

ND/DFSL/SECTT/LISTING/2013-14

17TH April,2014

Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No C/1, G Block,
Bandra-Kurla Complex, Bandra (East),
MUMBAI – 400051

Sub.: SHAREHOLDING PATTERN-31st March, 2014

Dear Sir,

With reference to the clause 35 of the listing agreement, please find enclosed herewith Shareholding Pattern for the quarter ended 31st March,2014 in the prescribed format.

The above is for your record.

Stephanna

Thanking you

Yours faithfully

Director

Encl.: As above

Copy to:

- 1 Listing Department Bombay Stock Exchange Limited Floor 1, Phiroze Jeejeebhoy Towers Dalal Street MUMBAI – 400001
- 2 Listing Department Delhi Stock Exchange 3&4/4B, Asaf Ali Road Near Turknam Gate NEW DELHI-110006

DCM FINANCIAL SERVICES LIMITED

Quarter Ended Scrip Code, Name of the scrip, class of security: BSE-511611,NSE-DCMFINSERVE Name of the Company: 31-Mar-2014 /EQUITY

| Total paid-up capital of the company, assuming full conversion of warrants and convertible securifies | Total | Held by public | Held by promoter/promoter group | Warrants:- | Total | Held by public | Held by promoter/promoter group | Outstanding convertible Securities:- | Total | Held by public | Held by promoter/promoter group | Partly paid-up shares:- |
|---|-------|----------------|---------------------------------|--|-------|----------------|---------------------------------|--|-------|----------------|---------------------------------|--|
| 22125054 | 0 | 0 | 0 | No. of warrants | AN | NA | NA | No.of outstanding securities | NA | N _A | NA | No. of partly paid-up shares |
| | 0 | 0 | 0 | As a % of total no. of warrants | AN | AN | NA | As a % of total no. of outstanding convertible securities | NA | NA | NA A | As a % of total no.of partly paid-up shares |
| | .0000 | .0000 | .0000 | As a % of total no. of shares of the company, assuming full conversion of warrants | NA | NA | NA | As a % of total no. of shares of the company, assuming full conversion of the convertible securities | NA | NA | NA | As a % of total no, of shares of the company |

Prepared by MCS Limited.



| Category of Shareholders Category of Shareholders softer and Promoter Group divided Family State Government(s) State Government(s) Sub Total (A)(1): | Sub Total (A)(I): | Quarter Ended 3 Number of shareholders Total no. of Shares 1 15 3 8739937 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | Quarter Ended Number of Shares I Shares 3 873995 Cub Total (A)(1): 4 873995 |
|--|--|---|--|
| | Quarter En Quarter En Quarter En 1 1 0 0 0 0 0 0 | Ended 3 Total no. of Shares 8739937 8739937 0 0 0 0 0 | No. of Shares No. of Share |
| No. of Shares Total sharehold Percentage of Shares Shares Demateralised form As a percentage of (A+B) | Total sharehold Percentage of shares form | Total sharehold percentage of shares As a percentage of (A+B) .0001 .0000 .0000 .0000 .0000 .0000 | A CONTRACTOR OF THE CONTRACTOR |
| No. of Shares Shares Shares Shares No. of Shares Form | Total sharehold Percentage of shares form | Total sharehold percentage of shares As a percentage of (A+B) .0001 .0000 .0000 .0000 .0000 .0000 | ding as a total As a percentage of (A+B+C) .0001 .0000 .0000 .0000 .0000 .0000 .0000 .0000 |
| No. of Shares No. of Shares Held in Demateralised form | Total shareholding as a percentage of total shareholding as a percentage of total of (A+B+C) | Total shareholding as a percentage of total shares As a percentage of (A+B+C) As a percentage of (A+B+C) O001 .0001 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 .0000 | ing as a otal As a percentage of (A+B+C) .0001 .0000 .0000 .0000 .0000 .0000 .0000 .0000 |

