

Introductory sub-table (I)(a)			
Name of the Company: PHILLIPS CARBON BLACK LIMITED			
Scrip Code, Name of the scrip, class of security: 506590 (Bse), PHILPCARB (Nse), 26125 (Cse) Equity Shares			
Quarter ended: 30-09-2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	2112	100	0.006
<b>Total - D</b>	<b>2112</b>	<b>100</b>	<b>0.006</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
<b>Total - E</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
<b>Total - F</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	34467572		100

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**Statement Showing Shareholding Pattern**

**Table (I)(a)**

Category code (I)	Category of shareholder(II)	Table (I)(a)			Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
		Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	As a percentage of (A+B)	As a percentage of (A+B+C) (VII)	Number of shares	As a percentage (IX) ** (VIII/IV)* 100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	9	18,426,557	18,426,557	53.461	53.461	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any Other (specify) Person acting in concern	-	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	9	18,426,557	18,426,557	53.461	53.461	-	-
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	-	-	-	-	-	-	-
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	9	18,426,557	18,426,557	53.461	53.461	-	-
(B)	Public shareholding						NA	NA
1	Institutions						NA	NA
(a)	Mutual Funds/ UTI	2	216,313	214,711	0.628	0.628	-	-
(b)	Financial Institutions/ Banks	31	61,288	59,936	0.178	0.178	-	-
(c)	Central Government/ State Government(s)	1	467,900	467,900	1.358	1.358	-	-
(d)	Insurance Companies	-	-	-	-	-	-	-
(e)	FIs	10	3,205,574	3,205,574	9.300	9.300	-	-
(f)	Qualified Foreign Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital	-	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	44	3,951,075	3,948,121	11.463	11.463	-	-
2	Non-institutions						NA	NA
(a)	Bodies Corporate	579	4,008,835	4,000,760	11.631	11.631	-	-
(b)	Individuals						-	-
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh	28,664	5,698,168	4,904,663	16.532	16.532	-	-
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	52	1,908,729	1,895,396	5.538	5.538	-	-
(c)	Any Other (Specify) i. Directors	-	-	-	-	-	-	-
2. Relatives		-	-	-	-	-	-	-
3. Clearing Member		169	142,439	142,439	0.413	0.413	-	-
4. Trust		-	-	-	-	-	-	-
5. Office Bearers		-	-	-	-	-	-	-
6. NRIs		654	281,666	216,603	0.817	0.817	-	-
7. NRN		110	50,103	49,930	0.145	0.145	-	-
8. Foreign National		-	-	-	-	-	-	-
9. Foreign Company		-	-	-	-	-	-	-
	<b>Sub-Total (B)(2)</b>	30,228	12,089,940	11,209,791	35.076	35.076	-	-
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	30,272	16,041,015	15,157,912	46.539	46.539	NA	NA
	<b>TOTAL (A)+(B)</b>	30,281	34,467,572	33,584,469	100.000	100.000	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued						NA	NA
1	Promoter & Promoter Group	-	-	-	-	-	-	-
2	Public	-	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	30,281	34,467,572	33,584,469	100.000	100.000	0.00	0.00

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(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Category	Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)		No.	As a percentage	% of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)		(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	UNIVERSAL INDUSTRIAL FUND LTD. PAN:AAACU4332H	5856762	16.992	Promoter Group								
2	ADORN INVESTMENTS LTD. PAN:AACCA169R	4163749	12.080	Promoter Group								
3	UJALA AGENCY PRIVATE LIMITED PAN:AARCU1770D	2736019	7.938	Promoter Group								
4	OFF SHORE INDIA LTD PAN:AAACD6223E	1579655	4.583	Promoter Group								
5	ADAPT INVESTMENTS LTD. PAN:AACCA170A	2558039	7.422	Promoter Group								
6	DOTEX MILRC-HANDISE PRIVATE LIMITED	1170000	3.249	Promoter Group								
7	TRADE APARTMENTS LTD. PAN:AABCT2117P	321850	0.934	Promoter Group								
8	STELL HOLDINGS LIMITED PAN:AADC53337H	90383	0.267	Promoter Group								
9	SAREGAMA INDIA LIMITED PAN:AAACT9815B	100	0.000	Promoter Group								
<b>TOTAL</b>		<b>18426557</b>	<b>53.461</b>		<b>0</b>	<b>0.00</b>	<b>0.000</b>					

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NATIONAL WESTMINSTER BANK PLC AS TRUSTEE PAN:AABTT4862F	1000000	2.901					
2	ACACIA PARTNERS, LP PAN:AALFA7272K	637500	1.850					
3	BNK CAPITAL MARKETS LTD. MAYFAIR TOWER 2 PALM AVENUE KOLKATA - 700 019 PAN:AABCB4140N	520000	1.509					
4	ACACIA INSTITUTIONAL PARTNERS, LP PAN:AALFA7287Q	501600	1.455					
5	KERALA STATE INDUSTRIAL DEVELOPMENT FUND PAN:AAACK9434D	467900	1.358					
6	ACACIA COSERVATION FUND PAN:AACGA4642M	360000	1.044					
	<b>TOTAL</b>	<b>3487000</b>	<b>10.117</b>					

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1		0	0.00					
<b>TOTAL</b>		<b>0</b>		<b>0</b>		<b>0</b>		<b>0</b>

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(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	CATEGORY	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	OFF SHORE INDIA LTD	PROMOTER	450,000	1.306
2	ADORN INVESTMENTS LTD	PROMOTER	400,000	1.161
3	ADAPT INVESTMENTS LTD	PROMOTER	400,000	1.161
<b>TOTAL</b>			<b>1,250,000</b>	<b>3.627</b>

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(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N.A.			
2				
3				
<b>TOTAL</b>		0	0	

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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.			
2				
3				
TOTAL			0	

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