


(I)(a) Statement showing Shareholding Pattern in Clause 35 - As on 31.03.2014

<b>Name of the Company : ALSTOM India Limited</b>
<b>Scrip Code : BSE - 532309- NSE - AIL, Scrip Name : ALSTOM, Class of Security : Equity</b>
<b>Quarter Ended: 31st March 2014</b>

<b>Partly Paid-up Shares :-</b>	<b>Number of partly paid-up shares</b>	<b>As a % of total number of partly paid-up shares</b>	<b>As a % of total number of shares of the Company</b>
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	Nil	Nil	Nil
<b>Outstanding convertible securities :</b>	<b>Number of outstanding securities</b>	<b>As a % of total number of outstanding convertible securities</b>	<b>As a % of total number of shares of the Company, assuming full conversion of the convertible securities</b>
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	Nil	Nil	Nil
<b>Warrants :</b>	<b>Number of warrants</b>	<b>As a % of total number of warrants</b>	<b>As a % of total number of shares of the Company, assuming full conversion of warrants</b>
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	Nil	Nil	Nil
<b>Total paid-up capital of the Company assuming full conversion of warrants and convertible securities</b>	67227471	0	100.00

For ALSTOM India Limited

  
Company Secretary

Category code	Category of Shareholder	No. of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Share Pledged or otherwise encumbered	
					As a % of (A+B) <sup>1</sup> (VI)	As a % of (A+B+C) (VII)	Number of shares (VIII)	As a % (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
(1)	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-	-
(b)	Central Government / State Government (s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>		
(2)	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	1	46088294	46088294	68.56	68.56	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	<b>1</b>	<b>46088294</b>	<b>46088294</b>	<b>68.56</b>	<b>68.56</b>		
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>1</b>	<b>46088294</b>	<b>46088294</b>	<b>68.56</b>	<b>68.56</b>		
<b>(B)</b>	<b>Public Shareholding<sup>3</sup></b>						NA	NA
(1)	<b>Institutions</b>						NA	NA
(a)	Mutual Funds / UTI	44	5945556	5945056	8.84	8.84		
(b)	Financial Institutions / Banks	35	2355699	2332844	3.50	3.50		
(c)	Central Government / State Government (s)	3	259742	0	0.39	0.39		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	4	1357905	1357905	2.02	2.02		
(f)	Foreign Institutional Investors	43	784931	784719	1.17	1.17		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(1)</b>	<b>129</b>	<b>10703833</b>	<b>10420524</b>	<b>15.92</b>	<b>15.92</b>		
(2)	<b>Non-institutions</b>						NA	NA
(a)	Bodies Corporate	769	2461707	2450166	3.66	3.66		
(b)	Individuals - (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.	45710	6888669	6172215	10.25	10.25		
(c)	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	28	730915	633699	1.09	1.09		
	Any Other (specify)							
	- Directors & Relatives	3	14048	13415	0.02	0.02		
	- Trusts	7	15520	15520	0.02	0.02		
	- Foreign Bodies Corporate	1	8383	0	0.01	0.01		
	- Non-resident Indians	670	228009	225367	0.34	0.34		
	- Clearing Members	230	88093	84651	0.13	0.13		
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(2)</b>	<b>47418</b>	<b>10435344</b>	<b>9595033</b>	<b>15.52</b>	<b>15.52</b>		
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>47547</b>	<b>21139177</b>	<b>20015557</b>	<b>31.44</b>	<b>31.44</b>		
	<b>TOTAL (A)+(B)</b>	<b>47548</b>	<b>67227471</b>	<b>66103851</b>	<b>100.00</b>	<b>100.00</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
(1)	Promoter and Promoter Group	0	0	0	0	0		
(2)	Public	0	0	0	0	0		
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>47548</b>	<b>67227471</b>	<b>66103851</b>	<b>100.00</b>	<b>100.00</b>		

For ALSTOM India Limited

  
Company Secretary

(I)(b) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of Shares held	As a % of grand total (A)+(B)+(C)	No. of Shares held	As a % (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No. of warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ALSTOM FINANCE BV	46088294	68.56	-	-	-	-	-	-	-	68.56
	<b>TOTAL</b>	<b>46088294</b>	<b>68.56</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>68.56</b>

(I)(c)(i) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% total number of shares**

Sr No.	Name of the shareholder	No. of Shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
				No. of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RELIANCE CAPITAL TRUSTEE CO. LTD. A/C RELIANCE DIVERSIFIED POWER SECTOR FUND	1411256	2.10	-	-	-	-	2.10
2	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	1338079	1.99	-	-	-	-	1.99
3	RELIANCE CAPITAL TRUSTEE CO LTD A/C- RELIANCE REGULAR SAVINGS FUND-EQUITY	1200000	1.78	-	-	-	-	1.78
4	LIFE INSURANCE CORPORATION OF INDIA	988642	1.47	-	-	-	-	1.47
5	LIC OF INDIA MARKET PLUS 1 GROWTH FUND	812457	1.21	-	-	-	-	1.21
6	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/CBIRLA SUN LIFE FRONTLINE EQUITY	778738	1.16	-	-	-	-	1.16
		<b>6529172</b>	<b>9.71</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>9.71</b>

(I)(c)(ii) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% total number of shares**

Sr No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above}	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
				No. of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	N.A.							
		0	0.00					

For ALSTOM India Limited

Company Secretary

(I)(d) Statement showing details of locked-in shares

Sl No.	Name of the shareholder	No. of locked-in shares	Locked-in shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Promoters / Promoter Group / Public
1	ALSTOM FINANCE BV	1524390	2.27	Promoter Group
	<b>TOTAL</b>	<b>1524390</b>	<b>2.27</b>	


(II)(a) Statement showing details of Depository Receipts (DRs)

Sl No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	- N A -			
	<b>TOTAL</b>			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

Sl No.	Name of the DR Holder	Type of outstanding DR's (ADRs, GDRs, SDRs, etc.,	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	- N A -			
	<b>TOTAL</b>			

For ALSTOM India Limited

  
Company Secretary

(III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of shares/securities is issued by the issuer - Not Applicable

Category (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of Securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class-Equity (III)	(IV)	(V)	As a % of (A+B) (VII)	As a % (A+B+C) VIII	
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>						
(1)	<b>Indian</b>						
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-
(b)	Central Government / State Government (s)	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	-	-	-	-	-	-
(2)	<b>Foreign</b>						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Any Other (specify)	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	-	-	-	-	-	-
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	-	-	-	-	-	-
<b>(B)</b>	<b>Public Shareholding</b>						
(1)	<b>Institutions</b>						
(a)	Mutual Funds / UTI	-	-	-	-	-	-
(b)	Financial Institutions / Banks	-	-	-	-	-	-
(c)	Central Government / State Government (s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	-	-	-	-	-	-
(2)	<b>Non-institutions</b>						
(a)	Bodies Corporate	-	-	-	-	-	-
(b)	Individuals - (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.	-	-	-	-	-	-
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	-	-	-	-	-	-
(c)	Any Other (specify)	-	-	-	-	-	-
	<b>Sub-Total (B)(2)</b>	-	-	-	-	-	-
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	-	-	-	-	-	-
	<b>TOTAL (A)+(B)</b>	-	-	-	-	-	-
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>						
(1)	Promoter and Promoter Group	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	-	-	-	-	-	-

For ALSTOM India Limited

  
Company Secretary