

Introductory sub-table (I)(a)

Name of the Company: DB (International) Stock Brokers Limited

Scrip Code, Name of the scrip, class of security: BSE : 530393, NSE : DBSTOCKBRO, DB (International) Stock Brokers Limited, Equity Shares

Quarter ended: June 30, 2015

| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|-------------------------|------------------------------|--|--|
|-------------------------|------------------------------|--|--|

|                                 |          |          |          |
|---------------------------------|----------|----------|----------|
| Held by promoter/promoter group | 0        | 0        | 0        |
| Held by public                  | 0        | 0        | 0        |
| <b>Total</b>                    | <b>0</b> | <b>0</b> | <b>0</b> |

| Outstanding convertible securities:- No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
|--|---|--|
|--|---|--|

|                                 |          |          |
|---------------------------------|----------|----------|
| Held by promoter/promoter group | 0        | 0        |
| Held by public                  | 0        | 0        |
| <b>Total</b>                    | <b>0</b> | <b>0</b> |

| Warrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
|------------|-----------------|---------------------------------|--|
|------------|-----------------|---------------------------------|--|

|                                 |          |          |          |
|---------------------------------|----------|----------|----------|
| Held by promoter/promoter group | 0        | 0        | 0        |
| Held by public                  | 0        | 0        | 0        |
| <b>Total</b>                    | <b>0</b> | <b>0</b> | <b>0</b> |

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

3,50,00,000

**Statement Showing Shareholding Pattern**

| Category code | Category of Shareholder                                      | Number of Shareholders | Table (I)(a)           |  | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                        |
|---------------|--|------------------------|------------------------|--|--|----------------------------|--|------------------------|
|               |  |                        | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) <sup>1</sup>                        | As a percentage of (A+B+C) |  |                        |
| (I)           | (II)   | (III)                  | (IV)                   | (V)  | (VI)   | (VII)                      | (VIII)                                 | (IX) = (VIII)/(IV)*100 |
| (A)           | Shareholding of Promoter and Promoter Group <sup>2</sup>     |                        |                        |  |  |                            |  |                        |
| <b>1</b>      | <b>Indian</b>  |                        |                        |  |  |                            |  |                        |
| (a)           | Individuals/ Hindu Undivided Family                          | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| (b)           | Central Government/ State Government(s)                      | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| (c)           | Bodies Corporate   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| (d)           | Financial Institutions/ Banks                                | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| (e)           | Any Others(Specify)  |                        |                        |  |  |                            |  |                        |
| (e-i)         | Directors & their Relatives                                  | 5                      | 9753000                | 9753000                                      | 27.87  | 27.87                      | 0                                      | 0.00                   |
|               | <b>Sub Total(A)(1)</b>                                       | <b>5</b>               | <b>9753000</b>         | <b>9753000</b>                               | <b>27.87</b>   | <b>27.87</b>               | <b>0</b>                               | <b>0.00</b>            |
| <b>2</b>      | <b>Foreign</b>   |                        |                        |  |  |                            |  |                        |
| a             | Individuals (Non-Residents Individuals/ Foreign Individuals) | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| b             | Bodies Corporate   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |
| c             | Institutions   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                   |

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|            |   |          |                |                |              |              |             |             |             |
|------------|---|----------|----------------|----------------|--------------|--------------|-------------|-------------|-------------|
| d          | Qualified Foreign Investor  | 0        | 0              | 0              | 0            | 0.00         | 0.00        | 0           | 0.00        |
| e          | Any Others(Specify)   | 0        | 0              | 0              | 0            | 0.00         | 0.00        | 0           | 0.00        |
|            | <b>Sub Total(A)(2)</b>  | <b>0</b> | <b>0</b>       | <b>0</b>       | <b>0</b>     | <b>0.00</b>  | <b>0.00</b> | <b>0</b>    | <b>0.00</b> |
|            | <b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>            | <b>5</b> | <b>9753000</b> | <b>9753000</b> | <b>27.87</b> | <b>27.87</b> | <b>0</b>    | <b>0.00</b> |             |
| <b>(B)</b> | <b>Public shareholding</b>  |          |                |                |              |              |             |             |             |
| <b>1</b>   | <b>Institutions</b>   |          |                |                |              |              |             | N.A.        | N.A.        |
| (a)        | Mutual Funds/ UTTI  | 0        | 0              | 0              | 0            | 0.00         | 0.00        |             |             |
| (b)        | Financial Institutions /Banks   | 1        | 500            | 500            | 0.00         | 0.00         |             |             |             |
| (c)        | Central Government/ State Government(s)   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (d)        | Venture Capital Funds   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (e)        | Insurance Companies   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (f)        | Foreign Institutional Investors   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (g)        | Foreign Venture Capital Investors   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (h)        | Qualified Foreign Investor  | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
| (i)        | Any Other (specify)   | 0        | 0              | 0              | 0.00         | 0.00         |             |             |             |
|            | <b>Sub-Total (B)(1)</b>   | <b>1</b> | <b>500</b>     | <b>500</b>     | <b>0.00</b>  | <b>0.00</b>  |             |             |             |
| <b>B 2</b> | <b>Non-institutions</b>   |          |                |                |              |              |             |             |             |
| (a)        | Bodies Corporate  | 95       | 18984523       | 18971523       | 54.24        | 54.24        |             | N.A.        | N.A.        |
| (b)        | Individuals   |          |                |                |              |              |             |             |             |
|            | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 877      | 744630         | 242220         | 2.13         | 2.13         |             |             |             |

