

SUTLEJ TEXTILES & INDUSTRIES LIMITED
SHAREHOLDING PATTERN AS ON 30.09.2014

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532782		Name of Scrip : SUTLEJTEX		Class of Security : Equity		Quarter Ended : 30.09.2014		
Category Code	Partly paid up shares			Number of partly paid up shares		As a % of total number of partly paid up shares		As a % of total number of shares of the Company
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0		0.00		0.00
	Outstanding Convertible Securities			Number of outstanding Securities		As a % of total No. of outstanding Con. Securities		As a % of total No. of shares of the Company, assuming full conversion of the Securities
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0		0.00		0.00
	Warrants			Number of warrants		As a % of total number of warrants		As a % of total number of shares of the Company assuming full conversion of warrants
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0		0.00		0.00
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities			16382862				
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of		Shares pledged or otherwise encumbered	
					(A+B) ¹	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(V)*100
(A)	Shareholding of Promoter and Promoter Group ²							
(1)	Indian							
	(a) Individuals/H.U.F							
	(b) Central/State Government(s)							
	(c) Bodies Corporate	13	10456851	10456851	63.83	63.83	3875000	37.06
	(d) Financial Institutions/Banks							
	(e) Any Other (specify)							
	Sub-Total (A)(1)	13	10456851	10456851	63.83	63.83	3875000	37.06
(2)	Foreign							
	(a) Non Resident Individuals/Foreign Nationals							
	(b) Bodies Corporate							
	(c) Institutions							
	(d) Qualified Foreign Investor							
	(e) Any Other (specify)							
	Sub Total (A)(2)	0	0	0	0.00	0.00		
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	13	10456851	10456851	63.83	63.83	3875000	37.06
(B)	Public Shareholding³							
(1)	Institutions							
	(a) Mutual Fund/UTI							
	(b) Financial Institutions/Banks	4	5350	5350	0.03	0.03		
	(c) Central/State Government(s)							
	(d) Venture Capital Funds							
	(e) Insurance Companies							
	(f) Foreign Institutional Investors							
	(g) Foreign Venture Cap. Inv							
	(h) Qualified Foreign Investor							
	(i) Any Other (specify)							
	Sub-Total (B)(1)	4	5350	5350	0.03	0.03		
(2)	Non Institutions							
	(a) Bodies Corporate	332	3050994	2871321	18.62	18.62		
	(b) Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	4959	1810915	1532167	11.06	11.06		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	30	1027122	1027122	6.27	6.27		
	(c) Qualified Foreign Investor							
	(d) Any Other (specify)							
	(d-i) Overseas Corporate Bodies							
	(d-ii) Non Resident Individuals	135	31630	23033	0.19	0.19		
	(d-iii) Foreign National	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	5456	5920661	5453643	36.14	36.14		
	Total Public shareholding (B)=(B)(1)+(B)(2)	5460	5926011	5458993	36.17	36.17		
	TOTAL (A)+(B)	5473	16382862	15915844	100.00	100.00	3875000	23.65
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0		
	1 Promoter and Promoter Group							
	2 Public							
	GRAND TOTAL (A)+(B)+(C)	5473	16382862	15915844	100.00	100.00	3875000	23.65



(b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	UTTAR PRADESH TRADING CO. LTD	3041697	18.57	2175000	71.51	13.28	0	0.00	0	0.00	18.57
2	HARGAON INVESTMENT & TRADING CO. LTD.	1711396	10.45	1100000	64.28	6.71	0	0.00	0	0.00	10.45
3	NEW INDIA RETAILING AND INVESTMENT LTD	1706304	10.42	0	0.00	0.00	0	0.00	0	0.00	10.42
4	YASHOVARDHAN INVESTMENT & TRADING CO. LTD.	1486836	9.08	0	0.00	0.00	0	0.00	0	0.00	9.08
5	RONSON TRADERS LIMITED	972373	5.94	0	0.00	0.00	0	0.00	0	0.00	5.94
6	OSM INVESTMENT & TRADING CO. LTD.	638820	3.90	400000	62.62	2.44	0	0.00	0	0.00	3.90
7	CHAMPARAN MARKETING CO. LTD.	309810	1.89	200000	64.56	1.22	0	0.00	0	0.00	1.89
8	SCM INVESTMENT & TRADING CO. LTD.	182928	1.12	0	0.00	0.00	0	0.00	0	0.00	1.12
9	RTM INVESTMENT & TRADING CO. LTD.	182928	1.12	0	0.00	0.00	0	0.00	0	0.00	1.12
10	SIDH ENTERPRISES LTD.	119424	0.73	0	0.00	0.00	0	0.00	0	0.00	0.73
11	SIL INVESTMENT LTD	75000	0.46	0	0.00	0.00	0	0.00	0	0.00	0.46
12	SONALI COMMERCIAL LTD.	28435	0.17	0	0.00	0.00	0	0.00	0	0.00	0.17
13	UTTAM COMMERCIAL LTD.	900	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
TOTAL		10456851	63.83	3875000	37.06	23.65	0	0.00	0	0.00	63.83

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.											
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class				
1	BIRLA INSTITUTE OF TECHNOLOGY AND SCIENCE	1128658	6.89	0	0.00	0	0.00	6.89			
2	EARTHSTONE HOLDING (TWO) PRIVATE LIMITED	980369	5.98	0	0.00	0	0.00	5.98			
3	NAVJEEWAN MEDICAL INSTITUTE	285691	1.74	0	0.00	0	0.00	1.74			
4	VINODCHANDRA MANSUKHLAL PAREKH	180154	1.10	0	0.00	0	0.00	1.10			
5	PILANI INVESTMENT & INDUSTRIES CORP. LTD	171463	1.05	0	0.00	0	0.00	1.05			
TOTAL		2746335	16.76	0	0.00	0	0.00	16.76			



(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company.

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	BIRLA INSTITUTE OF TECHNOLOGY AND SCIENCE	1128658	6.89	0	0.00	0	0.00	6.89
2	EARTHSTONE HOLDING (TWO) PRIVATE LIMITED	980369	5.98	0	0.00	0	0.00	5.98
TOTAL		2109027	12.87	0	0.00	0	0.00	12.87

(1) (d) Statement showing details of locked in shares

Sr. No.	Name of shareholder	Category of shareholders (Promoters/public)	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+(C) indicated in statement at para(1)(a) above)
NA				
TOTAL				

(II) (a) Statement showing details of Depository Receipts (DRS)

Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of shares	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a)above)
NA				
TOTAL				

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no. of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a)above)
NA				
TOTAL				



III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.							
(Give description of voting rights for each class of security Class X, Class Y, Class Z)							
Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights	Total Voting Rights (VI)	
		Class X	Class Y	Class Z	(III+IV+V)	As a % of (A+B)	As a % of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family						
(b)	Central/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Foreign						
(a)	Individuals (Non Resident/Foreign)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1) + (A)(2)						
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/Banks						
(c)	Central/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Non Institutions						
(a)	Bodies Corporate						
(b)	Individuals						
	i. Individual shareholders holding nominal share capital upto Rs. 1 lakh						
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Any Other (specify)						
	Sub-Total (B) (2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

