

(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE- 35

Name of the Company :	LUMAX AUTO TECHNOLOGIES LIMITED		
Script Code,Name of the scrip, class of security :	532796/LUMAXTECH		
Quarter ended :	30-09-2014		
Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants	No. of Warrants	As a % of total no. of Warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants convertible securities	13631541		100.00

Note:- The Company do not have any Partly Paid-up Shares, Outstanding Covertible Securities and Warrants



STATEMENT SHOWING SHAREHOLDING PATTERN

Company Name	LUMAX AUTO TECHNOLOGIES LIMITED
As On	30-Sep-14

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	7	5162622	5162622	37.87	37.87	0	0
(b)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	2	2426524	2426524	17.8	17.8	0	0
(d)	Financial Institutions/ Banks	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	Sub Total(A)(1)	9	7589146	7589146	55.67	55.67	0	0
2	Foreign							
(a)	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0
(d)	Qualified Foreign Investor	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	Sub Total(A)(2)	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	7589146	7589146	55.67	55.67	0	0
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	1	31500	31500	0.23	0.23	0	0
(b)	Financial Institutions/ Banks	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	5	1914403	1914403	14.04	14.04	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0
	Sub-Total (B)(1)	6	1945903	1945903	14.27	14.27	0	0
2	Non-institutions							
(a)	Bodies Corporate	239	1415516	1415516	10.39	10.39	0	0
(b)	Individuals							
(i)	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4640	1453466	1453462	10.66	10.66	0	0
(ii)	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	32	883125	883125	6.48	6.48	0	0
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0
(d)	Any Other (specify)							
	Clearing Member	74	109292	109292	0.8	0.8	0	0
	Directors Relatives	0	0	0	0	0	0	0
	NRI Repatriation	100	235093	160883	1.73	1.73	0	0
	Sub-Total (B)(2)	5085	4096492	4022278	30.06	30.06	0	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)	5091	6042395	5968181	44.33	44.33	0	0
	TOTAL (A)+(B)	5100	13631541	13557327	100	100	0	0
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
C 1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0
C 2	Public	0	0	0	0.00	0.00	0	0
	Sub-Total (C)	0	0	0	0.00	0.00	0	0
	GRAND TOTAL (A)+(B)+(C)	5100	13631541	13557327	100	100	0	0



(i)(b)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "PROMOTERS AND PROMOTER GROUP"	Details of shares held			Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares conversion of full warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
Sr.No	Name of the Shareholder	(iii)	(iv)	(v)	(vi)=(v)/(iii)*	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	
1	Lumax Finance Private Limited	2422264	17.77	0	0.00	0.00	0	0.00	0	0.00	17.77	
2	Dhanesh Kumar Jain	1655257	12.14	0	0.00	0.00	0	0.00	0	0.00	12.14	
3	Dhanesh Kumar Jain (HUF)	1180971	8.66	0	0.00	0.00	0	0.00	0	0.00	8.66	
4	Deepak Jain	914652	6.71	0	0.00	0.00	0	0.00	0	0.00	6.71	
5	Anmol Jain	862240	6.33	0	0.00	0.00	0	0.00	0	0.00	6.33	
6	Usha Jain	463712	3.40	0	0.00	0.00	0	0.00	0	0.00	3.40	
7	Shivani Jain	45000	0.33	0	0.00	0.00	0	0.00	0	0.00	0.33	
8	Dhanesh Kumar Jain (Family Trust)	40790	0.30	0	0.00	0.00	0	0.00	0	0.00	0.30	
9	Yardhman Agencies Private Limited	4260	0.03	0	0.00	0.00	0	0.00	0	0.00	0.03	
	TOTAL :	7589146	55.67	0	0.00	0.00	0	0.00	0	0.00	55.67	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(l)(c)(i)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.	Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares(i.e., Grand Total(A)+(B)+(C) indicated in Statement at para (l)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class			
1	Orange Mauritius Investments Limited	675000	4.95	0	0.00	0	0.00		4.95	
2	Asia Investment Corporation (Mauritius) Ltd	650000	4.77	0	0.00	0	0.00		4.77	
3	Alpula Investment Fund Ltd	556627	4.08	0	0.00	0	0.00		4.08	
4	Krisma Investments Private Limited	297707	2.18	0	0.00	0	0.00		2.18	
5	JNJ Holdings Pvt. Ltd.	150000	1.10	0	0.00	0	0.00		1.10	
	TOTAL :	2329334	17.08	0	0.00	0	0.00		17.08	



(I)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company									
SR.NO	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class			
1	NIL	0	0.00	0	0.00	0	0.00		0.00	
	TOTAL :	0	0.00	0	0.00	0	0.00		0.00	



(I)(d) Statement showing details of " LOCKED - IN - SHARES "				
SR NO	NAME OF THE SHAREHOLDER	Number of locked- in shares	Locked-in shares as a (%) percentage of total number of shares {Grand Total (A)+(B)+(C) indicated at statement in para (I)(a) above}	Promoter/Promoter Group/Public
	NIL	0	0	0
	TOTAL:	0	0	0

(II)(a) Statement showing details of "DEPOSITORY RECEIPTS (DR's)"				
Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a Percentage of total number of shares (i.e Total (A)+ (B) + (C) Indicated in statement at para(I) (a) above)
	NIL	0	0	0
	TOTAL :	0	0	0

(II) (b) Statement showing Holding of Depository Receipts (DR's), while underlying shares held by "PROMOTER/PROMOTER GROUP" are in excess of 1% of the total number of shares				
Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,S DRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a Percentage of total number of shares (i.e Total (A)+(B)+(C) Indicated in Statement at Para (I) (a) above)
	NIL		0	0
	TOTAL :		0	0

