1 1 N 1

Introd	uctory sub-tab	le (I)(a)	
			****
Name of the Company: PHILLIPS			
	ass of security	: 506590 (Bse), PHILIPCARB (Nse),26	125 (Cse) Equity Shares
Quarter ended: 30-06-2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid- up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	2112	100	0.006
Total - D	2112	100	0.006
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - E	NIL	NIL	NIL
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL.	NIL
Held by public	NIL	NIL	NIL
Total - F	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	34467572		1

DERTIFIED TRUE COPY PHILLIPS CARBON BLACK LTD.

	31	Tah	ving Shareholdin le (I)(a)	g Pattern *				
Cate- gory code (I)	Category of shareholder(II)		ie (1)(a)	Number of	percentage o	reholding as a of total number of shares		s piedged or encumbered
``		Number of shareholders (III)	Total number of shares (IV)	shares held in dematerialized form (V)	Λο 3	As a	Number of shares	As a percentage (IX) = (VIII/IV)*
(A)	Shareholding of Promoter and Promoter Group					· · · · · · · · · · · · · · · · · · ·	***************************************	
1	Indian							
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-		
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	
(c)	Bodies Corporate	8	18,426,557	18,426,557	53.461	53.461	-	-
(d)	Financial Institutions/ Banks					-	-	-
(e)	Any Other (specify) Person acting in concern	-	<u>.</u>		-	-	-	-
	Sub-Total (A)(1)	8	18,426,557	18,426,557	53.461	53.461		-
(a)	Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	•
(b)	Bodies Corporate			-		-	-	-
(c)	Institutions	-	-	-	-	-		-
(d)	Qualified Foreign Investors	-	-		-	-	•	-
(e)	Any Other (specify)	-	-	•	-	-	-	-
	Sub-Total (A)(2)	-	-	-		-	-	
	Total Shareholding of Promoter and	8	18,426,557	18,426,557	53.461	53.461	_	_
(10)	Promoter Group (A)= (A)(1)+(A)(2)  Public shareholding		, , , , , , , ,	., ,			NIA	NA
( <u>B)</u>	Institutions				-	-	NA NA	NA NA
(a)	Mutual Funds/ UTI	2	216,313	214,711	0.628	0.628	10/4	- 170
(b)	Financial Institutions/ Banks	30	20,869	19,517	0.061	0.061		-
(c)	Central Government/ State Government(s)	1	467,900	467,900	1.358	1.358	_	
(d)	Insurance Companies		107,500	-	- 1.520	-		-
(e)	Fils	11	3,463,348	3,463,348	10.048	10.048		-
(f)	Qualified Foreign Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital				-		4	-
(h)	Any Other (specify)	•		-	-	-	-	-
	Sub-Total (B)(1)	44	4,168,430	4,165,476	12.094	12.094	-	~
2	Non-institutions				-	-	NA	NA
(a)	Bodies Corporate	509	3,743,055	3,734,980	10.860	10.860	-	-
(b)	Individuals  i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	29,471	5,966,518	5,170,318	17.311	17.311	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	48	1,688,883	1,675,550	4.900	4.900	-	-
(c)	Any Other (Specify) 1.Directors	-	-		-	-		1 -
	2. Relatives	-	-	<u> </u>		-	-	ļ ·
	3.Clearing Member	161	124,831	124,831	0.362	0.362	<del></del>	<del> </del>
	4.Trust 5 Office Bearers	-	-	-	-		-	
<b></b>	6. NRIs	666	296,055	230,692		0.859		
	7. NRN	107	53,243	53,070		+		
	8. Foreign National	-		-	-		_	-
	9. Foreign Company			-	-		-	
	Sub-Total (B)(2)	30,962	11,872,585	10,989,441	34.446	34.446	-	Ĭ
	Total Public Shareholding (B)= (B)(1)+(B)(2)		16,041,015	15,154,917	i	46.539	NA	N.A
	TOTAL (A)+(B)	31,014	34,467,572	33,581,47	100.000	100,000	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued					-	. NA	N/
	1 Promoter & Promoter Group	-	-	-	-		-	-
	2 Public	-	1				-	-
	GRAND TOTAL (A)+(B)+(C)	31,014	34,467,572	33,581,47	4 100.00	100.000	0.00	0.00

