

STATEMENT OF SHAREHOLDING PATTERNS

Name of the Company :	THE INDUSTRIAL & PRUDENTIAL INVESTMENT COMPANY LIMITED
Scrip Code: 501298 (BSE)	Quarter ended : SEPTEMBER 30, 2014.
Class of Security :	Equity Shares

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	Nil	Nil	Nil



CATEGORY CODE	CATEGORY OF SHARE HOLDERS	NO. OF SHARE HOLDERS	TOTAL NO. OF SHARES	NO. OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A PERCENTAGE OF TOTAL NUMBER OF SHARES		SHARES PLEDGED OF OTHERWISE ENCUMBERED	
					AS A % OF (A + B)	AS A % OF (A + B + C)	NO OF SHARES	AS A % (VII/IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	SHARE HOLDING OF PROMOTER & PROMOTER GROUP							
(1)	Indian							
	(a) Individuals/Hindu undivided family	5	18938	18938	3.26	3.26	NIL	NIL
	(b) Central Government /State Government(s)	-	-	-	-	-	NIL	NIL
	(c) Bodies Corporates	3	364417	364417	62.64	62.64	NIL	NIL
	(d) Financial Institution/Banks	-	-	-	-	-	NIL	NIL
	(e) Any Other (specify) - Director	-	-	-	-	-	NIL	NIL
	Sub-Total (A) (1)	8	383355	383355	65.89	65.89	NIL	NIL
(2)	Foreign							
	(a). Individuals (Non-Resident Individuals/Foreign individuals)	-	-	-	-	-	NIL	NIL
	(b) Bodies Corporates	-	-	-	-	-	NIL	NIL
	(c) Institutions	-	-	-	-	-	NIL	NIL
	(d) Qualified Foreign Investors	-	-	-	-	-	NIL	NIL
	(e) Any Other (specify)	-	-	-	-	-	NIL	NIL
	Sub-Total (A) (2)	-	-	-	-	-	NIL	NIL
	TOTAL SHAREHOLDING OF PROMOTER and PROMOTER GROUP (A)=(A)(1)+(A)(2)	8	383355	383355	65.89	65.89	NIL	NIL
(B)	PUBLIC SHAREHOLDING							
(1)	INSTITUTIONS							
	(a) Mutual Funds/uti	-	-	-	-	-	NIL	NIL
	(b) Financial Institutions/Banks	1	400	0	0.07	0.07	NIL	NIL
	(c) Central Government/State Government(S)	1	56	0	0.01	0.01	NIL	NIL
	(d) Venture Capital Funds	-	-	-	-	-	NIL	NIL
	(e) Insurance Companies	-	-	-	-	-	NIL	NIL
	(f) Foreign Institutional Investors	-	-	-	-	-	NIL	NIL
	(g) Foreign Venture Capital Investors	-	-	-	-	-	NIL	NIL
	(h) Qualified Foreign Investors	-	-	-	-	-	NIL	NIL
	(i) Any others - Trust	1	100	100	0.02	0.02	NIL	NIL
	Sub-Total (B) (1)	3	556	100	0.10	0.10	NIL	NIL
(2)	NON-INSTITUTIONS							
	(a). Bodies Corporates	28	15200	14760	2.61	2.61	NIL	NIL
	(b). Individuals							
	i Individual shareholders holding nominal shares capital upto Rs. 1 lakh	1531	177293	118540	30.46	30.46	NIL	NIL
	ii Individual shareholders holding nominal shares capital in excess of Rs. 1 lakh	-	-	-	-	-	NIL	NIL
	(c). Any other (specify)							
	1 Clearing members	16	1548	1548	0.27	0.27	NIL	NIL
	2 Non Resident Indian (Repatriable)	28	1713	289	0.29	0.29	NIL	NIL
	3 Non Resident Indian (Non Repatriable)	3	55	55	0.01	0.01	NIL	NIL
	4 Directors and their relatives	1	2150	2150	0.37	0.37	NIL	NIL
	5 Shares held by Custodian and against which Depository Receipts have been issued	-	-	-	-	-	NIL	NIL
	Sub-Total (B) (2)	1614	197869	137342	34.01	34.01	NIL	NIL
	TOTAL PUBLIC SHAREHOLDING (B) = (B)(1)+(B)(2)	1617	198425	137442	34.11	34.11	NIL	NIL
	TOTAL (A) + (B)	1625	581780	520797	100.00	100.00	NIL	NIL
(C)	Shares held by Custodians and against which Depository Receipts have been issued.							
		-	-	-	-	-	NIL	NIL
	GRAND TOTAL (A) + (B) + (C)	1625	581780	520,797	100.00	100.00	NIL	NIL

NOTE: 1A shareholders having two folios have been consolidated.

