

PRIME SECURITIES LIMITED

Pattern of Shareholding for the Quarter ended June 30, 2014



(I) (a) Statement showing Shareholding Pattern

Name of the Company: Prime Securities Limited			
Script Code: 500337 (BSE) / PRIMESECU (NSE) , Name of Scrip: Prime Securities Limited , Class of Security: Equity Shares			
Quarter ended: June 30, 2014			
Partly Paid-up Shares:-	No. of Partly Paid-up Shares	As a % of total no. of Partly Paid-up Shares	As a % of total no. of Shares of the Company
Held by promoter /promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of Outstanding Securities	As a % of total no. of Outstanding Convertible Securities	As a % of total no. of Shares of the Company, assuming full conversion of the Convertible Securities
Held by promoter /promoter group	Nil	Nil	Nil
Held by public	9,75,700	100.00	3.55
Total	9,75,700	100.00	3.55
Warrants:-	No. of Warrants	As a % of total no. of Warrants	As a % of total no. of Shares of the Company, assuming full Conversion of Warrants
Held by promoter /promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total Paid-up Capital of the Company, assuming full Conversion of Warrants and Convertible Securities (Shares)			2,74,49,225

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Sr. No.	Category of Shareholder	Number of shareholders	Total Number of shares	Number of Shares held in Dematerialised Form	Total shareholding as a % of total number of shares		Shares Pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	Number of Shares	As a percentage (IX) = (VIII) / (IV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII) / (IV)
(A)	Promoter and Promoter Group							
(1)	Indian							
	(a) Individuals / Hindu Undivided Family	-	-	-	-	-	-	-
	(b) Central Government / State Government(s)	-	-	-	-	-	-	-
	(c) Bodies Corporate	-	-	-	-	-	-	-
	(d) Financial Institutions / Banks	-	-	-	-	-	-	-
	(e) Any Other (specify)	-	-	-	-	-	-	-
	Sub Total (A) (1)	-	-	-	-	-	-	-
(2)	Foreign							
	(a) Individuals (Non-Resident Individuals / Foreign Individuals)	-	-	-	-	-	-	-
	(b) Bodies Corporate	-	-	-	-	-	-	-
	(c) Institutions	-	-	-	-	-	-	-
	(d) Any Other (specify)	-	-	-	-	-	-	-
	Sub Total (A) (2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)	-	-	-	-	-	-	-
(B)	Public Shareholding							
(1)	Institutions							
	(a) Mutual Funds / UTI	6	10,400	100	0.04	0.04	N.A.	N.A.
	(b) Financial Institutions / Banks	15	16,700	12,700	0.06	0.06	N.A.	N.A.
	(c) Central Government / State Government(s)	-	-	-	-	-	N.A.	N.A.
	(d) Venture Capital Funds	-	-	-	-	-	N.A.	N.A.
	(e) Insurance Companies	-	-	-	-	-	N.A.	N.A.
	(f) Foreign Institutional Investors	3	4,90,300	4,80,000	1.85	1.85	N.A.	N.A.
	(g) Foreign Venture Capital Investors	-	-	-	-	-	N.A.	N.A.
	(h) Any Other (Foreign Company)	2	18,28,600	18,00,000	6.91	6.91	N.A.	N.A.
	Sub Total (B) (1)	26	23,46,000	22,92,800	8.86	8.86	N.A.	N.A.
(2)	Non-Institutions							
	(a) Bodies Corporate	622	78,93,498	77,13,298	29.82	29.82	N.A.	N.A.
	(b) i. Individual Shareholders holding nominal share capital upto Rs 1 lakh	24,228	96,83,447	84,88,483	36.58	36.58	N.A.	N.A.
	ii. Individual Shareholders holding nominal share capital in excess of Rs 1 lakh	80	65,50,580	65,50,580	24.74	24.74	N.A.	N.A.
	(c) Any Other (Foreign Companies)	-	-	-	-	-	N.A.	N.A.
	Sub Total (B) (2)	24,930	2,41,27,525	2,27,52,361	91.14	91.14	N.A.	N.A.
	Total Public Shareholding (B) = (B)(1) + (B)(2)	24,956	2,64,73,525	2,50,45,161	100.00	100.00	N.A.	N.A.
	TOTAL (A) + (B)	24,956	2,64,73,525	2,50,45,161	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group	-	-	-	-	-	N.A.	N.A.
(2)	Public	-	-	-	-	-	N.A.	N.A.
	GRAND TOTAL (A) + (B) + (C)	24,956	2,64,73,525	2,50,45,161	100.00	100.00	-	-

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(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr No	Name of the Shareholder	Details of Shares held		Encumbered Shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of Shares held	As a % of grand total (A)+(B)+(C)	No.	As a %	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No. of Warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
None											
TOTAL											

(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr No	Name of the Shareholder	Number of shares held	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of Warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
1	N. Jayakumar Persons acting in concert: Madhu Vadera Jayakumar, Judith Investments Private Ltd, Vinay Motwani, Veena Motwani	53,98,256	20.39	-	-	4,10,000	42.02	21.16
2	New Vernon Private Equity Ltd	18,00,000	6.80	-	-	-	-	6.56
3	Videocon Industries Ltd	17,74,153	6.70	-	-	-	-	6.46
4	Yes Capital Venture Private Ltd	8,01,828	3.03	-	-	-	-	2.92
5	Koppara Sajeev Thomas	7,50,000	2.83	-	-	-	-	2.73
6	Prime Broking Company (India)	5,62,654	2.13	-	-	-	-	2.05
7	Abhay Agarwal	5,61,324	2.12	-	-	-	-	2.04
8	New Vernon India Ltd	4,80,000	1.81	-	-	-	-	1.75
TOTAL		1,21,28,215	45.81	-	-	4,10,000	42.02	45.68

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Pattern of Shareholding for the Quarter ended June 30, 2014

- (I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr No	Name(s) of the Shareholder(s) and the Persons Acting in Convert (PAC) with them	Number of shares held	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of Warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
1	N. Jayakumar, Persons acting in concert: Madhu Vadera Jayakumar, Judith Investments Private Ltd, Vinay Motwani, Veena Motwani	53,98,256	20.39	-	-	4,10,000	42.02	21.16
2	New Vernon Private Equity Ltd	18,00,000	6.80	-	-	-	-	6.56
3	Videocon Industries Ltd	17,74,153	6.70	-	-	-	-	6.46
TOTAL		89,72,409	33.89	-	-	4,10,000	42.02	34.18

- (I) (d) Statement showing details of locked-in shares

Sr No	Name of the Shareholder	Number of locked-in Shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (1) (a) above)
None			
TOTAL			

- (II) (a) Statement showing details of Depository Receipts (DRs)

Sr No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Outstanding DRs	No of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (1) (a) above)
None				
TOTAL				

- (II) (b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr No	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (1) (a) above)
None				
TOTAL				