CERTIFIED TRUE COPY PHILLIPS CARBON BLACKLID

SECRETARY







## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Sha				umbered shares		Details of		Details of c	rities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	Category	No.	As a percentage	% of grand total (A)+(B) +(C) of subclause (1)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
0	(10)	(H)	(IV)		(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(2)	(XI)	(XII)
	UNIVERSAL INDUSTRIAL FUND LTD.	5856762		Promoter		-	-					
	PAN:AAACU4032H	ĺ	ì	Group								
2	ADORN INVESTMENTS LTD.	4163749	12.080	Promoter								
	PAN:AACCA1169R	ļ		Group								
3	UJALA AGENCY PRIVATE LIMITED	2736019	7.938	Promoter					ļ			ļ
	PAN:AAABCU1770D			Group								
4	OFF SHORE INDIA LTD	2699655	7.832	Promoter	l		1			1		
	PAN:AAACO6223E			Group			<u> </u>			L		
5	ADAPT INVESTMENTS LTD.	2558039	7.422	Promoter				ļ				
	PAN:AACCA1170A	ļ		Group			<u> </u>	<b></b>		<b></b>	ļ	
6	TRADE APARTMENTS LTD.	321850	0.934	Promoter								
	PAN:AAABCT2117P			Group	<u> </u>						<u> </u>	ļ
7	STEL HOLDINGS LIMITED	90383	0.262	Promoter		1				1		
	PAN:AAOCS3332H			Group			İ					
8	SAREGAMA INDIA LIMITED	100	0.000	Promoter					1			ĺ
	PAN:AAACT9815B	1		Group				1			ļ	ļ
TOTAL		18426557	53,461		0	0.00	0.000	·	L		<u> </u>	L

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

CERTIFIED TRUE COPY PHILLIPS CARBON BLAZA LTD.

MAN TO SEE STATE OF THE SECOND 
## (I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	of shares {i.e.,	Number of	of warrants As a % total number of warrants of the same class	Number of convertible securities	convertible % w.r.t total number of convertible securities of the same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
Ī	NATIONAL WESTMIINSTER BANK PLC AS TRUSTEE PAN:AABTT4862E	1000000	2.901					
2	ACACIA PARTNERS, LP PAN:AALFA7272K	637500	1.850					
3	ACACIA INSTITUTIONAL PARTNERS, LP PAN:AALFA7287Q	501600	1.455					
4	ACACIA COSERVATION FUND PAN:AACGA4642M	360000	1.044					
5	BNK CAPITAL MARKETS LD. MAYFAIR TOWER 2 PALM AVENUE KOLKATA - 700 019 PAN:AABCB4140N	520000	1.509					
6	NIRMAL BANG SECURITIES PVT. LTD. PAN:AAACN7369L	515303	1.495					
7	KERALA STATE INDUSTRIAL DEVELOPMENT FUND PAN:AAACK9434D	467900	1.358	3				
8	SACHDEVA STOCKS PVT. LTD. PAN:AABCE6642A	365000	1.059					
	TOTAL	4367303	12.671	1				

CERTIFIED TRUE COPY PHILLIPS CARBON BLACK LTD.



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the	Number	Shares as a	Details	of warrants	Details of	convertible	Total shares
	shareholder(s) and	of shares	percentage of	Number	As a % total	Number of	% w.r.t	(including
	the Persons Acting in		total number	of	number of	convertible	total	underlying
	Concert (PAC) with		of shares	warrants	warrants of	securities	number of	shares
	them		{i.e., Grand		the	held	convertible	assuming full
i		}	Total		same class		securities	conversion of
			(A)+(B)+(C)				of the same	warrants and
			indicated in				class	convertible
i i			Statement at			1		securities) as a
			para (I)(a)					% of diluted
			above}					share capital
J		0	0.00					
TOTAL	1274	0	~	0		0		0

CERTIFIED TRUE COPY PHILLIPS CARBON BLACK, LTD.

A KOLKATA

## (I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	CATEGORY	locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	OFF SHORE INDIA LTD	PROMOTER	450,000	1.306
2	ADORN INVESTMENTS LTD	PROMOTER	400,000	1.161
3	ADAPT INVESTMENTS LTD	PROMOTER	400,000	1.161
TOTAL			1,250,000	3.627

CERTIFIED TRUE COPY PHILLIPS CARBON BLACK LTD.

ECRETARY

## (II)(a) Statement showing details of Depository Receipts (DRs)

	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}
1	N.A.			(-)((1) (12) (1)
2				
3				-
TOTAL	,	0	0	

PHILLIPS CARBON BLACK ATD.

SECRETARY



(II)(b) <u>Statement showing holding of Depository Receipts (DRs), where underlying shares held</u> by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.		outstanding DR	underlying	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total $(A)+(B)+(C)$ indicated in Statement at para $(I)(a)$ above}
1	N.A.	, , , , , , , , ,		
2				
3				
TOTAL			0	·

CERTIFIED TRUE COPY PHILLIPS CARBON BUAGK LTD.

ECRETARY

