

Form C
Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992
[Regulation 13(3) and (6)]

Regulation 13(3) – Details of change in shareholding in respect of persons holding more than 5% shares in a listed company

Name, PAN & address of shareholders	Shareholding prior to acquisition/sale	No. & % of shares / voting rights acquired/ sold	Receipt of allotment advice/ acquisition of shares/ sale of shares (specify)	Date of intimation to company	Mode of acquisition (market purchase / public rights / preferential offer, etc.)	No. & % of shares/ voting rights post – acquisition/ sale	Trading Member through whom the trade was executed with the SEBI Registration No. of the TM	Exchange on which the Trade was executed	Buy quantity	Buy Value	Sell Quantity	Sell Value
Tata Capital Financial Services Limited One Forbes, Dr. V. B. Gandhi Marg, Fort, Mumbai – 400 001	7,00,000 (Seven Lacs only) unlisted cumulative redeemable preference shares	7,00,000 (Seven Lacs only) unlisted cumulative redeemable preference shares sold, constituting 100% of the paid-up preference share capital and 17.40% of the total share capital of the company	Sale of shares	14 th November, 2014	NA	NIL	NA	NA	NA	NA	7,00,000 (Seven Lacs only) unlisted cumulative redeemable preference shares	Rs. 8,40,00,000 (Eight Crore Forty Lacs only)

For Tata Capital Financial Services Limited



Kamlesh Parekh
 (Head – Legal & Compliance)

Place: Mumbai
 Date: November 14, 2014