

(I)(a) Statement showing Shareholding Pattern

| Name of the Company: Escorts Finance Limited | | | |
|--|-------------------------------|---|--|
| Scrip Code, Name of the scrip, class of security: BSE- 511716, Escorts Finance Limited, Equity | | | |
| Quarter ended: September 30, 2015 | | | |
| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up Shares | As a % of total no. of shares of the company |
| Held by promoter/promoter group | NIL | N.A. | N.A. |
| Held by public | NIL | N.A. | N.A. |
| Total | NIL | N.A. | N.A. |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | NIL | N.A. | N.A. |
| Held by public | NIL | N.A. | N.A. |
| Total | NIL | N.A. | N.A. |
| Warrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of Warrants |
| Held by promoter/promoter group | NIL | N.A. | N.A. |
| Held by public | NIL | N.A. | N.A. |
| Total | NIL | N.A. | N.A. |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities | 40,25,0000 | | |





| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|--|------------------------|------------------------|--|--|----------------------------|--|--|
| | | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of Shares | As a percentage (IX) = (VIII) / (IV) * 100 |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |
| (A) | Shareholding of Promoter and Promoter Group ² | | | | | | | |
| (1) | Indian | | | | | | | |
| (a) | Individuals/Hindu Undivided Family | 4 | 61000 | 61000 | 0.152 | 0.152 | 0.00 | 0.00 |
| (b) | Central Government/State Government(s) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (c) | Bodies Corporate | 2 | 4444700 | 4444700 | 11.043 | 11.043 | 0.00 | 0.00 |
| (d) | Financial Institutions/Banks | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (e) | Any Others : Trust | 1 | 23497478 | 23497478 | 58.379 | 58.379 | 0.00 | 0.00 |
| | Sub-Total (A)(1) | 7 | 28003178 | 28003178 | 69.573 | 69.573 | 0.00 | 0.00 |
| (2) | Foreign | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

¹ For determining public shareholding for the purpose of Clause 40A.

² For definitions of "Promoter" and "Promoter Group", refer to Clause 40A.

| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|--|------------------------|------------------------|--|--|----------------------------|--|----------------------------|
| | | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of Shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) = (VIII) / (IV) * 100 |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (b) | Bodies Corporate | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (c) | Institutions | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (d) | Qualified Foreign Investor | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (e) | Any Other (specify) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Sub-Total (A)(2) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(I)+(A)(2) | 7 | 28003178 | 28003178 | 69.573 | 69.573 | 0.00 | 0.00 |
| (B) | Public shareholding ³ | | | | | | N.A | N.A |
| (1) | Institutions | | | | | | N.A | N.A |
| (a) | Mutual Funds/UTI | 1 | 200 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (b) | Financial Institutions/ | 1 | 4800 | 0.00 | 0.012 | 0.012 | 0.00 | 0.00 |



³ For definitions of "Public Shareholding", refer to Clause 40A.

| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|---|------------------------|------------------------|--|--|----------------------------|--|--|
| | | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of Shares | As a percentage (IX) = (VIII) / (IV) * 100 |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |
| | Banks | | | | | | | |
| (c) | Central Government/ State Government(s) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (d) | Venture Capital Funds | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (e) | Insurance Companies | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (f) | Foreign Institutional Investors | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (g) | Foreign Venture Capital Investors | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (h) | Qualified Foreign Investor | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (i) | Any Other (specify) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Sub-Total (B)(1) | 2 | 5000 | 0.00 | 0.012 | 0.012 | 0.00 | 0.00 |
| (2) | Non-institutions | | | | | | N.A | N.A |
| (a) | Bodies Corporate | 209 | 5189659 | 5174959 | 12.894 | 12.894 | 0.00 | 0.00 |
| (b) | Individuals - i. Individual shareholders holding nominal | 25052 | 5379433 | 3608634 | 13.365 | 13.365 | 0.00 | 0.00 |



| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|---|------------------------|------------------------|--|--|----------------------------|--|--|
| | | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of Shares | As a percentage (IX) = (VIII) / (IV) * 100 |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |
| | share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 46 | 1493805 | 1493805 | 3.711 | 3.711 | | |
| (c) | Qualified Foreign Investor | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (d) | Any Other (NRI) | 23 | 178925 | 178925 | 0.445 | 0.445 | 0 | 0 |
| | Sub-Total (B)(2) | 25330 | 12241822 | 10456323 | 30.415 | 30.415 | 0.00 | 0.00 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) | 25332 | 12246822 | 10456323 | 30.427 | 30.427 | N.A | N.A |
| | TOTAL (A)+(B) | 25339 | 40250000 | 38459501 | 100 | 100 | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | 0.00 | 0.00 | 0.00 | N.A | 0.00 | N.A | N.A |



