

1st July, 2013

Bombay Stock Exchange Limited
Listing Department
P. J. Towers, Dalal Street,
Mumbai-400001

Dear Sir,

Sub: Amendments to SEBI (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 and Equity Listing Agreement.

Pursuant to the circular no. CIR/CFD/DIL/7/2013 dated 13th May, 2013 issued by SEBI in respect of Amendments to SEBI (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 and Equity Listing Agreement. As required therein, we are sending herewith the following documents.

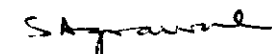
1. Annexure "III" A in respect of details of allotment of shares/grant of benefit made during the quarter ended 31st March, 2013 pursuant to non aligned scheme SEBI (ESOS and ESPS) Guidelines, 1999 by Company.
2. Annexure "III" B in respect of details of allotment of shares/grant of benefit made during the quarter ended 30th June, 2013 pursuant to non aligned scheme SEBI (ESOS and ESPS) Guidelines, 1999 by Company.

Please acknowledge the receipt of the above.

Thanking you,

Yours Faithfully,

For Emkay Global Financial Services Limited



Saket Agrawal
Asst. Vice President-Accounts & Finance

Encl: as above



EMKAY GLOBAL FINANCIAL SERVICES LIMITED

ANNEXURE III A

Format for Quarterly Disclosure of Details of Benefits Granted/Shares Allotted in Pursuance of Employees Benefit Scheme which are not in allingment with SEBI(ESOS and ESOPS) Guidelines, 1999.

Details of allotment of shares/Grant of Benefit made during the Quarter ended 31st March, 2013 Pursuant to non-aligned schemes by Emkay Global Financial Swrvices Limited

Financial Year	Type of Scheme(ESOP/N on-ESOP	Brief Particulars of the Benefits under the Scheme	Type of Beneficiaries		Value of the Benefit/Allo tment	Percentage of Shares used for granting benefit
			Particulars	No. of Options Granted during the quarter		
2010-2013	Employee Stock Option Scheme 2010 Through Trust Route	The Company has framed an Employee Stock Option Plan (ESOP) titled "ESOP 2010". The purpose of this plan is to provide the employees with an additional incentive in the form of Options to receive the equity shares of the Company at a future date. The Company decided to grant options to employees based on their performance , seniority , commitment in executing his/her duty, etc. The Company has set up a private trust namely Emkay Employees Welfare Trust (the "Trust") and the said trust has purchased the shares of the Company from the secondary market and is holding these shares for the benefit of the employees. Further, in accordance with the directions and the recommendations of the Remuneration/ Compensation Committee of the Company, the Trust shall grant Options to the employees in respect of the equity shares so purchased by it. On the exercise of the Options by the eligible employees, the Trust shall transfer to the employees the equity shares in the Company .	Promoters/Promoters Group	0	0	0
			Directors	0	0	0
			Others: Permanent Employees	0	0	0

For Emkay Global Financial Services Limited


Prakash Kacholia
 Managing Director



EMKAY GLOBAL FINANCIAL SERVICES LIMITED

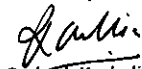
ANNEXURE III B

Format for Quarterly Disclosure of Details of Benefits Granted/Shares Allotted in Pursuance of Employees Benefit Scheme which are not in allignment with SEBI(ESOS and ESOPs) Guidelines, 1999.

Details of allotment of shares/Grant of Benefit made during the Quarter ended 30th June, 2013 Pursuant to non-aligned schemes by Emkay Global Financial Swrvices Limited

Financial Year	Type of Scheme(ESOP/Non-ESOP	Brief Particulars of the Benefits under the Scheme	Type of Beneficiaries		Value of the Benefit/Allotment	Percentage of Shares used for granting benefit
			Particulars	No. of Options Granted during the quarter		
2010-2013	Employee Stock Option Scheme 2010 Through Trust Route	The Company has framed an Employee Stock Option Plan (ESOP) titled "ESOP 2010". The purpose of this plan is to provide the employees with an additional incentive in the form of Options to receive the equity shares of the Company at a future date. The Company decided to grant options to employees based on their performance , seniority , commitment in executing his/her duty, etc. The Company has set up a private trust namely Emkay Employees Welfare Trust (the "Trust") and the said trust has purchased the shares of the Company from the secondary market and is holding these shares for the benefit of the employees. Further, in accordance with the directions and the recommendations of the Remuneration/ Compensation Committee of the Company, the Trust shall grant Options to the employees in respect of the equity shares so purchased by it. On the exercise of the Options by the eligible employees, the Trust shall transfer to the employees the equity shares in the Company .	Promoters/Promoters Group	0	0	0
			Directors	0	0	0
			Others: Permanent Employees	0	0	0

For Emkay Global Financial Services Limited


Prakash Kacholia
 Managing Director

