CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001 Tel: 22662150<u>www.ctcl.co.in ctclbse@gmail.com</u> CIN: L51909MH1980PLC231713

Date: 30/04/2020

To, The Manager, Department of Corporate Services BSE Ltd. Dalal Street, Fort, Mumbai – 400 001

Dear Sir/Madam,

Sub:- Certificate pursuant to Regulation 40 (9) & (10) of SEBI (LODR)Regulations, 2015 Ref : Scrip Code 504340

Pursuant to Regulation 40(9) & (10) of the SEBI (LODR) Regulations, 2015, we are enclosing herewith a certificate issued by Deepika Singhvi, Practising Company Secretary for the half year ending on 31st March, 2020.

This is for your information and records.

Thanking You Yours Faithfully **For Confidence Finance and Trading Limited**

Manoj Jain Director DIN: 00165280

Encl: as above



DEEPIKA SINGHVI

PRACTICING COMPANY SECERTARY

1801-1802, RIVERIA TOWERS, LOKHANDWALA KANDIVALI EAST, MUMBAI, MAHARASHTRA-400101

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF YEAR ENDED (HALF YEAR ENDED ON 31st MARCH 2020)

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Confidence Finance And Trading Limited** (The Company) maintained by **Purva share registry (India) limited** pertaining to transfer of equity shares of the company for the period from **1**st **October**, **2019** to **31**st **March 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **Confidence Finance And Trading Limited** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on **31**st **March 2020**.

A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1st **October, 2019** to **31st March 2020** as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds-N.A. As no requests has been received during the said period.

B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment-N.A. As no requests has been received during the said period.

As per records available to me there were no requests relating to exchange or endorsement of calls allotment monies received during the said period.

C) Any other information, if applicable-N.A.

Place: Mumbai Date: 30th April 2020 **Deepika Singhvi** C.P. No.-15588 M. No.-41005

ICSI UDIN-A041005B000191232