

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company : GKB OPHTHALMICS LIMITED
Scrip Code 533212
Name of the Scrip GKB
Class of Security Equity Shares Quarter ended September 30, 2014

Partly paid-up shares	No. of partly paid up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by Promoter/ promoter group	0	0	0
Held by Public	0	0	0
Total - A	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversation of the convertible securities
Held by Promoter/ promoter group	0	0	0
Held by Public	0	0	0
Total -B	0	0	0
Warrants:-	No. of warrants	As a % of total no of warrants	As a % of total no. of shares of the company, assuming full conversation of warrants
Held by Promoter/ promoter group	0	0	0
Held by Public	0	0	0
Total - C	0	0	0
Total paid-up capital of the Company, assuming full conversion of warrants & conv. securities:- Total (Cap+B+C)	4153580		



Name of the Company : GKB OPHTHALMICS LIMITED
Scrip Code : 533212
Quarter ended : September 30, 2014

Table (I)(a)

Category Code	Category of shareholders	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals / Hindu Undivided Family	10	2811136	2811136	67.68	67.68	NIL	N.A
(b)	Central Government / State Government	-	-	-	-	-	NIL	N.A
(c)	Body Corporate	-	-	-	-	-	NIL	N.A
(d)	Financial Institution / Banks	-	-	-	-	-	NIL	N.A
(e)	Any other (specify)	-	-	-	-	-	-	-
	Sub-Total (A) (1)	10	2811136	2811136	67.68	67.68	NIL	N.A
2	Foreign							
(a)	Individuals(Non-Resident Individual/ Foreign Individual)	-	-	-	-	-	NIL	N.A
(b)	Bodies Corporate	-	-	-	-	-	NIL	N.A
(c)	Institutions	-	-	-	-	-	NIL	N.A
(d)	Any other (specify)	-	-	-	-	-	NIL	N.A
	Sub-Total (A) (2)	-	-	-	-	-	NIL	N.A
	Total Shareholding of Promoter and (A) = (A)(1) + (A)(2)	10	2811136	2811136	67.68	67.68	NIL	N.A
(B)	Public Shareholding							
1	Institutions							
(a)	Mutual Funds/UTI	-	-	-	-	-	NIL	N.A
(b)	Financial Institution/ Banks	2	21512	21512	0.52	0.52	NIL	N.A
(c)	Central Government/ State Government	-	-	-	-	-	NIL	N.A
(d)	Venture Capital Funds	-	-	-	-	-	NIL	N.A
(e)	Insurance companies	-	-	-	-	-	NIL	N.A



	(f) Foreign Institutions Investors	-	-	-	-	-	NIL	N.A
	(g) Foreign Ventures Capital Investors	-	-	-	-	-	NIL	N.A
	(h) Any other (Specify)	-	-	-	-	-	NIL	N.A
	Sub-Total (B)(1)	2	21512	21512	0.52	0.52	NIL	N.A
2	Non-Institution							
	(a) Bodies Corporate	71	246926	237426	5.94	5.94	NIL	N.A
	(b) Individuals -							
	i. Individual shareholders holding nominal Share capital up to Rs. 1 lakh.	1089	440220	349895	10.60	10.60	NIL	N.A
	ii. Individual shareholders holding nominal Share capital in excess of Rs. 1 lakh	11	613003	613003	14.76	14.76	NIL	N.A
	c) Qualified for Invs	0	0	0	0	0	NIL	N.A
	(c) Any other - Clr-mem	13	14022	14022	0.34	0.34	NIL	N.A
	(OCB)	0	0	0	0	0	NIL	N.A
	(NRI)	8	6761	6761	0.16	0.16	NIL	N.A
	Sub-Total (B) (2)	1192	1320932	1221107	31.80	31.80	NIL	N.A
	Total Public							
	Shareholding (B) = (B)1 + (B)2	1194	1342444	1242619	32.32	32.32	NIL	N.A
	Total A + B	1204	4153580	4053755	100.00	100.00	NIL	N.A
	(C) Shares held by Custodians and against which Depository Receipts have been issued						NIL	N.A
1	Promoter and Promoter Group	0	0	0	0	0	NIL	N.A
2	Public	0	0	0	0	0		
	GRAND TOTAL A+B+C	1204	4153580	4053755	100.00	100.00	NIL	N.A



Quarter ended September 30, 2014

(I)(b) Statement showing shareholding of persons belonging to the category "Promoter and Promoter Group "

