

(I)(a) Statement showing Shareholding Pattern in Clause 35 - As on 30.06.2014

Name of the Company : ALSTOM India Limited
Scrip Code : BSE - 532309- NSE - AIL, Scrip Name : ALSTOM, Class of Security : Equity
Quarter Ended: 30th June 2014

Partly Paid-up Shares :-	Number of partly paid-up shares	As a % of total number of partly paid-up shares	As a % of total number of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities :	Number of outstanding securities	As a % of total number of outstanding convertible securities	As a % of total number of shares of the Company, assuming full conversion of the convertible securities
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants :	Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company, assuming full conversion of warrants
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the Company assuming full conversion of warrants and convertible securities	67227471	0	100.00



Category code	Category of Shareholder	No. of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Share Pledged or otherwise encumbered	
					As a % of (A+B) ¹ (VI)	As a % of (A+B+C) (VII)	Number of shares (VIII)	As a % (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family							
(b)	Central Government / State Government (s)							
(c)	Bodies Corporate							
(d)	Financial Institutions / Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate	1	46088294	46088294	68.56	68.56		
(c)	Institutions							
(d)	Qualified Foreign Investors							
(e)	Any Other (specify)							
	Sub-Total (A)(2)	1	46088294	46088294	68.56	68.56		
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	1	46088294	46088294	68.56	68.56		
(B)	Public Shareholding³						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds / UTI	44	6193010	6192510	9.21	9.21		
(b)	Financial Institutions / Banks	35	2268269	2247671	3.37	3.37		
(c)	Central Government / State Government (s)	3	259742	0	0.39	0.39		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	4	1087343	1087343	1.62	1.62		
(f)	Foreign Institutional Investors	47	1201945	1201733	1.79	1.79		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	133	11010309	10729257	16.38	16.38		
(2)	Non-institutions						NA	NA
(a)	Bodies Corporate	856	2409478	2398274	3.58	3.58		
(b)	Individuals - (i) Individual shareholders holding nominal sharecapital up to Rs.1 lakh.	44572	6755484	6045612	10.05	10.05		
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	28	651387	554171	0.97	0.97		
(c)	Any Other (specify)							
	- Directors & Relatives	3	14048	13415	0.02	0.02		
	- Trusts	7	24487	24487	0.04	0.04		
	- Foreign Bodies Corporate	1	8383	0	0.01	0.01		
	- Non-resident Indians	667	226811	224328	0.34	0.34		
	- Clearing Members	95	38588	35146	0.06	0.06		
	- Foreign Nationals	4	202	43	0.00	0.00		
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	46233	10128868	9295476	15.07	15.07		
	Total Public Shareholding (B)=(B)(1)+(B)(2)	46366	21139177	20024733	31.44	31.44		
	TOTAL (A)+(B)	46367	67227471	66113027	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group							
		0	0	0	0	0		
(2)	Public							
		0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	46367	67227471	66113027	100.00	100.00		



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of Shares held	As a % of grand total (A)+(B)+(C)	No. of Shares held	As a % (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No. of warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ALSTOM FINANCE BV	46088294	68.56	-	-	-	-	-	-	-	68.56
	TOTAL	46088294	68.56	-	-	-	-	-	-	-	68.56

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% total number of shares

Sr No.	Name of the shareholder	No. of Shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
				No. of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	RELIANCE CAPITAL TRUSTEE CO. LTD. A/C RELIANCE DIVERSIFIED POWER SECTOR FUND	1389332	2.07	-	-	-	-	2.07
2	RELIANCE CAPITAL TRUSTEE CO LTD A/C RELIANCE REGULAR SAVINGS FUND EQUITY OPTION	1200000	1.78	-	-	-	-	1.78
3	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	1147969	1.71	-	-	-	-	1.71
4	LIC OF INDIA MARKET PLUS 1 GROWTH FUND	810020	1.20	-	-	-	-	1.20
5	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/CBIRLA SUN LIFE FRONTLINE EQUITY FUND	803738	1.20	-	-	-	-	1.20
6	LIFE INSURANCE CORPORATION OF INDIA	728080	1.08	-	-	-	-	1.08
		6079139	9.04	-	-	-	-	9.04

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% total number of shares

Sr No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)	No. of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
			N.A					
		0	0.00					



(I)(d) Statement showing details of locked-in shares

Sl No.	Name of the shareholder	No. of locked-in shares	of Locked-in shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above	Promoters / Promoter Group / Public
1	ALSTOM FINANCE BV	1524390	2.27	Promoter Group
	TOTAL	1524390	2.27	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sl No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of outstanding DRs	No. of shares underlying outstanding DRs	of Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
	- N A -			
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

Sl No.	Name of the DR Holder	Type of outstanding DR's (ADRs, GDRs, SDRs, etc.,	No. of shares underlying outstanding DRs	of Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
	- N A -			
	TOTAL			



(III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of shares/securities is issued by the issuer - Not Applicable

Category (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of Securities			Total Voting Rights (VI)	Total Voting Rights i.e. (VI)	
		Class-Equity (III)	(IV)	(V)	(III+IV+V) (VI)	As a % of (A+B) (VII)	As a % (A+B+C) VIII
(A)	Shareholding of Promoter and Promoter Group²						
(1)	Indian						
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-
(b)	Central Government / State Government (s)	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	-	-	-	-	-	-
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI	-	-	-	-	-	-
(b)	Financial Institutions / Banks	-	-	-	-	-	-
(c)	Central Government / State Government (s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(1)	-	-	-	-	-	-
(2)	Non-institutions						
(a)	Bodies Corporate	-	-	-	-	-	-
(b)	Individuals - (i) Individual shareholders holding nominal sharecapital up to Rs.1 lakh.	-	-	-	-	-	-
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	-	-	-	-	-	-
(c)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-
	Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-
	TOTAL (A)+(B)	-	-	-	-	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
(1)	Promoter and Promoter Group	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	-	-	-	-	-	-

