

Statement showing Shareholding Pattern

Name of the Company: **Uni Abex Alloy Products Limited**
 Scrip Code, Name of the scrip, class of security: **504605, Uni Abex Alloy Products Limited, Equity**
 Quarter ended: **30th September 2014**

Partly paid-up shares	Number of partly paid-up shares	As a Percentage of total number of partly paid-up shares	As a Percentage of total number of shares of the company
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	1500	0.00%	0.08%
Total	1500	0.00%	0.08%
Outstanding convertible securities	Number of outstanding securities	As a Percentage of total number of outstanding convertible securities	As a Percentage of total number of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Warrants	Number of Warrants	As a Percentage of total number of warrants	As a Percentage of total number of shares of the company, assuming full conversion of warrants
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities *	1975000		

* Out of the total paid up capital of 1975000, 1500 shares are partly paid up shares as calls on the same remain unpaid

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	Shareholding of Promoter and Promoter Group²							
	Indian							
(1)	Individuals/ Hindu Undivided Family	4	8027	8027	0.41%	0.41%	0	0.00%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(c)	Bodies Corporate	3	1243300	1243300	62.95%	62.95%	0	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(1)	7	1251327	1251327	63.36%	63.36%	0	0.00%
	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00%	0.00%	0	0.00%
(b)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00%
(c)	Institutions	0	0	0	0.00%	0.00%	0	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(2)	0	0	0	0.00%	0.00%	0	0.00%
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	1251327	1251327	63.36%	63.36%	0	0.00%
(B)	Public shareholding³							
(1)	Institutions							
(a)	Mutual Funds / UTI	1	100	0	0.01%	0.01%	0	0.00%
(b)	Financial Institutions/ Banks	5	506	0	0.03%	0.03%	0	0.00%
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%	0	0.00%
(e)	Insurance Companies	0	0	0	0.00%	0.00%	0	0.00%
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0.00%
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0.00%
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(i)	OCB	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (B)(1)	6	606	0	0.03%	0.03%	0	0.00%



(2)	Non-Institutions	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of shares pledged or otherwise encumbered	As a Percentage	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % of total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
(b)	Bodies Corporate	81	40051	0	2.03%	2.03%	0	0.00%	0	0.00%	0
(b)	Individuals -	7192	556129	0	28.16%	28.16%	0	0.00%	0	0.00%	0
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	6	123857	0	6.27%	6.27%	0	0.00%	0	0.00%	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	0	0	0	0.00%	0.00%	0	0.00%	0	0.00%	0
(c)	Qualified Foreign Investor	22	3030	0	0.15%	0.15%	0	0.00%	0	0.00%	0
(d)	Any Other (NRI)	7301	723067	0	36.61%	36.61%	0	0.00%	0	0.00%	0
	Sub-Total (B)(2)	7307	723673	0	36.64%	36.64%	0	0.00%	0	0.00%	0
	TOTAL (A)+(B)	7314	1975000	0	100.00%	100.00%	0	0.00%	0	0.00%	0
(C)	Shares held by promoters and against Depository Receipts have been Issued	0	0	0	0.00%	0.00%	0	0.00%	0	0.00%	0
	GRAND TOTAL (A)+(B)+(C)	7314	1975000	0	100.00%	100.00%	0	0.00%	0	0.00%	0

(1)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group."

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % of total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	CHEMICALS AND FERRO	155800	7.89%	0	0.00%	0	0.00%	155800
2	CHUNDAVI LTD.	125	0.01%	0	0.00%	0	0.00%	125
3	FERROZ PHUNISHAW NETERWALA	4802	0.24%	0	0.00%	0	0.00%	4802
4	FIROZE ADI VAKIL	500	0.03%	0	0.00%	0	0.00%	500
5	RUSTOM BURJOR MEHTA	2600	0.13%	0	0.00%	0	0.00%	2600
6	UNTEL FINANCE & INVESTMENTS PVT LTD	817500	41.39%	0	0.00%	0	0.00%	817500
7	UNIVERSAL FERRO AND ALLIED CHEMICALS LTD	270000	13.67%	0	0.00%	0	0.00%	270000
TOTAL		1251327	63.36%	0	0.00%	0	0.00%	1251327

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % of total number of Warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	DHIREN SHEVANTIL SHAH	30000	1.52%	0	0.00%	0	0.00%	30000
2	DIPTI D SHAH	30000	1.52%	0	0.00%	0	0.00%	30000
		60000	3.04%	0	0.00%	0	0.00%	60000



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1 -		0	0.00%	0	0.00%	0	0.00%	0
TOTAL		0	0.00%	0	0.00%	0	0.00%	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoter / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter / promoter group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

For Uni Abex Alloy Products Limited



Milind Ashar
Company Secretary & Compliance Officer

