



Pharmaceuticals Limited

Registered & Corporate Office :

Plot No. 72, H. No. 8-2-334/3 & 4, Road No. 5,

Opp. SBI Executive Enclave, Banjara Hills,

Hyderabad - 500 034, Telangana, INDIA.

Tel : +91-40-2525 9999, Fax : +91-40-2525 9889

CIN : L24239TG1987PLC008066

Email: info@smspharma.com, www.smspharma.com

Date: 30th May, 2022

To,
The Manager,
Listing Compliance Department
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001

The Manager,
Listing Compliance Department,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.

Security Code:532815

Symbol: SMSPHARMA

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the financial year 2021-22

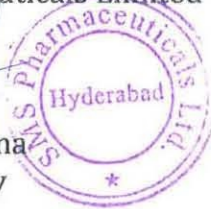
We are herewith submitting the Annual Secretarial Compliance Report for the financial year 2021-22 issued by CSB Associates, Mr. C.Sudhir Babu, Practicing Company Secretary, pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 and SEBI Circular no. CIR/CFD/CMD1/27/2019 dated February 8th, 2019.

Kindly take the above information on record.

Yours faithfully

For SMS Pharmaceuticals Limited


Thirumalesh Tumma
Company Secretary





CSB Associates

Company Secretaries

307, Babukhan Estate,
Basheerbagh, Hyderabad - 500001.
Mobile: +91 7981191458
+91 9493676368
E-mail: csbassociates27@gmail.com

**SECRETARIAL COMPLIANCE REPORT OF SMS PHARMACEUTICALS LIMITED FOR
THE YEAR ENDED MARCH 31, 2022**

To
SMS Pharmaceuticals Limited,
Plot No. 72, H. No. 8-2-334/3&4,
Road No. 5, Opp. SBI Executive Enclave,
Banjara Hills, Hyderabad - 500034.

We, CSB Associates, Company Secretaries, have examined:

- a) all the documents and records made available to us and explanation provided by SMS Pharmaceuticals Limited ("the Listed Entity"),
- b) the filings/ submissions made by the listed entity to the Stock Exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (there were no events requiring compliance during the Review Period)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (there were no events requiring compliance during the Review Period)



- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (there were no events requiring compliance during the Review Period)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (there were no events requiring compliance during the Review Period)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (there were no events requiring compliance during the Review Period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder and based on the above examination, we hereby report that during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sl. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 29 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Intimation to Stock Exchanges	Prior intimation to Stock Exchanges about recommendation of dividend was less than 2 working days.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- c) The following are actions taken against the listed entity/ ~~its promoters/ directors/ material subsidiaries either by SEBI or by~~ Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder

Sl. No.	Actions taken by	Details of violations	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Limited (BSE) and	Prior Intimations to Stock Exchanges about the Meeting of Board of Directors for recommendation of dividend at least two working days in	Fine imposed was Rs. 10,000/- each by both BSE	The Company requested the Stock Exchanges for waiver of fine



	National Stock Exchange of India Limited (NSE)	advance as specified under Regulation 29 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, was not given.	& NSE	but it was not granted.
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- d) The reporting of actions by the listed entity to comply with the observations made in previous reports is not applicable during the Review Period.
- e) The reporting of clause 6 (A) and 6(B) of Circular No. CIR/CFD/CMD1 /114/ 2019 dated October 18, 2019 issued by Securities Exchange Board of India on "Resignation of Statutory Auditors from listed entities and their material subsidiaries" is not applicable during the Review Period.

Place: Hyderabad
Date: 28-05-2022



For CSB Associates,
Company Secretaries,

A handwritten signature in black ink, appearing to read "C. Sudhir Babu".

C. Sudhir Babu,
Proprietor,

FCS: 2724, CP: 7666.

UDIN: F002724D000411869