NOVARTIS INDIA LIMITED SHAREHOLDING PATTERN AS ON 30.09.2014

Cate-	CODE: 500672 Name of Scrip: NOVARTIS		Class of Secu	rity : Equit	v c	Quarter End	ad . 20 00 a	044
gory	Partly paid up shares			Number of partly paid	As a	% of total	As	a % of total per of shares
	Held by Promoter/Promoter group			up shares		p shares		e Company
	Held by Public Total			-				
	Total			0		0.00		0.00
	Outstanding Convertible Securities			Number of		6 of total	As a	% of total
				outstanding Securities		utstanding		shares of the
				Securities	Con. S	ecurities		ny, assuming version of the
	Held by Promoter/Promoter group							ecurities
	Held by Public							
	Total			0		.00		
				Number of		of total	Λπ 2	% of total
	Warrants			warrants		of warrants	number of the	er of shares Company
	Held by Promoter/Promoter group							iming full on of warrants
	Held by Public							
	Total			0	•	.00		
	Total paid up capital of the Company, assuming full			-	0.	.00		0.00
Cate-	conversion of warrants and convertible securities							
gory	Category of	Number of	Total No.	Number of		holding as	Shares	s pledged or
Code	Shareholders	Share- holders	of shares	shares held		age of total		e encumbered
		Holders		in demated form	number of shares As a percentage of		Number of Shares	As a
(1)					(A+B) ¹ (A+B+C)		Snares	%
(1) (A)	(II) Shareholding of Promoter	(181)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)
	and Promoter Group ²							
100.00	Indian							
	Individuals/H,U,F	0	0	0	0.00	0.00		
	Central/State Government(s)	0	0	0	0.00	0.00		
	Bodies Corporate Financial Institutions/Banks	0	0	0	0.00	0.00		
	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign		- 0	- 0	0.00	0.00		
(a)	Individuals(Non Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate		0007000	00000	10000			
(c)	Institutions	4 0	23970597	23970597	75.00	75,00		
	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e) /	Any Other (specify)	0	0	0	0.00	0.00	~A(2)	
_	Sub_Total (A)(2)		222					
	Total holding of Promoter and Promoter Group	4	23970597	23970597	75.00	75.00		
10	(A)=(A)(1)+(A)(2)	4	23970597	23970597	75.00	75.00	8	
(B) E	Public Shareholding							
	Public Shareholding Institutions							
1 li	Institutions Mutual Fund/UTI	15	334478	333805	1.05	1.05		
1 li a) N b) F	Institutions Mutual Fund/UTI Financial Institutions/Banks	15 37	334478 4142	333805 2051	1.05	1.05		
1 li a) M b) F c) C	Institutiona Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s)	37 0	4142 0	2051 0	0.01	0.01		
1 III II	Institutions Mutual Fund/UTI Financial Institutions/Banks	37 0 0	4142 0 0	2051 0 0	0.01 0.00 0.00	0.01 0.00 0.00		
1 III a) N b) F c) C d) V	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds	37 0 0 3	4142 0 0 291961	2051 0 0 291961	0.01 0.00 0.00 0.91	0.01 0.00 0.00 0.91		
1 III II	Institutiona Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds nsurance Companies Foreign Institutional Investors Foreign Venture Cap, Investors	37 0 0	4142 0 0	2051 0 0	0.01 0.00 0.00	0.01 0.00 0.00		
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Coreign Venture Cap, Investors Qualified Foreign Investor	37 0 0 3 6 0	4142 0 0 291961 559149 0	2051 0 0 291961 559149 0	0.01 0.00 0.00 0.91 1.75 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00		
1	Institutiona Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds nsurance Companies Foreign Institutional Investors Foreign Venture Cap, Investors	37 0 0 3 6	4142 0 0 291961 559149 0	2051 0 0 291961 559149 0	0.01 0.00 0.00 0.91 1.75 0.00	0.01 0.00 0.00 0.91 1.75 0.00		3 =
1 III 1 III 1 III 2 Male Male Male 2 Male Male Male Male 2 Male Male Male Male Male 3 Male Male	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Coreign Venture Cap, Investors Qualified Foreign Investor	37 0 0 3 6 0	4142 0 0 291961 559149 0	2051 0 0 291961 559149 0	0.01 0.00 0.00 0.91 1.75 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00		
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Coreign Venture Cap, Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1)	37 0 0 3 6 0	4142 0 0 291961 559149 0	2051 0 0 291961 559149 0	0.01 0.00 0.00 0.91 1.75 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00		3 =
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap, Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions	37 0 0 3 6 0 0 0	4142 0 0 291961 559149 0 0	2051 0 0 291961 559149 0 0	0.01 0.00 0.00 0.91 1.75 0.00 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00		2 H
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions Bodies Corporate	37 0 0 3 6 0 0	4142 0 0 291961 559149 0 0	2051 0 0 291961 559149 0 0	0.01 0.00 0.00 0.91 1.75 0.00 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00	-	2 H
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Coreign Venture Cap, Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions Individuals Individuals Individuals	37 0 0 3 6 0 0 0 0	4142 0 0 0 291961 559149 0 0 0 1189730	2051 0 0 291961 559149 0 0 1186966	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72	-	E COL
