

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

[Regulations 13(3) and (6)]

Regulation 13(3) – Details of change in shareholding in respect of persons holding more than 5% shares in a listed company

Name, PAN & address of shareholders	Shareholding as prior to sale	No. & % of shares / voting rights sold	Receipt of allotment / advice / acquisition of shares / sale of shares (specify)	Date of intimation to company	Mode of acquisition (market purchase/public/rights/preferential offer, etc.)	No. & % of shares / voting rights post acquisition / sale	Trading member through which the trade was executed with SEBI registration no. of Trading Member	Exchange on which the trade was executed	Buy quantity	Buy value	Sell quantity	Sell value
Universal Industrial Fund Limited AAACU4032H 31, Newaji Subhas Road, Kolkata - 700001	5856762 16.99%	5856762 16.99%	8 th July, 2014 (Being the Effective Date)	10 th July, 2014	By a Scheme of Arrangement under Section 394 of the Companies' Act, Approved by the Hon'ble Calcutta High Court vide their Order dated 15 th May, 2014	Nil	NA	NA	NA	NA	NA	NA

Note: By a Scheme of Arrangement pursuant to Section 394 of the Companies Act, as approved by the Hon'ble Calcutta High Court vide their Order dated 15th May, 2014 shares / Voting rights have been transferred to another Promoter Group Company Rainbow Investments Limited.

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PHILLIPS CARBON BLACK LTD.

SECRETARY

Universal Industrial Fund Ltd
Rainbow
Director / Authorized Signatory

"FORM D"
Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992
 [Regulations 13(4), 13(4A) and 13(6)]

Details of change in shareholding or voting rights held by Director or Officer and his dependents or Promoter or Person who is part of Promoter Group of a listed company.

Name, PAN No. & Address of Promoter/ Person who is part of Promoter Group/Director/ Officer.	No. & % of shares/ voting rights held by the Promoter/ Person who is part of Promoter Group/Director/ Officer	Date of receipt of allotment/ advice/ acquisition/ sale of shares/ voting rights	Date of intimation to company	Mode of Acquisition (market purchase/ public/ rights/ preferential offer, etc./ sale	No. & % of shares/ voting rights post acquisition/ sale	Trading member through whom the trade was executed with SEBI Registration No. of the TM	Exchange on which the trade was executed	Buy quantity	Buy value	Sell quantity	Sell value
Universal Industrial Fund Limited AAAACU4032H 31, Netaji Subhas Road, Kolkata - 700001	5855762 16.99%	8 th July, 2014 (Being the Effective Date)	10 th July, 2014	By a Scheme of Arrangement under Section 394 of the Companies' Act, Approved by the Hon'ble Calcutta High Court vide their Order dated 15 th May, 2014	Nil	NA	NA	NA	NA	NA	NA

Note: By a Scheme of Arrangement pursuant to Section 394 of the Companies Act, as approved by the Hon'ble Calcutta High Court vide their Order dated 15th May, 2014 shares/ Voting rights have been transferred to another Promoter Group Company Rainbow Investments Limited.

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Universal Industrial Fund Limited
 Registered Office: 20, Park Street, Kolkata - 700016
 15/05/2014