

<b>I(a) Statement Shareholding Pattern</b>			
<b>Name of the Company :Emkay Global Financial Services Limited</b>			
<b>Script Code:519183</b>		<b>Class of Security:EQUITY</b>	
<b>AS ON 30/06/2014</b>			
<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of Partly paid-up shares</b>	<b>As a % of total no. of shares of the Company</b>
Held by promoter/Promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities</b>	<b>No. of Outstanding securities</b>	<b>As a % of total No. of Outstanding convertible securities</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants</b>	<b>No. of Warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible Warrants</b>
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>24437750</b>		



Emkay Global Financial Services Limited								
Quarter ended 30.06.2014								
Category code	Category of Shareholder	Number of Shareholders	Total number of Shares	Number of Shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged (or otherwise encumbered)	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	PROMOTER AND PROMOTER GROUP							
[1]	INDIAN							
	INDIVIDUALS / HINDU UNDIVIDED FAMILY	6	13747500	13747500	56.26	56.26	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	BODIES CORPORATE	1	4150000	4150000	16.98	16.98	0	0
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>7</b>	<b>17897500</b>	<b>17897500</b>	<b>73.24</b>	<b>73.24</b>	<b>0</b>	<b>0</b>
[2]	FOREIGN							
	INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN INDIVIDUALS)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	INSTITUTIONS	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total (A)</b>		<b>7</b>	<b>17897500</b>	<b>17897500</b>	<b>73.24</b>	<b>73.24</b>	<b>0</b>	<b>0</b>
(B)	PUBLIC SHAREHOLDING							
[1]	INSTITUTIONS							
	MUTUAL FUNDS / UTI	0	0	0	0	0	0	0
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	VENTURE CAPITAL FUNDS	0	0	0	0	0	0	0
	INSURANCE COMPANIES	0	0	0	0	0	0	0
	FOREIGN INSTITUTIONAL INVESTORS	0	0	0	0	0	0	0
	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
[2]	NON-INSTITUTIONS							
	BODIES CORPORATE	147	612185	602185	2.51	2.51	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH	5615	1746663	1729283	7.15	7.15	0	0
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL IN EXCESS OF RS. 1 LAKH	48	3278986	3278986	13.42	13.42	0	0
	QUALIFIED FORE INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE INVESTOR-IND	0	0	0	0	0	0	0
	CLEARING MEMBER	55	53812	53812	0.22	0.22	0	0
	MARKET MAKER	0	0	0	0	0	0	0
	NON RESIDENT INDIANS (REPAT)	52	28361	28361	0.12	0.12	0	0
	NON RESIDENT INDIANS (NON REPAT)	14	65595	65595	0.27	0.27	0	0
	FOREIGN COMPANIES	0	0	0	0	0	0	0
	OVERSEAS BODIES CORPORATES	0	0	0	0	0	0	0
	DIRECTORS / RELATIVES	0	0	0	0	0	0	0
	PERSONS ACTING IN CONCERT	0	0	0	0	0	0	0
	TRUSTS	1	754648	754648	3.09	3.09	0	0
	<b>Sub Total</b>	<b>5912</b>	<b>6540250</b>	<b>6512879</b>	<b>26.76</b>	<b>26.76</b>	<b>0</b>	<b>0</b>
<b>Total (B)</b>		<b>5912</b>	<b>6540250</b>	<b>6512879</b>	<b>26.76</b>	<b>26.76</b>	<b>0</b>	<b>0</b>
<b>Total (A)+(B)</b>		<b>5919</b>	<b>24437750</b>	<b>24410370</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							
	SHARES HELD BY CUSTODIANS	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total (C)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total (A)+(B)+(C)</b>		<b>5919</b>	<b>24437750</b>	<b>24410370</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299. Fax: +91 22 6629 9199

Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax: +91 22 6612 1299

Emkay Global Financial Services Limited												
(1)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY PROMOTER AND PROMOTER GROUP												
Sr.No. (I)	NAME OF THE SHAREHOLDER (II)	NO. OF SHARES HELD (III)	TOTAL SHARES HELD AS A % OF GRAND TOTAL (A)+(B)+ (C) (IV)	ENCUMBERED SHARES No (V)	ENCUMBERED SHARES AS A PERCENTAGE (VI)=(V)/(III)*100	ENCUMBERED SHARES AS A % OF GRAND TOTAL (A)+(B)+ (C) OF SUB-CLAUSE (I)(a) (VII)	PAN	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	Total shares (including underlying share assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	KRISHNA KUMAR KARWA	4922500	20.143	0	0	0	AACP9149E	0	0	0	0	20.143
2	PRAKASH KACHOLIA	4750000	19.4371	0	0	0	AADPK7247C	0	0	0	0	19.4371
3	EMKAY CORPORATE SERVICES PVT LTD	4150000	16.9819	0	0	0	AAACE0995M	0	0	0	0	16.9819
4	PREETI KACHOLIA	2125000	8.6956	0	0	0	AADPK7245A	0	0	0	0	8.6956
5	RAUNAK KARWA	1750000	7.1611	0	0	0	ACVPK0232B	0	0	0	0	7.1611
6	KRISHNA KUMAR KARWA	100000	0.4092	0	0	0	AACHK2851D	0	0	0	0	0.4092
7	MURLIDHAR KARWA	100000	0.4092	0	0	0	AABHM6186L	0	0	0	0	0.4092
	<b>Total :</b>	<b>17897500</b>	<b>73.2371</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>73.2371</b>



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax: +91 22 6612 1299

Emkay Global Financial Services Limited									
(1)(C)(i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES									
Sr. No.	NAME(S) OF THE SHAREHOLDER	NUMBER OF SHARES HELD	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1)(a) ABOVE }	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W.R.T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL.
1	RAJIV P SHROFF	813104	3.3272		0	0	0	0	3.3272
2	NEERAJ BATRA	786491	3.2183	AACP8696H	0	0	0	0	3.2183
3	EMKAY EMPLOYEES WELFARE TRUST	754648	3.088		0	0	0	0	3.088
	<b>Total :</b>	2354243	9.6335		0	0	0	0	9.6335



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax: +91 22 6612 1299

Emkay Global Financial Services Limited									
(I)(c)(ii) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY 'PUBLIC AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY'									
SR. NO.	NAME(S) OF THE SHAREHOLDER(S) AND THE PERSONS ACTING IN CONCERT (PAC) WITH THEM	NUMBER OF	SHARES AS A PERCENTAGE OF	PAN	NUMBER OF	AS A % TOTAL	NUMBER OF	% W .R.T	TOTAL SHARES
		SHARES	TOTAL NUMBER OF SHARES (i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }		WARRANTS HELD	NUMBER OF WARRANTS OF THE SAME CLASS	CONVERTIBLE SECURITIES HELD	TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	(INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
		Total :	0		0				



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
 Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax: +91 22 6612 1299



Emkay Global Financial Services Limited				
I (d) STATEMENT SHOWING DETAILS OF LOCKED - IN SHARES				
Sr. No.	NAME OF SHAREHOLDER	NUMBER OF LOCKED-IN SHARES	LOCKED-IN SHARES AS A (%)PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	Promotor/Promotor Group/Public
		0		
	<b>Total :</b>	0		0



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Panjurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028, Tel: +91 22 6612 1212 Fax: +91 22 6612 1299

Emkay Global Financial Services Limited			
II (a) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS(DRs)			
Sr.No.	NAME OF THE DR HOLDER	NUMBER OF SHARES UNDERLYING OUTSTANDING DRs	SHARES UNDERLYING OUTSTANDING DRs AS A PERCENTAGE OF TOTAL NUMBER OF SHARES {i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA(I)(a)ABOVE }
		<b>Total :</b>	<b>0</b>
			<b>0</b>



www.emkayglobal.com CIN - L67120MH1995PLC064899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax: +91 22 6812 1299

Emkay Global Financial Services Limited				
II (b) STATEMENT SHOWING HOLDING OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES ARE IN EXCESS OF 1% OF THE TOTAL NUMBER OF SHARES				
Sr. No.	NAME OF THE DR HOLDER	TYPE OF OUTSTANDING DR(ADRs,GDRs, SDRs,etc.)	NUMBER OF SHARES UNDERLYING	SHARES UNDERLYING OUTSTANDING DRs AS A PERCENTAGE OF TOTAL NUMBER OF SHARES(i.e.,GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT
			0	0
	<b>Total :</b>			



www.emkayglobal.com CIN - L67120MH1995PLC084899

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9199  
 Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel +91 22 6612 1212 Fax:+91 22 6612 1299