The Industrial & Residential Investment Co. Ltd.

Place: MUMBAI
Date: October 7, 2014

DIRECTOR

THE INDUSTRIAL & PRUDENTIAL INVESTMENT COMPANY LTD.

Regd. Office: 125 Maker Chambers III,

Nariman Point, MUMBAI 400 021.

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(1) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company : The Industrial & Prudential Investment Co. Ltd.
Script Code: 501298
Quarter Ended: September 30, 2014

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number Of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of Total Number of Shares i.e. GRAND TOTALS (A) + (B) + (C) Indicated in Statement at Para (1) (a)
	NIL			

(1) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company : The Industrial & Prudential Investment Co. Ltd.
Script Code: 501298
Quarter Ended: September 30, 2014

Sr. No.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number Of outstanding DRs.	Number Of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of Total Number of Shares i.e. GRAND TOTALS (A) + (B) + (C) Indicated in Statement at Para (1) (a)
	NIL				



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(11B) Statement showing holding of Securities (including Shares, warrants, convertible securities) of persons belonging to the category "Promoter and PromoterGroup" - As on September 30, 2014.

Sr. No.	Name of the Shareholder	Details of Shares held			Encumbered shares		Details of Warrants		Details of convertible Securities		Total shares (including underlying) shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capitals
		Number of shares held	As a % of Grand Total (A) + (B) + (C)	No.	As a percentage (VII)-(V)/(VII)+100	As a % of Grand Total (A) + (B) + (C) sub-class (1)(e)	Number of Warrants held	As a % total issue of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)-(V)/(VII)+100	(VII)	(VIII)	(IX)	(X)	(XI)	
1	Vikram Swarup	9,116	1.87	-	-	-	-	-	-	-	1.87
2	Ganesh Swarup	1,200	0.21	-	-	-	-	-	-	-	0.21
3	Bladit Swarup	3,000	0.62	-	-	-	-	-	-	-	0.62
4	Parul Swarup	1,138	0.20	-	-	-	-	-	-	-	0.20
5	Jayoo A. Setalvad	4,484	0.77	-	-	-	-	-	-	-	0.77
6	Kelvin Powell Vasaspati & Eng. Ind. Ltd.	51,600	8.87	-	-	-	-	-	-	-	8.87
7	Paharpur Cooling Towers Ltd. Paharpur Corporation Limited	246,967	42.79	-	-	-	-	-	-	-	42.79
8		63,880	10.97	-	-	-	-	-	-	-	10.97
	TOTAL	353,385	65.89	-	-	-	-	-	-	-	65.89

(11C) Statement showing holding of Securities (including Shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of the company, the category "Public" and holding more than 5% of the total number of shares of the company.

Sr. No.	Name of the Shareholder	Number of shares held	Share as a percentage of total number of shares [i.e., Grand Total (A) + (B) + (C) Indicated in Statement at para (1)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying) shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capitals
				Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PAVANKUMAR SANVAREM	9,990	1.71	0	0	0	0	1.72
2	ABEES21285 KEER INV. & LEASING LTD.	7,370	1.27	0	0	0	0	1.27
3	SITA ATUL SETALVAD AIPF88346	6,382	1.09	0	0	0	0	1.10
	TOTAL	16,252	4.07	0	0	0	0	4.07



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(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company.

Sr. No.	Name of the Shareholder	Number of shares held	Share as a percentage of total number of shares [i.e., Grand Total (A) + (B) + (C) Indicated in Statement at para (1)(c) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of listed shares capital
				Numbers of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	TOTAL	Nil	Nil	Nil	Nil	Nil	Nil	Nil

(1)(d) Statement showing details of locked-in shares.

Sr. No.	Name of the Shareholder	Category of shareholder (Private/Public)	Number of locked-in shares.	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) Indicated in Statement at para (1) a above)
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
	TOTAL	Nil	Nil	Nil

(1)(e) Statement showing details of Depository Receipts (DRs) shares.

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs.	Number of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement para (1) above)
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
	TOTAL	Nil	Nil	Nil

