



IND-SWIFT LABORATORIES LIMITED

(A Recognised Export House)

Regd. Office : S.C.O. 850, Shivalik Enclave, NAC, Manimajra, Chandigarh - 160 101
Phones : ++ 91 - 172-2730503, 2730920, Fax + + 91 - 172 - 2730504, 2736294
E-mail : info@indswiftlabs.com Website : www.indswiftlabs.com
CIN No. L24232CH1995PLC015553



Ref.: ISLL:CH:2021:

Date: 22nd September, 2021

The President,
Corporate Relationship Department,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai 400 001

The Vice President,
National Stock Exchange of India Limited,
Exchange Plaza, 5th Floor,
Plot No.C/2, G-Block,
Bandra Kurla Complex, Bandra (E),
Mumbai 400 051

BSE Scrip Code: 532305

NSE Symbol: INDSWFTLAB

Sub-Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015

Ref: SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020

Dear Sir/ Madam,

This is to inform you that in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("the SEBI Regulations"), Code of Practices and Procedures for Prevention of Insider Trading and Code of Conduct & Fair Disclosure of Unpublished Price Sensitive Information ("Company Code") and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the SEBI Regulations and Company Code by the Designated Person along with the action taken by the Company thereon is enclosed as "Annexure A" to this letter.

Kindly take the above information on record.

Thanking you,
For IND-SWIFT LABORATORIES LTD.

PARDEEP VERMA
AVP-CORPORATE AFFAIRS &
COMPANY SECRETARY

Encl: As above



IND-SWIFT LABORATORIES LIMITED

(A Recognised Export House)

Regd. Office : S.C.O. 850, Shivalik Enclave, NAC, Manimajra, Chandigarh - 160 101
 Phones : ++ 91 - 172-2730503, 2730920, Fax + + 91 - 172 - 2730504, 2736294
 E-mail : info@indswiftlabs.com Website : www.indswiftlabs.com
 CIN No. L24232CH1995PLC015553



Annexure A

Reporting of Insider Trading violation under Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

S.No	Particulars	Details															
1	Name of the listed entity	Ind-Swift Laboratories Limited															
2	Please tick the appropriate box Reporting in the capacity of : Listed entity <input checked="" type="checkbox"/> Intermediary Fiduciary																
3	A. Details of Designated Person (DP)																
	(i) Name of the DP	Mr. Anurag Chaturvedi															
	(ii) PAN of the DP	ADZPC8870E															
	(iii) Designation of DP	Sr. Vice President															
	(iv) Functional Role of DP	International Marketing															
	(v) Whether DP is Promoter or belongs to Promoter Group	No															
	B. Details of transaction(s)																
	(i) Name of the scrip	Ind-Swift Laboratories Limited NSE- INDSWFTLAB BSE- 532305															
	(ii) No of shares traded and value (Rs.) (Date- wise)	Sold 25,600 Shares amounting to Rs. 19,90,804 in following manner:- <table border="1" style="margin-left: 20px;"> <thead> <tr> <th>Date of Trade</th> <th>Quantity Sold</th> <th>Total Sale Value</th> </tr> </thead> <tbody> <tr> <td>02.08.2021</td> <td>5000</td> <td>4,99,200</td> </tr> <tr> <td>16.08.2021</td> <td>10000</td> <td>7,46,000</td> </tr> <tr> <td>18.08.2021</td> <td>10600</td> <td>7,45,604</td> </tr> <tr> <td>Total</td> <td>25,600</td> <td>19,90,804</td> </tr> </tbody> </table>	Date of Trade	Quantity Sold	Total Sale Value	02.08.2021	5000	4,99,200	16.08.2021	10000	7,46,000	18.08.2021	10600	7,45,604	Total	25,600	19,90,804
Date of Trade	Quantity Sold	Total Sale Value															
02.08.2021	5000	4,99,200															
16.08.2021	10000	7,46,000															
18.08.2021	10600	7,45,604															
Total	25,600	19,90,804															
	C. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter:-																
	(i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	A show cause notice was issued immediately when the violation came to the knowledge of the Compliance Officer. An opportunity was provided to the employee of being heard. Company has received the disclosure as required under Regulation 7(2) from the Violator on 21.09.2021 after the expiration of statutory time period of 2 days . Further, penalty as imposed by the Audit Committee was also shared with the Violator.															
	(ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015																

B



IND-SWIFT LABORATORIES LIMITED

(A Recognised Export House)

Regd. Office : S.C.O. 850, Shivalik Enclave, NAC, Manimajra, Chandigarh - 160 101
Phones : ++ 91 - 172-2730503, 2730920, Fax ++ 91 - 172 - 2730504, 2736294
E-mail : info@indswiftlabs.com Website : www.indswiftlabs.com
CIN No. L24232CH1995PLC015553



4	Details of violations observed under Code of Conduct	1. Sold 5000 Equity Shares of the Company on 02.08.2021 during trading window closure. (Trading Window was closed from 01.07.2021 to 14.08.2021) 2. Had not intimated the Company the details of sale value exceeding Rs. 10 Lakhs in a calendar quarter within 2 trading days from the date of sale.
5	Action taken by Listed company	The Audit Committee is empowered to take action on the violations of Insider Trading Regulations. A show cause notice was issued immediately when the violation came to the knowledge of the Compliance Officer. An opportunity was provided to the employee of being heard. The Audit Committee imposed a penalty of Rs. 30,000 which is to be paid by the violator within 15 days and also issued warning letter to not repeat the violation in future.
6	Reasons recorded in writing for taking action stated above	Explanation was sought from Mr. Anurag Chaturvedi by issuing Show Cause Notice to him. In reply of which, he admitted selling the shares and pleaded that he was not in possession of any Unpublished Price Sensitive Information at the time of trade executed and the same was not intentional but due to ignorance.
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s):	Violator has been given 15 days time to deposit the amount of penalty with the Company. Once the amount is collected from the violator, Company will deposit the same with IEPF Authorities.
	- Mode of transfer to SEBI - IPEF (Online/Demand Draft)	
	- Details of transfer/payment	
9	Any other relevant information	Nil

Yours faithfully,

For IND-SWIFT LABORATORIES LTD.

PARDEEP VERMA
AVP-CORPORATE AFFAIRS &
COMPANY SECRETARY

PAN: ABTPV7371B

Email Id: pardeep.verma@indswiftlabs.com

Date: 22.09.2021

Place: Chandigarh