| Category code | Category of shareholder | Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|--------------------------------|------------------------|------------------------|--|--|----------------------------|--|-------------------------------|
| | | | | | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of Shares | As a percentage |
| (1) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) = (VIII) / (IV) * 100 |
| (1) | Promoter and Promoter Group | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| (2) | Public | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | GRAND TOTAL (A)+(B)+(C) | 25339 | 40250000 | 38459501 | N.A | 100 | 0.00 | 0.00 |

NA – Not applicable



(I)(b) Statement showing holding of securities (including shares, warrants, convertibles securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of Shares held | | Encumbered shares(*) | | | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII) |
|--------------|---|------------------------|---------------------------------------|----------------------|-------------------------------------|---|-------------------------|---|---------------------------------------|--|--|
| | | No. of Shares held | As a % of grand total (A) + (B) + (C) | No. | As a percentage (VD)=(V)/(III)* 100 | As a % of grand total (A)+(B)+(C) of sub-clause (I) (a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class (XI) | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1. | Nitasha Nanda | 900 | 0.002 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | Rajan Nanda with Ritu Nanda | 30000 | 0.075 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3. | Rajan Nanda with Nitasha Nanda | 30000 | 0.075 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4. | Ritu Nanda | 100 | 0.00 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5. | Surendra Ambalal Dave, Trustee of Escorts Benefit and Welfare Trust | 23497478 | 58.379 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 6. | Escorts Limited | 3819700 | 9.490 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 7. | Escorts Securities Limited | 625000 | 1.553 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| TOTAL | | 28003178 | 69.573 | | | | | | | | |

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulation 2011.



(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-------------------------------------|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | B.R. Holdings Private Limited | 2376468 | 5.904 | NIL | NIL | NIL | NIL | NIL |
| 2. | Diamond Leasing and Finance Limited | 2000000 | 4.969 | NIL | NIL | NIL | NIL | NIL |
| | TOTAL | 4376468 | 10.873 | | | | | |



(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertibles securities) of persons (together with PAC)/belonging to the category "Public" and holding more than 5 % of the total number of shares of the company



| Sr. No. | Name(s) of the shareholder (s) and the Persons Acting in Concert (PAC) with them | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|--|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1. | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | | | | | | | | |
| TOTAL | | | | | | | | |

(D)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-------------------------|----------------------------|--|
| 1. | NIL | NIL | |
| 2. | | | |
| TOTAL | | | |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|---|---------------------------|---|---|
| 1. | NIL | NIL | NIL | |
| 2. | | | | |
| TOTAL | | | | |

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares



| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
| 1. | | | | |
| 2. | | | | |
| TOTAL | | | | |

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.
(Give description of voting rights for each class of security.)

Class X:

Class Y:

Class Z:)

| Category code | Category of shareholder | Number of Voting Rights held in each class of securities | | | Total Voting Rights (III+IV+V) | Total Voting Rights i.e. (VI) | |
|---------------|--|--|---------|---------|--------------------------------|-------------------------------|----------------------------|
| | | Class X | Class Y | Class Z | | As a percentage of (A+B) | As a percentage of (A+B+C) |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) |
| (A) | Promoter and Promoter Group | | | | | | |
| (1) | Indian | | | | | | |
| (a) | Individuals/ Undivided Family | | | | | | |
| (b) | Central Government/ State Government(s) | | | | | | |
| (c) | Bodies Corporate | | | | | | |
| (d) | Financial Institutions/ Banks | | | | | | |
| (e) | Any Other | | | | | | |



| | | | | | | | | | | |
|-----|---|--|--|--|--|--|--|--|--|--|
| (b) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | | | | | | | | | |
| (c) | Any Other (specify) | | | | | | | | | |
| | Sub-Total (B)(2) | | | | | | | | | |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) | | | | | | | | | |
| | TOTAL (A)+(B) | | | | | | | | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | | | |
| | GRAND TOTAL (A)+(B)+(C) | | | | | | | | | |

For Escorts Finance Limited



Vicky Chakraborty
Company Secretary