

INDIA

ALSTOM

IHDP Building, Plot # 7, Sector 127
Noida 201301, Uttar Pradesh, India.
Tel.: +91 120 4731100
Fax: +91 120 4731200

14 Oct 2015

AIL/2015-16/NSE-BSE-03

To,
The Manager - Listing
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051

To,
The Manager - Listing
BSE Ltd.
25th Floor, P.J. Towers, Dalal Street,
Mumbai – 400 001

Symbol : **AIL**

Scrip Code : **532309**

Sub.: Revised Shareholding Pattern for the Quarter ended 30 September 2015

Ref : Letter dated 09 October 2015 for filing of aforesaid shareholding Pattern

Dear Sir/Madam,

We wish to inform you that the Shareholding Pattern filed by the Company vide Letter dated 09 October 2015 contained a clerical error. 1,524,390 shares marked as locked- in were released on 25 July 2015 however, the said shares were inadvertently shown in the details of locked-in-shares. The same has now been rectified.

Accordingly, please find enclosed the revised Shareholding Pattern for the quarter ended 30 September 2015.

We sincerely regret the inconvenience being caused.

Thanking you,
Yours truly,
For **ALSTOM India Limited**


P. K. Puhari
Company Secretary cum Compliance Officer

Encl.: as above

(I)(a) Statement showing Shareholding Pattern

| Name of the Company : | | ALSTOM India Limited | |
|------------------------------------|----------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| Scrip Code : | | BSE - 532309, NSE - AIL | |
| Scrip Name : | | ALSTOM | |
| Class of Security : | | Equity | |
| Quarter Ended: | | 30-Sep-15 | |
| Partly Paid-up Shares | Number of partly paid-up shares | As a % of total number of partly paid-up shares | As a % of total number of shares of the Company |
| Held by promoter/promoters group | NIL | NIL | NIL |
| Held by public | NIL | NIL | NIL |
| Total | NIL | NIL | NIL |
| Outstanding convertible securities | Number of outstanding securities | As a % of total number of outstanding convertible securities | As a % of total number of shares of the Company, assuming full conversion of the convertible securities |
| Held by promoter/promoters group | NIL | NIL | NIL |
| Held by public | NIL | NIL | NIL |
| Total | NIL | NIL | NIL |
| Warrants | Number of warrants | As a % of total number of warrants | As a % of total number of shares of the Company, assuming full conversion of warrants |
| Held by promoter/promoters group | NIL | NIL | NIL |
| Held by public | NIL | NIL | NIL |
| Total | NIL | NIL | NIL |

Rs.672,274,710/- comprising of 67,227,471 equity shares of Rs. 10/- each

Total paid-up capital of the Company assuming full conversion of warrants and convertible securities





