



# Lumax Auto Technologies Limited



Plot No. 70, Sector No.10, PCNTDA, Bhosari Industrial Area, Pune - 411 026.

Phone: 020-66304606 & Fax No. 020 66304624

Website : www.lumaxautotech.com

Our Ref.

CIN No : L31909MH1981PLC025519

Date

LATL:CS:BSE:CL 35

15-04-2014

The General Manager  
Department of Corporate Services,  
The Bombay Stock Exchange Ltd.,  
1st Floor, Rotunda Building,  
P.J. Towers, Dalal Street, Fort,  
Mumbai- 400 001

**Fax Nos. : 022-22722037/ 39/ 41/ 61**

Ref : **Company Code – 532796**

Sub : **Shareholding Pattern of the Company as on 31<sup>st</sup> March, 2014 as per Clause- 35 of the Listing Agreement.**

Dear Sir,

With reference to the above subject, we are sending herewith the Shareholding Pattern of the Company as on 31<sup>st</sup> March, 2014 in the prescribed format, in terms of Clause- 35 of the Listing Agreement.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For **LUMAX AUTO TECHNOLOGIES LIMITED**

**PRATIK P. DHURI**  
**COMPANY SECRETARY**

**Encl: As above**

**Regd. Corporate Office :**

Plot No. 70, Sector No. 10, PCNTDA, Bhosari, Pune - 411 026.

Phone - 020-66304606, Fax : 020-66304624, E-mail : pcntda@lumaxautotech.com

www.lumaxindustries.com | www.lumaxdk.com | www.lumaxautotech.com | www.lumaxcornaglia.com | www.lumaxancillary.com

**(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE- 35**

Name of the Company :	LUMAX AUTO TECHNOLOGIES LIMITED		
Script Code,Name of the scrip, class of security :	532796/LUMAXTECH		
Quarter ended :	31/03/2014		
<b>Partly paid-up shares :-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Warrants</b>	<b>No. of Warrants</b>	<b>As a % of total no.of Warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants convertible securities</b>	<b>13631541</b>		<b>100.00</b>

Note:- The Company do not have any Partly Paid-up Shares, Outstanding Covertible Securities and Warrants

For LUMAX AUTO TECHNOLOGIES LTD.

COMPANY SECRETARY

**STATEMENT SHOWING SHAREHOLDING PATTERN**

Company Name	LUMAX AUTO TECHNOLOGIES LIMITED
As On	31-Mar-14

Category Code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	7	5162622	5162622	37.87	37.87	0	0
(b)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	2	2426524	2426524	17.80	17.80	0	0
(d)	Financial Institutions/ Banks	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	<b>Sub Total(A)(1)</b>	<b>9</b>	<b>7589146</b>	<b>7589146</b>	<b>55.67</b>	<b>55.67</b>	<b>0</b>	<b>0</b>
<b>2</b>	<b>Foreign</b>							
(a)	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0
(d)	Qualified Foreign Investor	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>9</b>	<b>7589146</b>	<b>7589146</b>	<b>55.67</b>	<b>55.67</b>	<b>0</b>	<b>0</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0
(b)	Financial Institutions/ Banks	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	6	2153862	2153862	15.80	15.80	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0
	<b>Sub-Total (B)(1)</b>	<b>6</b>	<b>2153862</b>	<b>2153862</b>	<b>15.80</b>	<b>15.80</b>	<b>0</b>	<b>0</b>
<b>2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	188	1458197	1458197	10.70	10.70	0	0
(b)	Individuals							
(i)	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4502	1436922	1436918	10.54	10.54	0	0
(ii)	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	30	780778	780778	5.73	5.73	0	0
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0
(d)	Any Other (specify)							
(i)	Clearing Member	24	2784	2784	0.02	0.02	0	0
(ii)	Directors Relatives	0	0	0	0.00	0.00	0	0
(iii)	Non Resident Indians (NR'I's)	83	209852	135642	1.54	1.54	0	0
	<b>Sub-Total (B)(2)</b>	<b>4827</b>	<b>3888533</b>	<b>3814319</b>	<b>28.53</b>	<b>28.53</b>	<b>0</b>	<b>0</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>4833</b>	<b>6042395</b>	<b>5968181</b>	<b>44.33</b>	<b>44.33</b>	<b>0</b>	<b>0</b>
	<b>TOTAL (A)+(B)</b>	<b>4842</b>	<b>13631541</b>	<b>13557327</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
C 1	Promoter and Promoter Group	0	0	0.00	0.00	0	0	0
C 2	Public	0	0	0.00	0.00	0	0	0
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>4842</b>	<b>13631541</b>	<b>13557327</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>

For LUMAX AUTO TECHNOLOGIES LTD.