1	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions Bodies Corporate Individuals Individual shareholders holding nominal share apital up to Rs. 1 lakh	37 0 0 3 6 0 0 0	4142 0 0 291961 559149 0 0	2051 0 0 291961 559149 0 0	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00		E COL
1	Institutiona Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap, Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Jon Institutions Jodies Corporate Individual-I. Individual shareholders holding nominal share apital up to Rs. 1 lakh Individual shareholders holding nominal share apital in	37 0 0 3 6 0 0 0 0	4142 0 0 0 291961 559149 0 0 0 1189730	2051 0 0 291961 559149 0 0 1186966	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions Bodies Corporate Individuals Individual shareholders holding nominal share apital up to Rs. 1 lakh	37 0 0 3 6 0 0 0 6 6 1 6 6 41059	4142 0 0 291961 559149 0 0 0 1189730 853797	2051 0 0 291961 559149 0 0 0 1186966 849998	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Venture Top. Investor Venture Top. Investor Venture Top. Investor Venture Top. Investor Venture Cap. Investor Individual Foreign Investor Venture Cap. Inves	37 0 0 3 6 0 0 0 6 6 1 6 6 41059	4142 0 0 291961 559149 0 0 0 1189730 853797	2051 0 0 291961 559149 0 0 0 1186966 849998	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Invitutional Investors Qualified Foreign Investor Invy Other (specify) Sub-Total (B)(1) John Institutions Sodies Corporate Individuals Individuals Individual shareholders holding nominal share apital up to Rs. 1 lakh Qualified Foreign Investor Individual shareholders holding nominal share apital in the specify Italian Individual shareholders holding nominal share apital up to Rs. 1 lakh Qualified Foreign Investor Invy Other (specify) IRI - Non Rep.	37 0 0 0 3 6 0 0 0 0 6 6 41059	4142 0 0 291961 559149 0 0 0 1189730 853797 5164452 595780	2051 0 0 291961 559149 0 0 0 1186966 849998 4422124 595780	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 0.00 3.72 2.67 16.15	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Coreign Institutional Investors Cualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Ion Institutions Bodies Corporate Individuals. Individual shareholders holding nominal share Lapital up to Rs. 1 lakh Individual Shareholders holding nominal share capital in Xxcess of Rs. 1 lakh Cualified Foreign Investor Any Other(specify) IRI - Non Rep. IRI - Rep.	37 0 0 3 6 0 0 0 0 0 6 6 41059 14	4142 0 0 291961 559149 0 0 0 1189730 853797 5164452 595780	2051 0 0 291961 559149 0 0 0 1186966 849998 4422124 595780	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15 1.86	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Invitutional Investors Qualified Foreign Investor Invy Other (specify) Sub-Total (B)(1) John Institutions Sodies Corporate Individuals Individuals Individual shareholders holding nominal share apital up to Rs. 1 lakh Qualified Foreign Investor Individual shareholders holding nominal share apital in the specify Italian Individual shareholders holding nominal share apital up to Rs. 1 lakh Qualified Foreign Investor Invy Other (specify) IRI - Non Rep.	37 0 0 3 6 0 0 0 0 61 686 41059 14	4142 0 0 291961 559149 0 0 0 1189730 853797 5164452 595780	2051 0 0 291961 559149 0 0 0 1186966 849998 4422124 595780 120506 61571 54	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Ion Institutions Bodies Corporate Individuals Individuals Individual shareholders holding nominal share April Individual shareholders holding nominal share capital in investors Investor Rs. 1 lakh Individuals Foreign Investor Investor Individual Shareholders Individuals Individual Shareholders Individuals Individual Shareholders Individuals Individuals Individual Shareholders Individuals Individuals Individual Shareholders Individuals	37 0 0 3 6 0 0 0 0 0 6 6 41059 14	4142 0 0 291961 559149 0 0 0 1189730 853797 5164452 595780	2051 0 0 291961 559149 0 0 0 1186966 849998 4422124 595780	0.01 0.00 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15 1.86	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15 1.86		NDIALIMITE
	Institutions Mutual Fund/UTI Financial Institutions/Banks Central/State Government(s) Venture Capital Funds Insurance Companies Foreign Institutional Investors Foreign Venture Cap. Investors Qualified Foreign Investor Any Other (specify) Sub-Total (B)(1) Non Institutions Bodies Corporate Individual-1. Individual shareholders holding nominal share Individuals Individual shareholders holding nominal share capital up to Rs. 1 lakh Qualified Foreign Investor Investor St. 1 lakh Qualified Foreign Investor Invy Other (specify) IRI - Non Rep. IRI - Rep. Ioreign National Verseas Corporate Bodies Oreign Bodies Fust	37 0 0 0 3 6 6 0 0 0 0 6 6 41059 14 278 266 1 1	4142 0 0 291961 559149 0 0 0 1189730 853797 5164452 595780	2051 0 0 291961 559149 0 0 0 1186966 849998 4422124 595780 120506 61571 54 0	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15	0.01 0.00 0.00 0.91 1.75 0.00 0.00 0.00 3.72 2.67 16.15		NDIALIMITE
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GIRISH TEKCHAMDANI COMPANY SECRETARY

