

PATEL ENGINEERING LTD.

	Introductory	sub-table (I)(a)	
	mioudototy	(1)(4)	
Name of the Company: PATEL ENG	INEERING LIMITED		
Scrip Code-531120, Name of the sc	rip- PATELENG, class of secur	ity- EQUITY	
Quarter ended: 30/09/2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group Held by public	NA NA	NA NA	NA NA
Total - D	NA	NA	NA
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NA .	NA	NA
Held by public	NA	NA	NA
Total - F	NA	NA	NA
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	76,806,282		

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For PATEL ENGINEERING LTD.

Company Secretary,

CIN: L99999MH1949PLC007039

		Statement 9		areholding Patteri	n .			
Categ ory code	Category of Shareholder	Number of Shareholde rs	Total number of shares	ble (I)(a) Number of Total shareh shares held in dematerialized of sha form		total number		
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentag
(I)	(H)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*1 00
(A)	Shareholding of Promoter and Promoter							
	Group ²					<u> </u>		
1	Indian							
(a)	Individuals/ Hindu Undivided Family	7	3473750	3473750	4.52	4.52		
(b)	Central Government/ State Government(s) Bodies Corporate	2	35409681	35409681	46.10	46.10	-	-
	Financial Institutions/ Banks			30403001	40.10		-	-
	Any Others(Specify)	-	-	_	-			-
,,,,							-	
	Sub Total(A)(1)	9	38883431	38883431	50.63	50.63	-	-
2	Foreign	 						
а	Individuals (Non-Residents Individuals/					_		
	Foreign Individuals) Bodies Corporate	-	-	-	-			· -
C	Institutions		-	-	-	-	-	-
	Qualified Foreign Investor	-	-	-	-		-	
ę	Any Others(Specify)	- 0	- 0	0	- 0	- 0		-
	Sub Total(A)(2)	U	<u>U</u>	- · · ·				
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	38883431	38883431	50.63	50.63		-
(B)	Public shareholding							
	Institutions	-						
	Mutual Funds/ UTI	1		541	0.00		-	-
	Financial Institutions 'Banks	9	1260706	1260706	1.64	1.64		-
	Central Government/ State Government(s)	-	-		-	-	-	-
	Venture Capital Funds Insurance Companies	1	2266764	2266764	2.95	2.95	-	-
(e) (f)	Foreign Institutional Investors	7	370980	370980	0.48	0,48	_	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-		-		-		-
(i) _	Any Other (specify)	18	3898991	3898991	5.08	5.08	-	
	Sub-Total (B)(1)	10	3030331	3090991	3.00	3.00		
2	Non-institutions							
	Bodles Corporate	778	4672616	4668716	6.08	6,08	355051	7.60
(b)	Individuals	-	-		-	-		
1	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	57988	14982648	14268658	19.51	19.51	313401	2.09
П	il, Individual shareholders holding nominal	14	5202873	4901073	6.77	6.77	160000	3.08
(c)	share capital in excess of Rs. 1 lakh. Qualified Foreign Investor	1	5202013	49010/3	9,17	0.17	-	- 5.00
	Any Other (specify)	-	-	-	-	-		-
	CLEARING MEMBER	275	771737	771737	1.00	1.00	96298	12.48
	MARKET MAKER			-	+		-	-
	OFFICE BEARERS					-	<u> </u>	
	FOREIGN NATIONALS NON RESIDENT INDIANS (REPAT)	691	512555	490355	0.67	0.67	100	0.02
	NON RESIDENT INDIANS (NEFAT)	193	245144	245144	0.32		30	
	DIRECTORS / RELATIVES	15	1589180	1589180	2.07	2.07	-	
d-viii)	TRUSTS	6	6047107	6047107	7.87	7.87		-
	Sub-Total (B)(2)	59960	34023860	32981970	44.30	44.30	924850	2.72
	Total Public Shareholding (B)=							
	(B)(1)+(B)(2)	59978	37922851	36880961	49.37	49.37	924850	2.44
	TOTAL (A)+(B)	59987	76806282	75764392	100.00	100.00	924850	1,20
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0					-	-
2	Public Sub-Total (C)	0					-	-
								<u> </u>
			1	1]		Ţ





(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category. "Promoter and Promoter Group."

Sr.	Name of the shareholder	Details o	of Shares held		Encumbered sha	res (*)	Details of	warrants	convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
No.		Number of shares held	As a % of grand total (A) +(B) +(C)	Na.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertib le securitie s held	As a % total number of convertib le securitie s of the same	
(1)	(9)	(18)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(20)	(XII)
19	PROMOTER	12									
1	PATEL CORPORATION LLP	18665257	24.30		0,00	0,00	0	0		0	
2	PRAHAM INDIA LLP	16744424	21,80		0,00	0.00	0	0		0	
3	PATEL RUPEN PRAVIN	1352600	1.76		0,00	0.00	0	0		0	
4	PATEL PRAVIN ARJUNBHAI	40800	0.05		0.00	0.00	0	0		0	
5	SONAL PATEL	350500	0.46		0.00	0.00				0	
	PROMOTER GROUP	***			0.00	0.00				0	
	RIANA BATRA	199950	0.10		0.00	0.00				0	
7	PATEL CHANDRIKA PRAVIN	149900			0.00	0,00				0	
8	ALINA RUPEN PATEL	1290000			0.00	0.00		_		0	
9	RYAN RUPEN PATEL	90000	0.12		0.00	0,00	0	0		. 0	
тот	<u> </u>	38883431	50.63	0	0,00	0,00	0				

^(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011





(I)(c)(I) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {I.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Number	As a % total number of warrants of the same class	Number of convertible	% w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	PATEL ENGINEERING EMPLOYEES WELFARE TRUST	6045000	7.87	_	_	_	_	-
2	LIFE INSURANCE CORPORATION OF INDIA	2394881	3.12	-		-	-	-
3	DINESH GOVIND PATEL	1363815	1.78		-		-	-
	SANJIV K CHAINANI	950000	1.24		-	-	-	-
	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	868000	1.13		-	_	-	-
	TOTAL	11621696	15.13	-	-		-	-

(I)(c)(II) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the	Number	Shares as a	Details	of warrants	Details of	convertible	Total shares
	shareholder(s) and	of shares	percentage of	Number	As a % total	Number of	% w.r.t	(Including
	the Persons Acting In		total number of	of	number of	convertibl	total	underlying
	Concert (PAC) with		shares	warrants	warrants of	e	number of	shares
	them		(i.e., Grand Total		the	securities	convertible	assuming full
			(A)+(B)+(C)		same class	held	securities	conversion of
			indicated in				of the same	warrants and
			Statement at para		ł		class	convertible
			(IV/a)					cocurition) as a
								474
1	PATEL ENGINEERING EMPLOYEES WELFARE							
	TRUST	6045000	7.87				-	-

(i)(d) Statement showing details of locked-in shares

	Statement at para (I)(a) above}
197600	0.26
16546824	21.54
18665257	24.30
35409681	46.10
	16546824 18665257

