

1.(a) Statement showing Shareholding Pattern

Name of the Company:		: Ambalal Sarabhai Enterprises Limited	
Scrip Code, Name of the scrip, class of security		: 6779	
Quarter ended		: 30 <sup>th</sup> June 2014	
<b>Partly paid up shares</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total No. of partly paid-up shares</b>	<b>As a % of total No. of shares of the company.</b>
Held by promoter/promoters group	—	—	—
Held by public	—	—	—
<b>Total</b>	—	—	—
<b>Outstanding convertible securities</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible securities.</b>
Held by promoter/promoter group	—	—	—
Held by public	—	—	—
<b>Total</b>	—	—	—
<b>Warrants::</b>	<b>No. of warrants</b>	<b>As a % of total No. of warrants</b>	<b>As a % of total No. of shares of the company, assuming full conversion of warrants.</b>
Held by promoter/promoter group	—	—	—
Held by public	—	—	—
<b>Total</b>	—	—	—
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	—		

for Ambalal Sarabhai Enterprises

  
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## (i) (a) Statement showing Shareholding Pattern:

Name of the Company : Ambalal Sarabhai Enterprises Limited
Scrip Code : 6779
Quarter ended: 30 <sup>th</sup> June 2014

Category Code	Category of Shareholder	Number of Shares	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares otherwise pledged or encumbered	As a percentage (IX)=(VIII)/(IV)* 100
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)=(viii)/(iv)* 100
(A)	Shareholding of Promoters and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	8	92431	92047	0.12	0.12	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	22	23226520	23226520	30.31	30.31	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any Other (specify)							
	<b>Sub-Total(A)(1)</b>	30	23318951	23318567	30.43	30.43	-	-

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(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor								
(e)	Any Other (specify)	-	-	-	-	-	-	-	-
	<b>Sub-Total(A)(2)</b>	-	-	-	-	-	-	-	-
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	30	23318951	23318567	30.43	30.43	-	-	-
(B)	<b>Public shareholding Institutions</b>								
(1)	Mutual Funds/ UTI	-	-	-	-	-	-	-	-
(a)	Financial Institutions/ Banks	15	356712	355292	0.47	0.47	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-

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(e)	Insurance Companies	2	2200	-	-	0.00	0.00	-	-
(f)	Foreign Institutional investors	-	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor								
(i)	Any Other (specify)	-	-	-	-	-	-	-	-
	Sub-Total (B)(1)	17	358912	355292		0.47	0.47	-	-
<b>(2)</b>	<b>Non-Institutions</b>								
(a)	Bodies Corporate	819	19843604	19700760		25.90	25.90	-	-
(b)	Individuals – I. Individual shareholders holding nominal shares capital up to Rs. 1 lakh.	168130	24747211	11295477		32.29	32.29	-	-
	II Individual shareholders holding nominal share capital in excess of Rs.1 lakh.	175	8055984	6938198		10.51	10.51	-	-
(c)	Qualified Foreign Investor	-	-	-		-	-	-	-

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(d)	Any Other (specify) – NRI	444	308634	151160	0.40	0.40	-	-
	<b>Sub-Total (B)(2)</b>	169568	52955433	38085595	69.10	69.10	-	-
	<b>Total Public shareholding (B) = (B)(1)+(B)(2)</b>	169585	53314345	38440887	69.57	69.57	-	-
	<b>TOTAL (A)+(B)</b>	169615	76633296	61759454	100.00	100.00	-	-
(e)	Shares held by Custodians and against which Depository Receipts have been issued	+++	+++	+++	+++	+++	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	169615	76633296	61759454	100.00	100.00	-	-
1	Promoters	30	23318951	23318567	30.43			
2	Public	169585	53314345	38440887	69.57			

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(i)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

**Annexure - A**

(i)(c)(i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

**Annexure - B**

(i)(c)(ii) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares.

**Annexure - C**

(i)(d) Statement showing details of locked-in shares : N.A.

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1			
2			
	TOTAL		

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(ii)(a) Statement showing details of Depository Receipts (DRs) : N.A.

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total(A)+(B)+(C) indicated in Statement at para(i)(a) above)
1.	/	/	/	/
2.	/	/	/	/
TOTAL				

(ii)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares.

N.A.

Sr. No.	Name of the DR Holder Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)
1.	/	/	/
2.	/	/	/
TOTAL			

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III(A) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer. (Give description of voting rights for each class of security).