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

		Details of S	Shares held	Enc	umbered sha	res(*)	Detail	s of warrants	100000000000000000000000000000000000000	convertible urities	Total shares (including underlying shares
	Name of the shareholder	No of Shares	As a % of grand total	Number	Asa .	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	warrants	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % of diluted share capital
Sr.No.	(11)	(III)	(IV)	· (V)	(VI)= (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
	NOVARTIS AG	10170445	31.82		0.00	0.00	N. A.	N. A.	N. A.	N. A.	31.82
		8147365	25.50		0.00	-		N. A.	N. A.	N. A.	25.50
-	NOVARTIS AG	2921800	9.14		0.00	The second second		N. A.	N. A.	N. A.	9.14
_	NOVARTIS AG		8.54		0.00			N. A.	N. A.	N. A.	8.54
4	NOVARTIS AG TOTAL	2730987 23970597	75.00		0.00			1			75.00

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations , 2011

	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the	
(I)(c)(i)	category "Public" and holding more than 1% of the total number of shares.	

Sr.No.	Name of the shareholder		Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares
		Number of shares held		No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % of diluted share capital
	ATUL LIMITED	384660	1.20	N. A.	N. A.	N. A.	N. A.	1.20
	PARI WASHINGTON COMPANY PVT LTD A/C PARI	347065	1.09					1.09
	TOTAL	731725	2.29				- 7	2.29

(i)(c)(ii)	PAC) belonging to the categ		Shares as a percentage of		of warrants	Details of	convertible urities	(including
Sr.No.	shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	mulcated in	No of warrants held	As a % of total number of warrants of the same class	The second property of the second second second	total number of convertible securities of the same class	underlying shares assuming full conversion of warrants and convertible securities as a
31.110.	N. A.			N. A.	N. A.	N. A.	N. A.	N. A.

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(1) (d)	Statement showing detail	s of locked in shares		
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}	Promoter Promoter Group/ Public
	N. A.			
	TOTAL		7388	

(II) (a)	Statement showing details of Depository Receipts (DRS)							
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {l.e. Grand Total (A)+(B)+C) indicated in Statement at para {1)(a)above}				
	N. A.							
	TOTAL			1				

(II) (b)	Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no.of shares						
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}			
	N. A.						
	TOTAL						

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