| Shares Den | Shareholders Shares Demateralised Demateralised Morm Morm | Number of Itelat in Other Shares Shares Demicralisade of Shares Demicral Shares Demicral Shares Demicral Shares Other Shares | Number of |
|---|--|--|--|
| Total no. of held in Shares form Shares 0 0 0 0 1 1386400 11563209 149539 1712088 588948 2138534 203803 0 0 0 11998702 953273 13385102 953273 0 0 0 1827268 | Total no. of held in Shares form Shares form Ax. Demateralised form Ax. | Total no. of Shares Common | Total no. of held in Shares Dermatage of total Dermateralised As a percentage of total As a percentage As a pe |
| 0 0 149539 0 149539 0 149539 0 149539 0 149539 0 149539 0 149539 0 149539 | Demateralised form Ax form O O O O O O O O O O O O O O O O O O O | held in Shares Demateralised form Shares | held in Shares Demateralised form Derecentage As a percentage As a percenta |
| 100 to finites held in Demateralised form 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | | Decrentage of total shares As a percentage of (A+B+C) | Decreentage of total shares |
| | A | percentage of total shares | percentage of total shares |

UNIT: DCM FINANCIAL SERVICES LIMITED

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| No. | | - | 2 | ယ | 4 | |
|---|--|------------------|---|------------------------------------|------------------|----------|
| Name of the shall cholder | | DCM SERVICES LTD | INTELLECT CAPITAL SERVICES PRIVATE LTD. | SHRIRAM GLOBAL ENTERPRISES LIMITED | VIVEK BHARAT RAM | TOTAL |
| I otal sh | Number | 6352487 | 2075000 | 312450 | 15 | 8739952 |
| lotal shares held | As a % of grand total (A)+(B)+(C) | 28.7117 | 9.3785 | 1.4122 | .0001 | 39.5025 |
| Shares pledged | Number | 0 | 0 | 0 | 0 | 0 |
| Shares pledged or otherwise encumbered | As a percentage | .0000 | .0000 | .0000 | .0000 | .0000 |
| mbered | As a % of grand total (A)+(B)+(C) of sub-clause (1)(a) | .0000 | .0000 | .0000 | .0000 | .0000 |
| Details of Warrants | Number of Warrants | N | Zi . | N | Z | Z |
| Warrants | As a % of total number of warrants of the same class | Z | 2 | Z. | Ni | N |
| Details of Convertible Securities held | Number of As a % of convertible number of securities held convertible securities of same class | Z | Z. | Z | Z | Z |
| vertible | total | Z | Z | Z | Nii . | Z |
| Total shares(including underlying shares assuming | | <u>V</u> | Zi i | Nii | Nii · | Nii |



UNIT: DCM FINANCIAL SERVICES LIMITED

(I) (c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1 % of the total number of shares.

| Zi | Zi | Zi | Nii | N | 8.6775 | 1919900 | TOTAL | - |
|--|--|---|--|-----------------------|---|---------------------|------------------------------------|-----|
| Nii | Nii | Ni | Nii | Ni | 2.7119 | 600000 | CENTENNIAL CORPORATION PRIVATE LTD | 2 |
| Nii | Nii | Nii | Nii | Nii | 5.9656 | 1319900 | PUNJAB & SIND BANK | 1 |
| full conversion of warrants and conevrible securities) as a % of diluted share capital | As a % of total number of convertible securities of the same class | Number of convertible securities held | Number of As a % of total Number of total Number of convertible warrants of the securities held number of same class securities the securities the securities the same c | Number of Warrants | | | | |
| Total shares(including underlying shares assuming | vertible | Details of Convertible Securities held | | Details of Warrants | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above } | Number of Shares | Name of the shareholder | No. |



UNIT: DCM FINANCIAL SERVICES LIMITED

| | 1 | | No. | |
|---------|--------------------|---|--|-----------------|
| TOTAL | PUNJAB & SIND BANK | | Name of the shareholder and the Persons Acting In Concert(PAC) with them | of the company. |
| 1319900 | 1319900 | | Number of Shares | |
| 5.9656 | 5.9656 | Constitute of Paris (C)(c) above (| Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in | |
| N. | N | Number of Warrants | Details o | |
| Z | NII . | As a % of total Number of % w.r.t total number of convertible number of warrants of the Securities held convertible same class the same class | Details of Warrants | |
| Z | Nii | Number of convertible Securities held | Details of Convertible Securities held | |
| Z. | N | % w.r.t total number of convertible securities of the same class | nvertible 1 | |
| Z: | N. | underlying shares assuming full conversion of warrants and conevrible securities) as a % of diluted share capital | Total shares(including | |



