

Essar Shipping Limited
Shareholding Pattern for the Quarter Ended September 30, 2014
As per Clause 35 of the Listing Agreement

Introductory sub-table (I)(a)				
Name of the Company: Essar Shipping Limited				
Scrip Code: 533704				
Name of the scrip: Essar Shipping				
Class of security: Equity Shares				
Quarter ended: September 30, 2014				
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company	
Held by promoter/promoter group	-	-	-	-
Held by public	-	-	-	-
Total	-	-	-	-
Outstanding Convertible Securities(FCCBs)	No. of Outstanding Convertible Securities (FCCBs)	As a % of total no. of Outstanding Securities (FCCBs)	As a % of total No. of shares of the Company, assuming full conversion of the Convertible Securities – FCCBs	
Held by promoter/promoter group	2,800	100.00	37.44	
Held by public	-	-	-	
Total	2,800	100.00	37.44	
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants	
Held by promoter/promoter group	-	-	-	
Held by public	-	-	-	
Total	-	-	-	
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (FCCBs) (No. of Equity Shares)				328,080,555



Statement Showing Shareholding Pattern

Table (I)(a)									
Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares	Shares Pledged or otherwise encumbered	As a percentage (IX)= (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	As a percentage of(A+B) ¹	As a percentage of (A+B+C)	(VIII)		(IX)= (VIII)/(IV)*100
(A)	Promoters and Promoter Group²								
(1)	Indian								
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	2	8,152,020	8,152,020	3.97	3.97	8,083,938	99.16	
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-	-
(2)	Sub-Total (A)(1)	2	8,152,020	8,152,020	3.97	3.97	8,083,938	99.16	
(a)	Foreign								
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	2	145,768,806	145,768,806	71.03	71.03	145,768,773	100.00	
(c)	Institutions	-	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-	-
	Sub-Total (A)(2)	2	145,768,806	145,768,806	71.03	71.03	145,768,773	100.00	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	153,920,826	153,920,826	75.00	75.00	153,852,711	99.96	
B)	Public Shareholding								
(1)	Institutions								
(a)	Mutual Funds/ UTI	32	17800	1739	0.01		-	-	-



Statement Showing Shareholding Pattern

Table (I)(a)									
Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered		
					As a percentage of(A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX)= (VIII)/(IV)*100	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100	
(b)	Financial Institutions/ Banks	112	26,427	1,720	0.01	0.01	-	-	
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-	
(d)	Venture Capital Funds	-	-	-	-	-	-	-	
(e)	Insurance Companies	4	1,20,108	120,092	0.06	0.06	-	-	
(f)	Foreign Institutional Investors	57	18,587,090	18,580,938	9.06	9.06	-	-	
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-	
(e)	Any other (Specify)	-	-	-	-	-	-	-	
(2)	Sub-Total (B)(1)	205	18,751,425	18,704,489	9.14	9.14	-	-	
	Non-Institutions								
(a)	Bodies Corporate	1,086	16,015,307	15,969,479	7.80	7.80	-	-	
(b)	Individuals	-	-	-	-	-	-	-	
I	Individual shareholders holding nominal share capital upto Rs.1 lakh.	9,4921	9,758,263	7,322,742	4.75	4.75	-	-	
II	Individual shareholders holding nominal share capital in excess of Rs.1 lakh	108	6,075,377	6,019,177	2.96	2.96	-	-	
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-	
(d)	Any other (Specify)	-	-	-	-	-	-	-	
(d-i)	Non Resident	1,044	706,570	638,481	0.34	0.34	-	-	
(d-ii)	Non Domestic	-	-	-	-	-	-	-	
	Sub-Total (B)(2)	97,159	32,555,517	29,949,879	15.86	15.86	-	-	



Statement Showing Shareholding Pattern

Table (I)(a)									
Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares	Shares Pledged or otherwise encumbered	As a percentage
					As a percentage of(A+B)	As a percentage of (A+B+C)	(VIII)		(IX)= (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)= (VIII)/(IV)*100
	Total Public Shareholding (B)=(B)(1)+B(2)	97,364	51,306,942	48,654,368	25.00	25.00	-	-	-
	TOTAL (A) + (B)	97,368	205,227,768	202,575,194	100.00	100.00	153,852,711	74.97	
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	-	-	-	-	-	-	-	-
2	Public	-	-	-	-	-	-	-	-
	Sub-Total (C)	0	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	97,368	205,227,768	202,575,194	100.00	100.00	153,852,711	74.97	
Notes:									
a)	For determining public shareholding for the purpose of Clause 40A								
b)	For definitions of "Promoter" and "Promoter Group", refer to Clause 40A								
c)	For definitions of "Public Shareholding", refer to Clause 40A								
d)	The above shareholding is as per the disclosures received by the Company under SEBI Substantial Acquisition and Takeover Regulation 1997.								