| Category code | Category of Shareholder | No. of share-holders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Share Pledged or otherwise encumbered | |
|---------------|------------------------------------------------------------------------------|----------------------|------------------------|----------------------------------------------|--------------------------------------------------------------|-------------------|---------------------------------------|------------------------|
| | | | | | As a % of (A+B) | As a % of (A+B+C) | Number of shares | As a % |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) = (VIII)/(IV)*100 |
| (A) | Shareholding of Promoter and Promoter Group | | | | | | | |
| 1 | Indian | | | | | | | |
| a | Individuals/ Hindu Undivided Family | - | - | - | - | - | - | - |
| b | Central Government / State Government (s) | - | - | - | - | - | - | - |
| c | Bodies Corporate | - | - | - | - | - | - | - |
| d | Financial Institutions / Banks | - | - | - | - | - | - | - |
| e | Any Other (specify) | - | - | - | - | - | - | - |
| | Sub-Total (A)(1) | - | - | - | - | - | - | - |
| 2 | Foreign | | | | | | | |
| a | Individuals (Non-Resident Individuals/ Foreign Individuals) | - | - | - | - | - | - | - |
| b | Bodies Corporate | 1 | 46,088,294 | 46,088,294 | 68.56 | 68.56 | - | - |
| c | Institutions | - | - | - | - | - | - | - |
| d | Qualified Foreign Investors | - | - | - | - | - | - | - |
| e | Any Other (specify) | - | - | - | - | - | - | - |
| | Sub-Total (A)(2) | 1 | 46,088,294 | 46,088,294 | 68.56 | 68.56 | - | - |
| | Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | 1 | 46,088,294 | 46,088,294 | 68.56 | 68.56 | - | - |
| (B) | Public Shareholding | | | | | | | |
| 1 | Institutions | | | | | | | |
| a | Mutual Funds / UTI | 59 | 8,434,384 | 8,434,884 | 12.55 | 12.55 | NA | NA |
| b | Financial Institutions / Banks | 27 | 221,348 | 200,850 | 0.33 | 0.33 | NA | NA |
| c | Central Government / State Government (s) | 3 | 259,742 | - | 0.39 | 0.39 | NA | NA |
| d | Venture Capital Funds | - | - | - | - | - | NA | NA |
| e | Insurance Companies | 4 | 1,059,709 | 1,059,709 | 1.58 | 1.58 | NA | NA |
| f | Foreign Institutional Investors | 61 | 1,468,193 | 1,467,981 | 2.18 | 2.18 | NA | NA |
| g | Foreign Venture Capital Investors | - | - | - | - | - | NA | NA |
| h | Qualified Foreign Investors | - | - | - | - | - | NA | NA |
| i | Any Other (specify) | - | - | - | - | - | NA | NA |
| | Sub-Total (B)(1) | 154 | 11,443,376 | 11,162,424 | 17.02 | 17.02 | NA | NA |
| 2 | Non-institutions | | | | | | | |
| a | Bodies Corporate | 715 | 2,379,338 | 2,368,182 | 3.54 | 3.54 | NA | NA |
| b | Individuals | | | | | | | |
| (i) | Individual shareholders holding nominal share capital up to Rs.1 lakh. | 41,299 | 6,271,690 | 5,625,598 | 9.33 | 9.33 | NA | NA |
| (ii) | Individual shareholders holding nominal share capital in excess of Rs.1 lakh | 33 | 803,427 | 706,211 | 1.20 | 1.20 | NA | NA |

| Category code | Category of Shareholder (ii) | No. of share-holders (iii) | Total number of shares (iv) | Number of shares held in dematerialized form (v) | Total shareholding as a percentage of total number of shares | | Share Pledged or otherwise encumbered | | |
|---------------|-----------------------------------------------------------------------------------------|-------------------------------|--------------------------------|-----------------------------------------------------|--------------------------------------------------------------|----------------------------|---------------------------------------|---------------------------------|-----------|
| | | | | | As a % of (A+B) (vi) | As a % of (A+B+C) (vii) | Number of shares (viii) | As a % (ix) = (viii/(iv)*100 | |
| c | Any Other (specify) | | | | | | | | |
| (i) | Directors & Relatives | 2 | 13,599 | 13,415 | 0.02 | 0.02 | NA | NA | NA |
| (ii) | Trusts | 6 | 5,287 | 5,287 | 0.01 | 0.01 | NA | NA | NA |
| (iii) | Foreign Bodies Corporate | 1 | 8,383 | - | 0.01 | 0.01 | NA | NA | NA |
| (iv) | Non-resident Indians | 713 | 201,292 | 198,809 | 0.30 | 0.30 | NA | NA | NA |
| (v) | Clearing Members | 47 | 12,204 | 8,762 | 0.02 | 0.02 | NA | NA | NA |
| (vi) | Foreign Nationals | 6 | 581 | 422 | 0.00 | 0.00 | NA | NA | NA |
| d | Qualified Foreign Investors | - | - | - | - | - | NA | NA | NA |
| | Sub-Total (B)(2) | 42,822 | 9,695,801 | 8,926,686 | 14.42 | 14.42 | NA | NA | NA |
| | Total Public Shareholding (B)=(B)(1)+(B)(2) | 42,976 | 21,139,177 | 20,089,110 | 31.44 | 31.44 | NA | NA | NA |
| | TOTAL (A)+(B) | 42,977 | 67,227,471 | 66,177,404 | 100.00 | 100.00 | - | - | - |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | | |
| 1 | Promoter and Promoter Group | - | - | - | - | - | - | - | - |
| 2 | Public | - | - | - | - | - | - | - | - |
| | GRAND TOTAL (A)+(B)+(C) | 42,977 | 67,227,471 | 66,177,404 | 100.00 | 100.00 | - | - | - |