  
 COMPANY SECRETARY

(i)(b)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category " PROMOTERS AND PROMOTER GROUP "	Details of shares held			Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
Sr.No	Name of the Shareholder	Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)* 100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	
1	Lumax Finance Private Limited	2422264	17.77	0	0.00	0.00	0	0.00	0	0.00	17.77	
2	Dhanesh Kumar Jain	1655257	12.14	0	0.00	0.00	0	0.00	0	0.00	12.14	
3	Dhanesh Kumar Jain (HUF)	1180971	8.66	0	0.00	0.00	0	0.00	0	0.00	8.66	
4	Deepak Jain	914652	6.71	0	0.00	0.00	0	0.00	0	0.00	6.71	
5	Anmol Jain	862240	6.33	0	0.00	0.00	0	0.00	0	0.00	6.33	
6	Usha Jain	463712	3.40	0	0.00	0.00	0	0.00	0	0.00	3.40	
7	Shivani Jain	45000	0.33	0	0.00	0.00	0	0.00	0	0.00	0.33	
8	Dhanesh Kumar Jain (Family Trust)	40790	0.30	0	0.00	0.00	0	0.00	0	0.00	0.30	
9	Vardhman Agencies Private Limited	4260	0.03	0	0.00	0.00	0	0.00	0	0.00	0.03	
	TOTAL :	7589146	55.67	0		0.00	0	0.00	0	0.00	55.67	

(\* ) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For LUMAX AUTO TECHNOLOGIES LTD.

COMPANY SECRETARY



(i)/(c)(i)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.	Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares{i.e., Grand Total(A)+(B)+(C) indicated in Statement at para (i)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
						Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	Orange Mauritius Investments Limited	675000	4.95	0	0.00	0	0.00	4.95		
2	Asia Investment Corporation (Mauritius) Ltd	650000	4.77	0	0.00	0	0.00	4.77		
3	Albula Investment Fund Ltd	556627	4.08	0	0.00	0	0.00	4.08		
4	Krisma Investments Private Limited	229691	1.68	0	0.00	0	0.00	1.68		
5	Globe Fincap Limited	198000	1.45	0	0.00	0	0.00	1.45		
6	JNJ Holdings Pvt. Ltd.	150000	1.10	0	0.00	0	0.00	1.10		
	<b>TOTAL :</b>	<b>2459318</b>	<b>18.03</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>18.03</b>		

For LUMAX AUTO TECHNOLOGIES LTD.

COMPANY SECRETARY

(I)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
SR.NO	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class		
1	NIL	0	0.00	0	0.00	0	0.00	0.00	
	<b>TOTAL :</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	

For LUMAX AUTO TECHNOLOGIES LTD.

COMPANY SECRETARY



(I)(d) Statement showing details of " LOCKED - IN - SHARES "				
SR NO	NAME OF THE SHAREHOLDER	Number of locked- in shares	Locked-in shares as a (%) percentage of total number of shares {Grand Total (A)+(B)+( C) indicated at statement in para (I)(a) above}	Promoter/Promoter Group/Public
	NIL	0	0	0
	TOTAL:	0	0	0

(II)(a) Statement showing details of "DEPOSITORY RECEIPTS (DR's)"				
Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a Percentage of total number of shares (i.e Total (A)+ (B) + (C) Indicated in statement at para(I) (a) above)
	NIL	0	0	0
	TOTAL :	0	0	0

(II) (b) Statement showing Holding of Depository Receipts (DR's), while underlying shares held by "PROMOTER/PROMOTER GROUP" are in excess of 1% of the total number of shares				
Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,S DRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a Percentage of total number of shares (i.e Total (A)+(B)+(C) Indicated in Statement at Para (I) (a) above)
	NIL	0	0	0
	TOTAL :	0	0	0

For LUMAX AUTO TECHNOLOGIES LTD.

  
COMPANY SECRETARY