

1.(a) Statement showing Shareholding Pattern

Name of the Company:		: Ambalal Sarabhai Enterprises Limited	
Scrip Code, Name of the scrip, class of security		: 6779	
Quarter ended		: 31 <sup>st</sup> December 2013	
<b>Partly paid up shares</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total No. of partly paid-up shares</b>	<b>As a % of total No. of shares of the company.</b>
Held by promoter/promoters group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible securities.</b>
Held by promoter/promoter group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
<b>Warrants::</b>	<b>No. of warrants</b>	<b>As a % of total No. of warrants</b>	<b>As a % of total No. of shares of the company, assuming full conversion of warrants.</b>
Held by promoter/promoter group	-	-	-
Held by public	-	-	-
<b>Total</b>	-	-	-
Total paid-up capital of the company assuming full conversion of warrants and convertible securities		-	

For Ambalal Sarabhai Enterprises Ltd  
  
 Company Secretary

(i) (a) Statement showing Shareholding Pattern:

Name of the Company : Ambalal Sarabhai Enterprises Limited
Scrip Code : 6779
Quarter ended: 31 <sup>st</sup> December 2013

Category Code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	Shares pledged or otherwise encumbered
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoters and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	8	92431	92047	0.12	0.12	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	22	23226520	23226520	30.31	30.31	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any Other (specify)							
	<b>Sub-Total(A)(1)</b>	<b>30</b>	<b>23318951</b>	<b>23318567</b>	<b>30.43</b>	<b>30.43</b>	<b>-</b>	<b>-</b>

For Ambalal Sarabhai Enterprises

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Company Secretary







(e)	Insurance Companies	2	2200	-	0.00	0.00	-	-
(f)	Foreign Institutional investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-	-
(2)	Sub-Total (B)(1) <b>Non- Institutions</b>	17	358912	355292	0.47	0.47	-	-
(a)	Bodies Corporate	803	19662873	19520187	25.66	25.66	-	-
(b)	Individuals – I. Individual shareholders holding nominal shares capital up to Rs. 1 lakh.	169134	24922505	11531436	32.52	32.52	-	-
	II Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	173	8038461	6920725	10.49	10.49	-	-

For Ambalal Sarabhaj Enterprises Ltd

  
Company Secretary

(c)	Any Other (specify)- NRI	456	331594	173998	0.43	0.43	-	-
	<b>Sub-Total (B)(2)</b>	170566	52955433	38146346	69.10	69.10	-	-
	<b>Total Public shareholding (B) = (B)(1)+(B)(2)</b>	170583	53314345	38501638	69.57	69.57	-	-
	<b>TOTAL (A)+(B)</b>	170613	76633296	61728158	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued	+++	+++	+++	+++	+++	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	170613	76633296	61728158	100.00	100.00	-	-

1	Promoters	30	23318951	23318567	30.43			
2	Public	170583	53314345	38409591	69.57			

For Ambalal Sarabhai Enterprises (P) Ltd  
  
 Company Secretary



(i)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

**Annexure - A**

Sr.No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered	
		Number	As a % of grand Total (A)+(B)+(C)	Number	As a percentage of grand total (A)+(B)+(C) of sub-clause (1)(a)
1					
2					
3					
<b>TOTAL</b>					

(i)(C) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

**Annexure - B**

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)
2.			
	<b>TOTAL</b>		

(i)(d) Statement showing details of locked-in shares : N.A.

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)
2.			
	<b>TOTAL</b>		

for Ambalal Sarabhai Enterprises Ltd

  
Company Secretary

(ii)(a) Statement showing details of Depository Receipts (DRs) : N.A.

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total(A)+(B)+(C) indicated in Statement at para(i)(a) above)
1.	/	/	/	/
2.	/	/	/	/
TOTAL				

(ii)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.

N.A.

Sr. No.	Name of the DR Holder	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	/	/
2.	/	/	/
TOTAL			

  
**For Ambalal Sarabhai Enterprises (A.S)**  
**Company Secretary**



III(A) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer. (Give description of voting rights for each class of security.

Not Applicable

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights	Total voting rights i.e. (VI)	
		Class (iii)	Class (iv)	Class (v)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(I)	(ii)				(III+IV+V)		
(A)	Shareholding of Promoters and Promoter Group				(VI)		
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/ Banks						
(e)	Any Other (specify)						
	<b>Sub-Total(A)(1)</b>						

For Ambalal Sarabhai Enterprises Ltd  
  
 Company Secretary



(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	<b>Sub-Total(A)(2)</b>							
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>							
(B)	<b>Public shareholding Institutions</b>							
(1)	Mutual Funds/ UTI							
(a)	Financial Institutions/ Banks							
(b)	Central Government/ State Government(s)							
(d)	Venture Capital Funds							

For Ambalal Sarabhai Enterprises   
 Company Secretary

(e)	Insurance Companies						
(f)	Foreign Institutional investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
<b>(2)</b>	<b>Non-Institutions</b>						
(a)	Bodies Corporate						
(b)	Individuals - I. Individual shareholders holding nominal shares capital upto Rs. 1 lakh.						
	II Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						

