

Format for disclosure by the Promoter(s) to the stock exchanges and to the Target Company for encumbrance of shares / invocation of encumbrance/ release of encumbrance, in terms of Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Name of the Target Company(TC)	Swan Energy Limited
Names of the Stock Exchanges where the shares of the target company are listed	Bombay Stock Exchange Limited & National Stock Exchange Limited
Date of reporting	13/02/2014
Name of the promoter or PAC on whose shares encumbrance has been created/released/invoked	Swan Engitech Works Private Limited
Details of the creation/invocation/release of encumbrance:	Creation of pledge

Name of the promoter (s) or PACs with him(**)	Promoter holding in the target company (1)		Promoter holding already encumbered (2)	Details of events pertaining to encumbrance (3)						Post event holding of encumbered shares [(2)+(3)] / release [(2)-(3)] / invocation [(2)-(3)]			
	No. of shares	% of total share capital		% w.r.t diluted share capital(*)	No. of shares	% of total share capital	Type of event (creation/invocation/release of encumbrance)	Date of creation/invocation/release of encumbrance	Type of encumbrance (pledge/lien/non disposal undertaking/others)		No. of Shares	% of total share capital	Name of the entity in whose favor shares encumbered
Swan Engitech Works Pvt. Ltd.	19201429	20.21%	20.21%	0	0%	Creation	28/03/2013	Pledge	6000000	6.32%	Arum Investments Pvt. Ltd.	6000000	6.32%

For Swan Engitech Works Private Limited

B. J. V. D.

Authorized Signatory

Place: Mumbai
Date: 13/02/2014

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Date of reporting	13/02/2014
Name of the promoter or PAC on whose shares encumbrance has been created/released/invoked	Swan Engitech Works Private Limited
Details of the creation/invocation/release of encumbrance:	Release of pledge

Name of the promoter (s) or PACs with him(**)	Promoter holding in the target company (1)			Promoter holding already encumbered (2)			Details of events pertaining to encumbrance (3)					Post event holding of encumbered shares [(2)+(3)]/ release [(2)-(3)] / invocation [(2)-(3)]		
	No. of shares	% of total share capital	% w.r.t diluted share capital(*)	No. of shares	% of total share capital	% of total share capital	Type of event (creation/invocation/release/encumbrance)	Date of creation/invocation/release of encumbrance	Type of encumbrance (pledge/lien/non disposal undertaking/ others)	No. of Shares	% of total share capital		Name of the entity in whose favor shares encumbered	
Swan Engitech Works Pvt. Ltd.	19201429	20.21%	20.21%	6000000	6.32%	6.32%	Release	01/07/2013	Pledge	1619800	1.71%	Arum Investments Pvt. Ltd.	4380200	4.61%

For Swan Engitech Works Private Limited

[Signature]

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Date of reporting	13/02/2014
Name of the promoter or PAC on whose shares encumbrance has been created/released/invoked	Swan Engitech Works Private Limited
Details of the creation/invocation/release of encumbrance:	Creation of pledge

Name of the promoter (S) or PACs with limit(**)	Promoter holding in the target company (1)		Promoter holding already encumbered (2)		Details of events pertaining to encumbrance (3)						Post event holding of encumbered shares {creation [(2)+(3)] / release [(2)-(3)] / invocation [(2)-(3)]}	
	No. of shares	% of total share capital	No. of shares	% w.r.t diluted share capital(*)	Type of event/creation/ release /invocation	Date of creation/ invocation / release of encumbrance	Type of encumbrance (pledge/lien/ non disposal undertaking/ others)	No. of Shares	% of total share capital	Name of the entity in whose favor shares encumbered	No. of Shares	% of total share capital
Swan Engitech Works Pvt. Ltd.	19201429	20.21%	4380200	20.21%	Creation	30/08/2013	Pledge	502613	0.53%	Arum Investments Pvt. Ltd.	4882813	5.14%

For Swan Engitech Works Private Limited

B.S.V.D.

Authorized Signatory

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Date: 13/02/2014