


## Introductory sub-table (I)(a)

<b>Name of the Company V.B. DESAI FINANCIAL SERVICES LTD.</b>			
<b>Scrip Code, Name of the scrip, class of security:</b>			
<b>Quarter ended: 30th June, 2014</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - D</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - E</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full</b>
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
<b>Total - F</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>4527890</b>	<b>0</b>	<b>0</b>

  
**V. B. DESAI FINANCIAL SERVICES LTD.**  
**DIRECTOR**

### Statement Showing Shareholding Pattern

		Table (I)(a)						
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter</b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	15	563551	563451	12.45	12.45	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	6	1283081	1283081	28.34	28.34	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)	Directors	0	0	0	0.00	0.00	0	0.00
(e-ii)	Director Relatives	0	0	0	0.00	0.00	0	0.00
(e-iii)	Person Acting in Concern	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>21</b>	<b>1846632</b>	<b>1846532</b>	<b>40.78</b>	<b>40.78</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
e-ii		0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>21</b>	<b>1846632</b>	<b>1846532</b>	<b>40.78</b>	<b>40.78</b>	<b>0</b>	<b>0.00</b>



<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	2	200	200	0.00	0.00	0	0.00
(c)	Central/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (B)(1)</b>	<b>2</b>	<b>200</b>	<b>200</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	97	228462	198066	5.05	5.05	0	0.00
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	4750	1566451	1180492	34.60	34.60	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	27	806193	661893	17.81	17.81	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(d-i)	NRI (Repat & Non Repat)	6	7930	7930	0.18	0.18	0	0.00
(d-ii)	OCB's	0	0	0	0.00	0.00	0	0.00
(d-iii)	Trust	1	15310	15310	0.34	0.34	0	0.00
(d-iv)	Hindu Undivided Family	71	41546	41546	0.92	0.92	0	0.00
(d-v)	Employee	0	0	0	0.00	0.00	0	0.00
(d-vi)	Clearing Members	2	15166	15166	0.33	0.33		
	<b>Sub-Total (B)(2)</b>	<b>4954</b>	<b>2681058</b>	<b>2120403</b>	<b>59.21</b>	<b>59.21</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>4956</b>	<b>2681258</b>	<b>2120603</b>	<b>59.22</b>	<b>59.22</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>4977</b>	<b>4527890</b>	<b>3967135</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0		0.00	0	0.00
2	Public	0	0	0		0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>4977</b>	<b>4527890</b>	<b>3967135</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>



**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the	Number of convertible securities held	As a % total number of convertible securities of the	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	DESAI INVESTMENTS PVT. LTD.	771607	17.04	0	0.00	0.00	0	0	0	0	771607
2	SOM HOLDING & TRADING CO. PVT. LTD.	344215	7.60	0	0.00	0.00	0	0	0	0	344215
3	DHARTI J SHROFF	261041	5.77	0	0.00	0.00	0	0	0	0	261041
4	MUKESH THAKORLAL SHROFF	158946	3.51	0	0.00	0.00	0	0	0	0	158946
5	BAHL & CO. PVT. LTD.	104820	2.31	0	0.00	0.00	0	0	0	0	104820
6	J.R. SHROFF HUF	75718	1.67	0	0.00	0.00	0	0	0	0	75718
7	J.R. SHROFF	15200	0.34	0	0.00	0.00	0	0	0	0	15200
8	AVDHOOT FINANCE AND INVESTMENT PVT. LTD.	27923	0.62	0	0.00	0.00	0	0	0	0	27923
9	GOVARDHAN HOLDING & TRADING CO. PVT. LTD.	25189	0.56	0	0.00	0.00	0	0	0	0	25189
10	THAKORLAL R. SHROFF	14421	0.32	0	0.00	0.00	0	0	0	0	14421
11	PURVI RAJ SHROFF	12000	0.27	0	0.00	0.00	0	0	0	0	12000
12	AARAYAA FINSTOCK PVT LTD	9327	0.21	0	0.00	0.00	0	0	0	0	9327
13	RAKSHA PRADIP SHROFF	7692	0.17	0	0.00	0.00	0	0	0	0	7692
14	BINDU S MARFATIA	5431	0.12	0	0.00	0.00	0	0	0	0	5431
15	PRADIP RATILAL SHROFF	4252	0.09	0	0.00	0.00	0	0	0	0	4252
16	PINKI MUKESH SHROFF	3000	0.07	0	0.00	0.00	0	0	0	0	3000
17	JYOTI GIRISH SHROFF	2950	0.07	0	0.00	0.00	0	0	0	0	2950
18	GIRISH RATILAL SHROFF	1200	0.03	0	0.00	0.00	0	0	0	0	1200
19	MANOJ THAKORLAL SHROFF	800	0.02	0	0.00	0.00	0	0	0	0	800
20	RAJ PRADIP SHROFF	800	0.02	0	0.00	0.00	0	0	0	0	800
21	RAKSHA PRADIP SHROFF	100	0.00	0	0.00	0.00	0	0	0	0	800
<b>TOTAL</b>		<b>1846632</b>	<b>40.78</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1846632</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares (i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a))	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total no. of warrants of the same class	Number of convertible securities held	% w.r.t total no. of convertible securities of the same class	
1	Nitin Kohli	130000	2.87	0	0	0	0	130000
2	Srihari Sirdeshpande	76700	1.69	0	0	0	0	76700
3	Kruti Capital Services Pvt. L	65000	1.43					65000
4	Milind Krishnaji Kulkarni	58500	1.29	0	0	0	0	58500
5	Shreekant Javalekar	57000	1.10	0	0	0	0	57000
6	Snehalatha Singhi	49497	1.09	0	0	0	0	49497
<b>TOTAL</b>		<b>436697</b>	<b>9.64</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>436697</b>

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total no. of shares (i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a))	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% total no. of convertible securities of the same class	
1	Nil	0	0.00	0	0	0	0	0
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a % of total no. of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a))
1	Nil	0	0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>

II(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares (i.e., Grand Total (A)+(B)+(C) in Statement at para (I)(a))
1	Nil	0	0	0
<b>Total</b>		<b>0</b>	<b>0</b>	<b>0</b>

(II) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in at para (I)(a))
1	Nil	0	0.00	0
<b>Total</b>		<b>0</b>	<b>0.00</b>	<b>0</b>