For Ambalal Sarabhai Enterprises Ltd  
  
 Company Secretary



(c)	Any Other (specify)						
	Sub-Total (B)(2)						
	Total Public shareholding (B) = (B)(1)+(B)(2)						
	TOTAL (A)+(B)						
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)						


**Sarabhai Enterprises**  
  
**Company Secretary**

**Distribution Schedule**

**Item 1(b)  
Annexure A**

**Statement showing Shareholding of persons belonging to the category  
"Promoter and Promoter Group"**

**Name of the Company : AMBALAL SARABHAI ENTERPRISES LIMITED**

**Scrip Code: 6779**

**Quarter ended : 31.12.2013**

Sr. No.	Holders name	Total shares held		Shares Pledged
		No. of shares	As a % of Grand total (A)(B)(C)	
1	Kartikeya V. Sarabhai	12250	0.016	N.A.
2	Govind D. Zalani	10	-	N.A.
3	B.V. Suryakumar	374	-	N.A.
4	Mallika S. Sarabhai	49960	0.065	N.A.
5	Mohal Sarabhai	12610	0.017	N.A.
6	Samvit Sarabhai	12655	0.017	N.A.
7	Mrinalini S. Sarabhai	4495	0.006	N.A.
8	Rajshree K. Sarabhai	77	-	N.A.
9.	Sarabhai Holding Pvt. Ltd.	19303972	25.19	N.A.
10	Raj-Ka Designs Pvt. Ltd.	163850	0.214	N.A.
11	Leena Invt. Pvt. Ltd. (IVL)	128217	0.167	N.A.
12	Himalaya Invt. Pvt. Ltd.	1911	0.002	N.A.
13	Yudhisthir Invt. Pvt. Ltd.	469305	0.612	N.A.

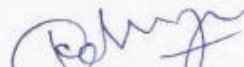
**For Ambalal Sarabhai Enterprises Ltd**

  
**Company Secretary**



14	Koshalya Invt. Pvt. Ltd.(IVL)	456722	0.596	N.A.
15	Medicinal Drugs Mfg.Pv. Ltd.(IVL)	157716	0.206	N.A
16	Sahyog Invt. Pvt. Ltd.	161011	0.210	N.A
17	Talimi Invt. Pvt. Ltd. (IVL)	163323	0.213	N.A
18	Vasantbahar Invt. Pvt. Ltd.(IVL)	200989	0.262	N.A
19	Adana Invt. Pvt. Ltd. (IVL)	157920	0.206	N.A
20	Kanda Invt. Pvt. Ltd. (IVL)	209288	0.273	N.A
21	Mrigank Invt. Pvt Ltd.(IVL)	178669	0.233	N.A
22	Jonpuri Invt. Pvt. Ltd.(IVL)	178667	0.233	N.A
23	Bhadrapad Invt. Pvt.Ltd. (IVL)	157920	0.206	N.A
24	Bilawal Invt. Pvt. Ltd.(IVL)	157920	0.206	N.A
25	Madhavbag Holdings Pvt. Ltd. (IVL)	178651	0.233	N.A
26	Ashavari Invt.Pvt.Ltd.(IVL)	182513	0.238	N.A
27	Vaishakhi Invt. Pvt. Ltd.(IVL)	181561	0.237	N.A
28	Todrag Holdings Invt. Pvt. Ltd. (IVL)	185675	0.242	N.A
29	Khamaj Invt. Pvt.Ltd.(IVL)	159086	0.208	N.A
30	Sarabhai Management Corpn. Ltd.	91634	0.120	N.A
		23318951	30.43	

For Ambalal Sarabhai Enterprises Ltd

  
Company Secretary

**Distribution Schedule - Shareholding pattern**

**Item 1(c)  
ANNEXURE-B**

**Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares.**

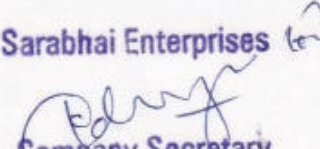
**Name of the Company : AMBALAL SARABHAI ENTERPRISES LIMITED**

**Scrip Code: 6779**

**Quarter ended : 31.12.2013**

Sr. No.	Name of the shareholder	No. of shares held	Percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)
1	Caplin Viniyog Pvt. Ltd.,	4222789	5.51
2	Navtech Farm Products Pvt. Ltd.,	3646167	4.76
3	Ontime Hire Purchase & Agencies Pvt. Ltd.,	3295625	4.30
4	Gyan Traders Ltd.	2740836	3.58
5	The Methani Tea Co.Ltd.,	2470625	3.22

**For Ambalal Sarabhai Enterprises**

  
**Company Secretary**



**Distribution Schedule - Shareholding pattern – 30.9.2013**

Item 1(c) (ii)

**Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares.**

**Name of the Company : AMBALAL SARABHAI ENTERPRISES LIMITED**

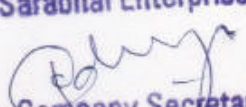
**Scrip Code: 6779**

**Quarter ended : 31.12.2013**

Name of the shareholder -----	No.of shares held -----	Percentage -----
Caplin Viniyog Pvt. Ltd.,	4222789	5.51

For Ambalal Sarabhai Enterprises Limited

**For Ambalal Sarabhai Enterprises**

  
Company Secretary