UNIT: DCM FINANCIAL SERVICES LIMITED

(I) (d) Statement showing details of locked-in shares.

| | - | No. |
|-------|-----|--|
| TOTAL | NII | Srl Name of the shareholder |
| Z | Nii | Number of Shares Locked-in |
| NI | NII | Locked-in Shares as a percentage of total number Promoter/Promoter of shares (i.e., Grand Total (A)+(B)+(C) Group / Public indicated in Statement at para (I)(a) above } |
| | | ^{3er} Promoter/Promoter Group / Public |



UNIT: DCM FINANCIAL SERVICES LIMITED

(II) (a) Statement showing details of Depository Receipts (DRs)

| No. |
|---|
| Lype of outstanding DR (ADRS,GDRs SDRs,etc.) |
| Number of outstanding DRs |
| Numl share under outsta DRs |
| Shares underlying outstanding DRs as a percentage of total number of shares (i.e Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above) |
| |

(II) (b) Statement showing details of Depository Receipts (DRs) where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.

| TOTAL | | No. Name of the DR Holder |
|-------|------|---|
| | N.I. | DR Holder |
| N | Nii | Type of outstanding DRs (ADRs,GDRs, SDRs etc) |
| NII | NI | Number of shares underlying outstanding DRs |
| N | Nil | Shares underlying outstanding DRs as a percentage of total number of shares (i.e Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above) |



| | 2 | 1 | В | J | | 6 | d | 0. | ь | | a | 2 | | | | e | Ь | c | | 5 | a | 1 | ^ | | Category | |
|--------|-------------------|--------------|---------------------|---|-------------------|---------------------|----------------------------|--------------|------------------|--|--|---------------------------|------------------------------|------------|-------|---------------------|-------------------------------|------------------|---------|---|-------------------------------------|--------|---|---------|-------------------------------|--|
| | Mutual Funds/ U11 | Institutions | Public Shareholding | Total Shareholding of Promoter and Promoter Group $(A) = (A)(1) + (A)(2)$: | Sub Total (A)(2): | Any Other (Specify) | Qualified Foreign Investor | Institutions | Bodies Corporate | State of Sta | Individuals (Non Resident Indians / Foreign Individuals) | Foreign Sub Total (A)(1): | iii Educational Institutions | ii Society | Trust | Any Other (Specify) | Financial Institutions/ Banks | Bodies Corporate | | Central Government/ State Government(c) | Individuals/ Hindu Undivided Family | Indian | Shareholding of Promoter and Promoter Group | | yory Category of Shareholders | |
| NA | NA | 73 | | NA | NA | NA | NA | NA | AN | NA | | : NA | |) Y | NA | | NA | NA | NA | | NA | | | (III) | CLASS X | |
| NA | NA | | 1 | NA | NA | NA | NA | NA | NA | NA | | NA | | | NA | | NA | NA | NA | | NA | | | (IV) | CLASS Y | |
| NA | NA | | | NA | NA | NA | NA | NA | NA | NA | | · NA | | | NA |). | NA | NA | NA | | NA | /9 | | 3 | CLASS Z | |
| NA | NA | |)) | NA | NA | NA | NA | NA | NA | NA | | NA | | | NA | | NA | NA | NA | | AN | | | (M+N+M) | Total Voting Rights | |
| Z A | | | | NA | NA | | | | | | | NA | | | | | | ji di | į, Σ | | | | | | As a percentage of (A+B) | |
| NA A | | S.C. | | NA | NA | 5 | | | | | | NA . | Å. | | | | | | 1. | | | | | | As a percentage of (A+B+C) | |