(i)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of Shares held | | Encumbered Shares | | Details of Warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-------------------------|------------------------|-----------------------------------|--------------------|-----------------------------------------|----------------------|--------------------------------------------|------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| | | Number of Shares held | As a % of grand total (A)+(B)+(C) | No. of Shares held | As a % (A)+(B)+(C) of sub-clause (i)(a) | No. of warrants held | As a % of total warrants of the same class | No. of convertible securities held | As a % of total convertible securities of the same class | |
| (i) | (ii) | (iii) | (iv) | (v) | (vi)=(v)/(iii)*100 | (vii) | (ix) | (x) | (xi) | (xii) |
| 1 | ALSTOM FINANCE BV | 46,088,294 | 68.56 | - | - | - | - | - | - | 68.56 |
| | TOTAL | 46,088,294 | 68.56 | - | - | - | - | - | - | 68.56 |

(i)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% total number of shares

| Sr. No. | Name of the shareholder | No. of Shares held | Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (i)(a) above) | Details of Warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-----------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------------------------------|------------------------------------------------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | No. of warrants held | As a % of total warrants of the same class | % w.r.t total number of convertible securities of the same class | Number of convertible securities held | |
| 1 | BIRLA SUN LIFE INSURANCE COMPANY LIMITED | 1,417,415 | 2.11 | - | - | - | - | 2.11 |
| 2 | RELIANCE CAPITAL TRUSTEE CO. LTD. A/C RELIANCE DIVERSIFIED POWER SECTOR FUND | 1,136,532 | 1.69 | - | - | - | - | 1.69 |
| 3 | RELIANCE CAPITAL TRUSTEE CO LTD A/C-RELIANCE REGULAR SAVINGS FUND-EQUITY OPTION | 1,000,000 | 1.49 | - | - | - | - | 1.49 |
| 4 | BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/C BIRLA SUN LIFE FRONTLINE EQUITY FUND | 855,807 | 1.27 | - | - | - | - | 1.27 |
| 5 | LIFE INSURANCE CORPORATION OF INDIA | 728,060 | 1.08 | - | - | - | - | 1.08 |
| 6 | RELIANCE CAPITAL TRUSTEE CO LTD-A/C RELIANCE MID & SMALL CAP FUND | 674,018 | 1.00 | - | - | - | - | 1.00 |
| | TOTAL | 5,911,852 | 8.65 | - | - | - | - | 8.65 |

(i)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% total number of shares

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | No. of Shares held | Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in statement at para (i)(a) above) | Details of Warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------|--------------------------------------------|---------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | No. of warrants held | As a % of total warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | RELIANCE CAPITAL TRUSTEE CO. LTD. - Through various Schemes of Reliance Mutual Funds* | 4,708,230 | 7.00 | - | - | - | - | 7.00 |
| | TOTAL | 4,708,230 | 7.00 | - | - | - | - | 7.00 |



* As per the disclosure received by the Company on 19 December 2014.

(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | No. of locked-in shares | Locked-in shares as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) | Promoters / Promoter Group / Public |
|---------|-------------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| | | | NIL | |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | No. of outstanding DRs | No. of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|---------|-------------------------------------------------|------------------------|------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | NIL |

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR's (ADRs, GDRs, SDRs, etc.) | No. of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|---------|-----------------------|---------------------------------------------------|------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | NIL |

(III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of shares/securities is issued by the issuer - Not Applicable

