

(1)(a) Statement showing Shareholding Pattern

Name of the Company: Escorts Finance Limited			
Scrip Code, Name of the scrip, class of security: BSE- 511716, Escorts Finance Limited, Equity			
Quarter ended: June 30, 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	N.A.	N.A.
Held by public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	N.A.	N.A.
Held by public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	NIL	N.A.	N.A.
Held by public	NIL	N.A.	N.A.
Total	NIL	N.A.	N.A.
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	40,25,0000		

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a percentage (IX) = (VIII) / (IV) * 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	4	61000	61000	0.151	0.151	0.00	0.00
(b)	Central Government/ State Government(s)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Bodies Corporate	2	4444700	4444700	11.043	11.043	0.00	0.00
(d)	Financial Institutions/ Banks	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Any Others : Trust	1	23497478	23497478	58.379	58.379	0.00	0.00
	Sub-Total (A)(1)	7	28003178	28003178	69.573	69.573	0.00	0.00
(2)	Foreign	0.00	0.00	0.00	0.00	0.00	0.00	0.00

¹ For determining public shareholding for the purpose of Clause 40A.

² For definitions of "Promoter" and "Promoter Group", refer to Clause 40A.

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII) / (IV) * 100
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(b)	Bodies Corporate	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Institutions	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Qualified Foreign Investor	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Any Other (specify)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub-Total (A)(2)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	28003178	28003178	69.573	69.573	0.00	0.00
(B)	Public shareholding³						N.A	N.A
(1)	Institutions						N.A	N.A
(a)	Mutual Funds/UTI	1	200	0	0.00	0.00	0.00	0.00
(b)	Financial Institutions/	2	836095	831295	2.078	2.078	0.00	0.00

³ For definitions of "Public Shareholding", refer to Clause 40A.

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a percentage
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII) / (IV) * 100
	Banks							
(c)	Central Government/ State Government(s)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Venture Capital Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Insurance Companies	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(f)	Foreign Institutional Investors	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(g)	Foreign Venture Capital Investors	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(h)	Qualified Foreign Investor	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(i)	Any Other (specify)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub-Total (B)(1)	3	836295	831295	2.078	2.078	0.00	0.00
(2)	Non-institutions						N.A	N.A
(a)	Bodies Corporate	191	6012267	5997567	14.937	14.937	0.00	0.00
(b)	Individuals - i. Individual shareholders holding nominal	24991	4776440	2981076	11.867	11.867	0.00	0.00

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII) / (IV) * 100
	share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	24	603456	603456	1.499	1.499		
(c)	Qualified Foreign Investor	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Any Other (NRI)	17	18364	18364	0.046	0.046	0	0
	Sub-Total (B)(2)	25223	11410527	9600463	28.349	28.349	0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)	25226	12246822	10431758	30.427	30.427	N.A	N.A
	TOTAL (A)+(B)	25233	40250000	38434936	100			
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0.00	0.00	0.00	N.A	0.00	N.A	N.A

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII) / (IV) * 100
(1)	Promoter and Promoter Group	0.00	0.00	0.00	0.00	0.00	0.00	0.00
(2)	Public	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	25233	40250000	38434936	100	100		

NA – Not applicable

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No. of Shares held	As a % of grand total (A) + (B) + (C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class (XI)	
(I)	(II)	(III)	(IV)	(V)	(VI) =(V)/ (III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1.	Nitasha Nanda	900	0.002	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2.	Rajan Nanda with Ritu Nanda	30000	0.075	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3.	Rajan Nanda with Nitasha Nanda	30000	0.075	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4.	Ritu Nanda	100	0.00	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5.	Surendra Ambalal Dave, Trustee of Escorts Benefit and Welfare Trust	23497478	58.379	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
6.	Escorts Limited	3819700	9.490	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
7.	Escorts Securities Limited	625000	1.553	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	TOTAL	28003178	69.573								

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulation 2011.

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1.	IFCI Limited	831295	2.065	NIL	NIL	NIL	NIL	NIL
2.	B.R. Holdings Private Limited	1168968	2.904	NIL	NIL	NIL	NIL	NIL
3.	Diamond Leasing and Finance Limited	2000000	4.969	NIL	NIL	NIL	NIL	NIL
4.	Gala Trading Private Limited	1213076	3.014	NIL	NIL	NIL	NIL	NIL
5.	Matrix Insurance Brokers Private Limited	1207500	3.000	NIL	NIL	NIL	NIL	NIL
	TOTAL	6420839	15.952					

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertibles securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5 % of the total number of shares of the company

Sr. No.	Name(s) of the shareholder (s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2.								
TOTAL								

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	NIL	NIL	
2.			
TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.	NIL	NIL	NIL	
2.				
TOTAL				

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1.				
2.				
TOTAL				

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.
(Give description of voting rights for each class of security.)

Class X:

Class Y:

Class Z:)

Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(I)	Indian						
(a)	Individuals/ Undivided Family						
(b)	Central Government/ State Government(s)						

(h)	Any Other (specify)								
(2)	Sub-Total (B)(1)								
(a)	Non-institutions								
(b)	Bodies Corporate								
	Individuals -								
	iii. Individual shareholders holding nominal share capital up to Rs. 1 lakh.								
	iv. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.								
(c)	Any Other (specify)								
	Sub-Total (B)(2)								
	Total Public Shareholding (B)= (B)(1)+(B)(2)								
	TOTAL (A)+(B)								
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
	GRAND TOTAL (A)+(B)+(C)								

For Escorts Finance Limited

(Signature)

Vicky Chauhan
Company Secretary