exchange of India Limited "Exchange Plaza" Bandra – Kurla Complex, Bandra (E) Mumbai – 400 051	Scrip Code : 532461 The Dy. General Manager BSE Limited 1 st Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001
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Date: 11.03.2022

Dear Sir/Ma'am,

Sub: Report on Contravention of PNB's Code of Conduct for Prevention of Insider Trading under SEBI (Prohibition of Insider Trading) Regulations, 2015

Please find enclosed the Report on the captioned subject in terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

We request you to take the same on record.

Thanking you,

Yours faithfully,


(Ekta Pasricha)
Company Secretary



Encl.: A/a

**Report by Punjab National Bank for violations related to Code of Conduct under
SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details										
1	Name of the listed company/ Intermediary/ Fiduciary	Punjab National Bank										
2	Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Entity										
3	A. Details of Designated Person (DP)											
	(i) Name of DP	(i) Mr. Meenakshi Sundaram Kolandaivel (ii) Mr. Pradeep Kumar (iii) Ms. Deepmala Sachan (iv) Mr. Partha Ghosh										
	(ii) PAN of the DP	<table border="1"> <thead> <tr> <th>Name</th> <th>PAN</th> </tr> </thead> <tbody> <tr> <td>Mr. Meenakshi Sundaram Kolandaivel</td> <td>AAGPK0152P</td> </tr> <tr> <td>Mr. Pradeep Kumar</td> <td>AKOPK3481F</td> </tr> <tr> <td>Ms. Deepmala Sachan</td> <td>BDKPS6813D</td> </tr> <tr> <td>Mr. Partha Ghosh</td> <td>AEIPG2947Q</td> </tr> </tbody> </table>	Name	PAN	Mr. Meenakshi Sundaram Kolandaivel	AAGPK0152P	Mr. Pradeep Kumar	AKOPK3481F	Ms. Deepmala Sachan	BDKPS6813D	Mr. Partha Ghosh	AEIPG2947Q
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Mr. Partha Ghosh	Manager											
	(v) Whether DP is Promoter or belongs to Promoter Group	No										
	B. If Reporting is for immediate relative of DP											
	(i) Name of the immediate relative of DP	Not Applicable										



Sr. No.	Particulars	Details																	
	(ii) PAN of the immediate relative of DP	Not Applicable																	
C. Details of transaction(s)																			
	a) Name of the scrip	Punjab National Bank NSE Code: PNB BSE Code: 532461																	
	b) No of shares traded (which includes pledge) and value (Rs.) (Date-wise)	<table border="1"> <thead> <tr> <th data-bbox="805 674 1045 807">Name</th> <th data-bbox="1045 674 1236 807">No. of shares purchased / sold</th> <th data-bbox="1236 674 1436 807">Period of Transaction</th> </tr> </thead> <tbody> <tr> <td data-bbox="805 807 1045 962" rowspan="2">Mr. Meenakshi Sundaram Kolandaivel</td> <td data-bbox="1045 807 1236 962">Sold: 100</td> <td data-bbox="1236 807 1436 962">From 01.01.2022 to 07.01.2022</td> </tr> <tr> <td data-bbox="1045 962 1236 1094">Purchased: 1</td> <td data-bbox="1236 962 1436 1094">From 08.01.2022 to 14.01.2022</td> </tr> <tr> <td data-bbox="805 1094 1045 1249">Mr. Pradeep Kumar</td> <td data-bbox="1045 1094 1236 1249">Sold: 44</td> <td data-bbox="1236 1094 1436 1249">From 08.01.2022 to 14.01.2022</td> </tr> <tr> <td data-bbox="805 1249 1045 1382">Ms. Deepmala Sachan</td> <td data-bbox="1045 1249 1236 1382">Purchased: 100</td> <td data-bbox="1236 1249 1436 1382">From 15.01.2022 to 21.01.2022</td> </tr> <tr> <td data-bbox="805 1382 1045 1526">Mr. Partha Ghosh</td> <td data-bbox="1045 1382 1236 1526">Sold: 3500</td> <td data-bbox="1236 1382 1436 1526">From 08.01.2022 to 14.01.2022</td> </tr> </tbody> </table>	Name	No. of shares purchased / sold	Period of Transaction	Mr. Meenakshi Sundaram Kolandaivel	Sold: 100	From 01.01.2022 to 07.01.2022	Purchased: 1	From 08.01.2022 to 14.01.2022	Mr. Pradeep Kumar	Sold: 44	From 08.01.2022 to 14.01.2022	Ms. Deepmala Sachan	Purchased: 100	From 15.01.2022 to 21.01.2022	Mr. Partha Ghosh	Sold: 3500	From 08.01.2022 to 14.01.2022
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D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter																			
	(i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable																	
	(ii) Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015.	Not Applicable																	



Sr. No.	Particulars	Details						
4	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Transactions were undertaken during the Trading Window closure period.						
5	Action taken by listed company / Intermediary/ Fiduciary	<p>(i) Penalty imposed on DPs has been deposited with SEBI-IPEF.</p> <ul style="list-style-type: none"> ▪ For DPs who have traded in up to 1000 shares, Penalty of Rs.1,000/- each, has been imposed which has been deposited with SEBI-IPEF. ▪ For the DP who has traded in more than 1000 shares, Penalty of Rs.2,000/- has been imposed, which has been deposited with SEBI-IPEF. ▪ Loss was booked by three DPs while one DP had purchased shares. <p>(ii) Caution Letter has been issued to all DPs advising strict adherence to the Bank's Code in future.</p>						
6	Reasons recorded in writing for taking action stated above	<p>Transactions were undertaken during the Trading Window closure period. Since this was the first such instance of violation by the aforementioned four DPs and loss has been incurred on sale of shares by three DPs (as one DP had purchased the shares), Penalty has been imposed on the DPs.</p> <p>Further, Caution Letter has been issued to all DPs advising strict adherence to the Bank's Code in future.</p>						
7	Details of the previous instances of violations, if any, since last financial year	Nil						
8	If any amount collected for Code of Conduct violation(s):							
	(i) Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online						
	(ii) Details of transfer/payment	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the Transferor</td> <td>Punjab National Bank</td> </tr> <tr> <td>Bank Name, Branch and Account number</td> <td>Punjab National Bank, Head Office - 1988002100183557</td> </tr> </tbody> </table>	Particulars	Details	Name of the Transferor	Punjab National Bank	Bank Name, Branch and Account number	Punjab National Bank, Head Office - 1988002100183557
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Sr. No.	Particulars	Details	
		UTR/Transaction Reference Number	PUNBH22070158078
		Transaction Date	11.03.2022
		Transaction Amount (in Rs.)	Rs.5000 (Rupees Five Thousand Only)
9	Any other relevant information	Nil	

Date: 11.03.2022

Place: New Delhi

Yours faithfully,

For Punjab National Bank



Chief Financial Officer & Compliance Officer - PIT

PAN: ABPPJ0730L

Email id: hosd@pnb.co.in