

NOVARTIS INDIA LIMITED
SHAREHOLDING PATTERN AS ON 31.03.2015

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 500672

Name of Scrip :NOVARTIS

Class of Security : Equity

Quarter Ended : 31.03.2015

Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
Category Code	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
Category Code	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities				0	0.00	0.00		
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares As a percentage of (A+B) (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F							
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	0	0	0	0.00	0.00		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Individuals(Non Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	4	23970597	23970597	75.00	75.00		
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
e-I		0	0	0	0.00	0.00		
	Sub-Total (A)(2)	4	23970597	23970597	75.00	75.00		
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	23970597	23970597	75.00	75.00		
(B)	Public Shareholding							
1	Institutions							
(a)	Mutual Fund/UTI	14	270636	269963	0.85	0.85		
(b)	Financial Institutions/Banks	39	8231	6140	0.03	0.03		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	3	291961	291961	0.91	0.91		
(g)	Foreign Venture Cap. Investors	6	191360	191360	0.60	0.60		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
(i-ii)		0	0	0	0.00	0.00		
(i-ii)								
	Sub-Total (B)(1)	62	762188	759424	2.39	2.39		
B 2	Non Institutions							
(a)	Bodies Corporate	656	863110	859311	2.70	2.70		
(b)	Individuals							
I	Individual-i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	42604	5555695	4829940	17.38	17.38		
II	ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	15	639292	639292	2.00	2.00		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
(d-I)	NRI - Non Rep.	278	114499	112067	0.36	0.36		
(d-II)	NRI - Rep.	261	54533	53449	0.17	0.17		
(d-iii)	Foreign National	1	54	54	0.00	0.00		
(d-iv)	Overseas Corporate Bodies	0	0	0	0.00	0.00		
(d-v)	Foreign Bodies	0	0	0	0.00	0.00		
(d-vi)	Trust	6	829	829	0.00	0.00		
(d-vii)	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	43821	7228012	6494942	22.61	22.61		
	Total Public shareholding (B)=(B)(1)+(B)(2)	43883	7990200	7254366	25.00	25.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	43887	31960797	31224963	100.00	100.00		
1	Promoter and Promoter Group							
2	Public							
	Sub Total (C)							
	GRAND TOTAL (A)+(B)+(C)	43887	31960797	31224963	100.00	100.00		

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COMPANY SECRETARY



(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage (VI) = (V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NOVARTIS AG	10170445	31.82	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	31.82
2	NOVARTIS AG	8147365	25.49	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	25.49
3	NOVARTIS AG	2921800	9.14	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	9.14
4	NOVARTIS AG	2730987	8.55	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	8.55
TOTAL		23970597	75.00	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	75.00

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	ATUL LIMITED	384660	1.20	N.A.	N.A.	N.A.	N.A.	1.20
TOTAL		384660	1.20					1.20

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	N.A.			N.A.	N.A.	N.A.	N.A.	N.A.
TOTAL								

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(1) (d) Statement showing details of locked in shares

Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above)	Promoter/ Promoter Group/ Public
	N. A.			
	TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRS)

Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N. A.			
	TOTAL			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no. of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N. A.			
	TOTAL			

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