A (19)

| - | | | 1 | | | | | | | 1 | | | | | | | | | | | 1 | | | | | | | В | | C. | |
|---------------|------------|-------------------|-------------------------|----------------------|--------------------------|-----------------------|---------------------|---------------------|------------------------------|----------------------------|--|--|-------------|----|------------------|------------------|-------------------|----|---------------------|----------------------------|-----------------------------------|---------------------------------|---------------------|-----------------------|---|-------------------------------|--------------|---------------------|----------|----------------------------|---|
| | 1 . | < | IV | | | = : | | , p | | | = ; | 1 | ь | | a | 2 | | | - | ь | g | f | c | Ф. | 0 | ь | 1 | | Code | Category | - |
| | ОСВ | Foreign Companies | Non Resident Individual | N. D. J. T. C. T. C. | Educational Institutions | Cooperative Societies | Trust & Foundations | Any Other (Specify) | Cuminion i Orolei Illivosioi | Onalified Egreton Investor | Individual Shareholders Holding Nominal Share Capital in excess of Rs 11 akh | Individual Shareholders Holding Nominal Share Capital Upto Rs. 1 Lakh. | Individuals | | Bodies Corporate | Non-Institutions | Sub Total (B)(1): | | Any Other (Specify) | Qualified Foreign Investor | Foreign Venture Capital Investors | Foreign Institutional Investors | Insurance Companies | Venture Capital Funds | Central Government/ State Government(s) | Financial Institutions/ Banks | Institutions | Public Shareholding | | Category of Shareholders | |
| | | | • | | | | , | NA | NA | | | NA | N | | NA . | | NA | NA | | AN | NA | NA | NA | NA | NA | · NA | | | (III) | CLASS X | |
| | | | | | | | NA | NA | NA | | | NA | 1 | | N. | | NA | NA | * | AN | NA | . AA | NA | NA | NA | AN | | | (IV) | CLASS Y | |
| | | | | | | | | NA | NA | | | . NA | *** | | NA | | NA | NA | | NA | NA | NA | NA | ŅŅ | NA | NA | | | 3 | CLASS Z | |
| | • | 7 | | | | | AN | NA | AN. | | | NA | | | NA | Ī | AN | NA | | NA | NA | NA | NA | NA | NA | NA | | À | (Ш+ІV+V) | Total Voting Rights | |
| | | | | | | | | | | | | | | t. | 1 | | NA | | | | 2 | | | | | | | |) | As a percentage of (A+B) | |
| Of the second | 0 0 | #. A.C. | | | 125 | WITS S | | | | | | | | | | | NA | | | | 1 | (| | | | | | | | As a percentage of (A+B+C) | |

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| 1 Promote | | C Shares | | | 7 | 2 Non-In | B Public | | Category Code | |
|-----------|-----------------------------|--|---------------------|---|-------------------|------------------|---------------------|------------|----------------------------|--|
| | Promoter and Promoter Group | Shares held by Custodians and against which Depository Receipts have been issued | Total $(A) + (B)$: | Total Public Shareholding $(B) = (B)(1) + (B)(2)$: | Sub Total (B)(2): | Non-Institutions | Public Shareholding | | Category of Shareholders | |
| N'A | NA | | NA | NA | NA | | | (III) | CLASS X | |
| NA | NA | | NA | NA | NA | | | (IV) | CLASS Y | |
| NA | NA | | NA | NA | NA | | | 3 | CLASS Z | |
| NA | NA | | NA | NA | NA | | | (V+VI+III) | Total Voting Rights | |
| NA | NA | | NA | NA | NA | | | | As a percentage of (A+B) | |
| NA | NA | | NA | NA | NA | | 13 | | As a percentage of (A+B+C) | |



| GRAND TOTAL $(A) + (B) + (C)$ | Public | Shares held by Custodians and against which Depository Receipts have been issued | |
|-------------------------------|--------|--|--|
| NA | NA | · · | |
| Z | NA | | |
| N.A. | NA | | |
| NA | NA | | |
| N | NA | | |
| NA | NA | | |