Sr. No	Name of the Shareholder	Total Shares held			Shares pledged or otherwise encumbered		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a %
		Number of shares	As a % of grand total (A)+(B)+(C)	Number	% of Total shares held (V)=(V)/(III)*	As a % of grand total (A)+(B)+(C)	Number of warrants	As a % of Total No. of warrants of the same class	Number of convertible securities held	As a % of total No. of convertible securities of same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	USHA GUPTA	209000	5.03	0	0.000	0.000	0	0.000	0	0.000	5.03
2	VIVEK GUPTA	75375	1.82	0	0.000	0.000	0	0.000	0	0.000	1.82
3	VEENA GUPTA	289233	6.96	0	0.000	0.000	0	0.000	0	0.000	6.96
4	KRISHNA GOPAL GUPTA	777240	18.71	0	0.000	0.000	0	0.000	0	0.000	18.69
5	ADITYA GUPTA	75830	1.83	0	0.000	0.000	0	0.000	0	0.000	1.83
6	KRISHNA MURARI GUPTA	353207	8.50	0	0.000	0.000	0	0.000	0	0.000	8.50
7	KRISHNA GOPAL GUPTA (HUF)	68250	1.64	0	0.000	0.000	0	0.000	0	0.000	1.64
8	VIKRAM GUPTA	373105	8.98	0	0.000	0.000	0	0.000	0	0.000	8.97
9	GAURAV GUPTA	357966	8.62	0	0.000	0.000	0	0.000	0	0.000	8.62
10	SHEFALI CHAWLA	231930	5.59	0	0.000	0.000	0	0.000	0	0.000	5.55
	TOTAL Promoter & Promoter Group	2611136	67.66	0	0.000	0.000	0	0.000	0	0.000	67.61



Quarter ended September 30, 2014

(i)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public " and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Number of shares	Shares of a % of total (i.e Grand total (A)+(B)+(C) indicated in statement para (i)(a) Above)	Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible Securities) as a % of
				No. of Warrants held	As a % total no. of warrants of the same class	No. of convertible Securities held	% with respect to total no. of convertible securities of the same class	
1	Brijendra Kumar Gupta	159000	3.83	0	0.000	0	0.000	3.83
2	Gauri Kalokhe	96996	2.34	0	0.000	0	0.000	2.34
3	Rajendra Kumar Gupta	79000	1.90	0	0.000	0	0.000	1.90
4	Uma Gupta	79850	1.92	0	0.000	0	0.000	1.92
5	Sanjiv Kumar Gupta	70500	1.70	0	0.000	0	0.000	1.70
6	EDC Limited	50000	1.20	0	0.000	0	0.000	1.20
7	Lalit Gupta	45800	1.10	0	0.000	0	0.000	1.10
8	New Millennium Technology MA	45853	1.10	0	0.000	0	0.000	1.10
	TOTAL	626999	15.09	0	0.000	0	0.000	15.09



Quarter ended September 30, 2014

(i)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public " and holding more than 5% Of the total number of shares : NIL

Sr. No.	Name(s) of the Shareholder(s) and the Persons Acting in concert (PAC) with them	Number of shares	Shares of a % of total no. of shares (i.e Grand total (A)+(B)+(C) indicated in statement para (i)(a) Above)	Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible Securities) as a % of diluted share
				No. of Warrants held	As a % total no. of warrants of the same class	No. of convertible Securities held	% with respect to total no. of convertible securities of the same class	
1								
2								
3								
4								



Quarter ended September 30, 2014

(1)(d) Statement showing details of locked-in shares : NIL

Sr. No.	Name of the Shareholder	Number of Lock-in shares	Locked-in Shares as a percentage of total Number of shares (i.e Grand Total (A) + (B) + (C) indicated in Statement at para (1)(a) above)
1			
2			
	TOTAL		



Quarter ended September 30, 2014

(II)(a) Statement showing details of Depository Receipts (Drs) : NIL

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs etc)	Number of outstanding Drs	Number of shares underlying outstanding Drs	Shares underlying outstanding Drs as a percentage of total number of shares (i.e, Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)
1				
2				
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (Drs) , where underlying Shares held by 'promoter/ promoter group' are in excess of 1% of the total number number of shares : NIL

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs etc)	Number of shares underlying outstanding Drs	Shares underlying outstanding Drs as a percentage of total number of shares (i.e, Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)
1				
2				
	TOTAL			



(III)(a) Statement showing the voting pattern of shareholders, if more, than one class of shares/securities is

Is Issued by the issuer : **NIL**

Class X :

Class Y :

Class Z:

Category	Category of shareholders	Number of voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting rights i.e (VI)	
		class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individual/Hindu Undivided Family						
(b)	Central Government/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
	Sub-Total (A)(1)						
(2)	Foreign						
(a)	Individuals (Non-Residence Individuals/Foreign Individual)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	(Sub-Total (A)(2)						
	Total shareholding of Promoter and Promoter Group (A=(A)(1)+(A)(2)						



(B)	Public Shareholding of						
(1)	Institutions						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions /Banks						
©	Central Government/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
(2)	Non-institutions						
(a)	Bodies Corporate						
(b)	Individuals -						
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.						
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh						
©	Any Other (specify)						
	Sub-Total (B)(2)						
	Total Public shareholding (B)=(B)(1)+(B)(2)						
	TOTAL (A) + (B)						
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)						

For GKB OPHTHALMICS LTD.

Noel Da Silva
 Noel Da Silva
 CFO & Company Secretary