For Essar Shipping Limited

Hitesh Jain
Company Secretary



(i) (b) Sr. No.	Name of the shareholder	Details of Shares held	Encumbered shares (*)	Details of warrants	Details of convertible securities (FCCBs)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital					
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of securities held (FCCBs)	As a % total number of convertible securities (FCCBs) of the same class	
1	Essar shipping & logistics limited	145,768,773	71.03	145,768,773	100.00	71.03	-	-	2,800	100.00	81.88
2	Imperial Consultants & Securities Private Limited	6,878,409	3.35	6,810,327	99.01	3.32	-	-	-	-	2.10
3	Essar steel India limited	1,273,611	0.62	1,273,611	100.00	0.62	-	-	-	-	0.39
4	Essar Global Fund Limited (formerly known as Essar Global Limited)	33	0.00	0	0.00	0.00	-	-	-	-	0.00
	TOTAL	153,920,826	75.00	153,852,711	99.96	74.97	-	-	2,800	100.00	84.36

) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2014



(l)(c) i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	India Max Investment Fund Limited	9,080,661	4.42	-	-	-	-	4.42
2	Leman Diversified Fund	6,427,119	3.13	-	-	-	-	3.13
3	Leena Investments Consultancy LLP	4,502,139	2.19	-	-	-	-	2.19
4	India Capital Markets Private Limited.	3,300,000	1.61	-	-	-	-	1.61
5	Kredence Multi Trading Limited	2,675,179	1.30	-	-	-	-	1.30
6	Subodh Maskara	2,604,359	1.27	-	-	-	-	1.27
7	Stream Value Fund	2,280,000	1.11	-	-	-	-	1.11
Total		30,869,457	15.04	-	-	-	-	15.04



Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Not Applicable	-	-	-	-	-	-	-
TOTAL		-	-	-	-	-	-	-

(I)(d)	Statement showing details of locked-in shares	Number of locked-in shares		Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	Not Applicable	-	-	-	Promoter/Promoter Group
TOTAL		-	-	-	Promoter/Promoter Group

(I)(a)	Statement showing details of Depository Receipts (DRs)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Not Applicable	-	-	-
Total		-	-	-

(I)(b)	Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares			
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Not Applicable	-	-	-
Total		-	-	-



(III)(a)	Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer										
(Give description of voting rights for each class of security)											
Class X : Equity Shares											
Class Y : -											
Class Z : -											
Category Code	Category of Shareholder	Number of Voting securities	Class X	Class Y	Class Z	Total Rights (III+IV+V)	Voting Rights (III+IV+V)	Total (VI) 20522768	Voting (A+B) As a percentage of (VIII)	As a percentage of (A+B+C) (VIII)	i.e
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(A)	Promoters and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	8,152,020	-	-	-	8,152,020	-	-	3.97	-	3.97
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-	-	-	-
(2)	Sub-Total (A)(1)	8,152,020	-	-	-	8,152,020	-	-	3.97	-	3.97
(2)	Foreign	-	-	-	-	-	-	-	-	-	-
(a)	Individuals (Non-Resident Foreign Individuals)	-	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	145,768,806	-	-	-	145,768,806	-	-	71.04	-	71.04
(c)	Institutions	-	-	-	-	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(2)	145,768,806	-	-	-	145,768,806	-	-	71.04	-	71.04
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	153,920,826	-	-	-	153,920,826	-	-	75.00	-	75.00
(B)	Public Shareholding										
(1)	Institutions										
(a)	Mutual Funds/ UTI	17,800	-	-	-	17,800	-	-	0.01	-	0.01
(b)	Financial Institutions/ Banks	26,427	-	-	-	26,427	-	-	0.01	-	0.01
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-
(e)	Insurance Companies	120,108	-	-	-	120,108	-	-	0.06	-	0.06
(f)	Foreign Institutional Investors	18,587,090	-	-	-	18,587,090	-	-	9.06	-	9.06



(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer
(Give description of voting rights for each class of security)

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Rights (III+IV+V)	Total Voting (VI) 205227768	As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)	ie
		Class X	Class Y	Class Z					
(i)	(iii)	(iii)	(iv)	(v)	(vi)				
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-
(h)	Any other (Specify)	-	-	-	-	-	-	-	-
(2)	Sub-Total (B)(1)	18,751,425	-	-	-	18,751,425	9.14	9.14	9.14
	Non-Institutions								
(a)	Bodies Corporate	16,015,307	-	-	-	16,015,307	7.80	7.80	7.80
(b)	Individuals	-	-	-	-	-	-	-	-
	Individual shareholders holding nominal share capital upto Rs.1 lakh.	9,758,263	-	-	-	9,758,263	4.75	4.75	4.75
	Individual shareholders holding nominal share capital in excess of Rs.1 lakh	6,075,377	-	-	-	6,075,377	2.96	2.96	2.96
(c)	Any other (Specify)								
	Non Resident Individuals	706,570	-	-	-	706,570	0.34	0.34	0.34
	Non Domestic Company	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	32,555,517	-	-	-	32,555,517	15.86	15.86	15.86
	Total Public Shareholding (B)=(B)(1)+B(2)	51,306,942	-	-	-	51,306,942	25.00	25.00	25.00
	TOTAL (A) + (B)	205,227,768	-	-	-	205,227,768	100.00	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	205,227,768	-	-	-	205,227,768	100.00	100.00	100.00

For Essar Shipping Limited

Hitesh Jain
Company Secretary