Not Applicable

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights	Total voting rights i.e. (VI)	
		Class (III)	Class (IV)	Class (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(I)	(ii) Shareholding of Promoters and Promoter Group				(III+IV+V)		
(A)							
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/ Banks						
(e)	Any Other (specify)						
	<b>Sub-Total(A)(1)</b>						

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(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	<b>Sub-Total(A)(2)</b>						
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>						
(B)	<b>Public shareholding</b>						
(1)	<b>Institutions</b>						
(a)	Mutual Funds/ UTI						
(b)	Financial Institutions/ Banks						
©	Central Government/ State Government(s)						
(d)	Venture Capital Funds						

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(e)	Insurance Companies						
(f)	Foreign Institutional investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
<b>(2)</b>	<b>Non-Institutions</b>						
(a)	Bodies Corporate						
(b)	Individuals – I. Individual shareholders holding nominal shares capital upto Rs. 1 lakh.						
	II. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						

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(c)	Any Other (specify)							
	<b>Sub-Total (B)(2)</b>							
	<b>Total Public shareholding (B) = (B)(1)+(B)(2)</b>							
	<b>TOTAL (A)+(B)</b>							
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
	<b>GRAND TOTAL (A)+(B)+(C)</b>							

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**ANNEXURE-1**

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of Shares held	Encumbered Shares(*)	Details of Warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of shares held	As a % of grand total (A)+(B)+(C)	No.	As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	
(1)	(II)								
1.	Kartikeya V Sarabhai	12250	0.016	Nil			Nil		N.A.
2.	Govind D. Zalani	10	-	Nil			Nil		N.A.
3.	B. V. Surya kumar	374	-	Nil			Nil		N.A.
4.	Mallika S Sarabhai	49960	0.065	Nil			Nil		N.A.
5.	Mohal Sara	12610	0.017	Nil			Nil		N.A.
6.	Samvit	12655	0.017	Nil			Nil		N.A.

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7.	Sarabhai Mrlani S Sarabhai	4495	0.006	Nil			Nil	Nil			N.A.
8.	Rajashree S Sarabhai	77	-	Nil			Nil	Nil			N.A.
9.	Sarabhai Holding P.L.	19303972	25.19	Nil			Nil	Nil			N.A.
10.	RajKa Designs P.L.	163850	0.214	Nil			Nil	Nil			N.A.
11.	Leena Inv.P.L.	128217	0.167	Nil			Nil	Nil			N.A.
12.	Himalaya Inv.P.L.	1911	0.002	Nil			Nil	Nil			N.A.
13.	Yudhishtir Inv.P.L.	469305	0.612	Nil			Nil	Nil			N.A.
14.	Koshalya Inv.P.L.	456722	0.596	Nil			Nil	Nil			N.A.
15.	Medicinal Drugs Mfg.P.L.	157716	0.206	Nil			Nil	Nil			N.A.
16.	Sahayog Inv.P.L.	161011	0.210	Nil			Nil	Nil			N.A.
17.	Talimi Inv.P.L.	163323	0.213	Nil			Nil	Nil			N.A.
18.	Vasantbahar Inv.P.L.	200989	0.262	Nil			Nil	Nil			N.A.
19.	Adana Inv.P.L.	157920	0.206	Nil			Nil	Nil			N.A.
20.	Kanda Inv.P.L.	209288	0.273	Nil			Nil	Nil			N.A.
21.	Mrigank Inv.P.L.	178669	0.233	Nil			Nil	Nil			N.A.
22.	Jonpuri Inv.P.L.	178667	0.233	Nil			Nil	Nil			N.A.
23.	Bhadrapad	157920	0.206	Nil			Nil	Nil			N.A.

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**ANNEXURE-B**

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Names of the Shareholder	Number of shares held	Shares as percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (1)(a) above}	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1.	Caplin Vinhyog P.Ltd	4222789	5.51	Nil	Nil	Nil	N.A.	
2.	Navtech Farm Products P Ltd	3646167	4.76	Nil	Nil	Nil	N.A.	
3.	Ontime hire Purchase & Agencies P Ltd	3295625	4.30	Nil	Nil	Nil	N.A.	
4.	Gyan Traders Ltd.	2740836	3.58	Nil	Nil	Nil	N.A.	
5.	The Methani Tea Co.Ltd.	2470625	3.22	Nil	Nil	Nil	N.A.	
	<b>TOTAL</b>	<b>16376042</b>	<b>21.37</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>		

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**ANNEXURE-C**

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to th category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Names of the Shareholder	Number of shares held	Shares as percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in statement at para (1)(a) above}	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1.	Caplin Vinyog P.Ltd	4222789	5.51	Nil		Nil		N.A.
	TOTAL	4222789	5.51	Nil		Nil		

**Dr Ambalal Sarabhai Enterprises**

  
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