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|         |  |      |          |          |        |        |      |      |
|---------|--|------|----------|----------|--------|--------|------|------|
| II      | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 28   | 4600349  | 4600349  | 13.14  | 13.14  |      |      |
| (c)     | Qualified Foreign Investor   | 0    | 0        | 0        | 0.00   | 0.00   |      |      |
| (d)     | Any Other (specify)  |      |          |          |        |        |      |      |
| (d-i)   | Non Resident Indians   | 4    | 570      | 570      | 0.00   | 0.00   |      |      |
| (d-ii)  | Clearing Members   | 1    | 499      | 499      | 0.00   | 0.00   |      |      |
| (d-iii) | Hindu Undivided Families   | 25   | 915929   | 915929   | 2.62   | 2.62   |      |      |
|         | Sub-Total (B)(2)   | 1030 | 25246500 | 24731090 | 72.13  | 72.13  |      |      |
| (B)     | Total Public Shareholding (B)= (B)(1)+(B)(2)                                       | 1031 | 25247000 | 24731090 | 72.13  | 72.13  |      |      |
|         | TOTAL (A)+(B)  | 1036 | 35000000 | 34484090 | 100.00 | 100.00 |      |      |
| (C)     | Shares held by Custodians and against which Depository Receipts have been issued   |      |          |          |        |        |      |      |
| 1       | Promoter and Promoter Group  | 0    | 0        | 0        | 0      | 0.00   | N.A. | N.A. |
| 2       | Public   | 0    | 0        | 0        | 0      | 0.00   | N.A. | N.A. |
|         | Sub-Total (C)  | 0    | 0        | 0        | 0      | 0      | 0    | 0    |
|         | GRAND TOTAL (A)+(B)+(C)  | 1036 | 35000000 | 34484090 | 100    | 100.00 | 0    | 0.00 |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |
|         |  |      |          |          |        |        |      |      |

For DB (International) Stock Brokers Ltd.

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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No.      | Name of the shareholder | Details of Shares held |                                   |          | Encumbered shares (*)              |  | Details of warrants     |  |                                       | Details of convertible securities                                  |              | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|-------------------------|------------------------|-----------------------------------|----------|------------------------------------|--|-------------------------|--|---------------------------------------|--|--------------|--|
|              |                         | Number of shares held  | As a % of grand total (A)+(B)+(C) | No.      | As a percentage (VI)=(V)/(III)*100 | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % of total number of warrants of the same class | Number of convertible securities held | As a % of total number of convertible securities of the same class |              |  |
| (i)          | (ii)                    | (iii)                  | (iv)                              | (v)      | (vi)=(v)/(iii)*100                 | (vii)  | (viii)                  | (ix)   | (x)                                   | (xi)   | (xii)        |  |
| 1            | Shiv Narayan Daga       | 5780000                | 16.51                             | 0        | 0.00                               | 0.00   | 0                       | 0  | 0                                     | 0  | 16.51        |  |
| 2            | Sharda Daga             | 1423000                | 4.07                              | 0        | 0.00                               | 0.00   | 0                       | 0  | 0                                     | 0  | 4.07         |  |
| 3            | Shikha Daga             | 650000                 | 1.86                              | 0        | 0.00                               | 0.00   | 0                       | 0  | 0                                     | 0  | 1.86         |  |
| 4            | Manisha Daga            | 625000                 | 1.79                              | 0        | 0.00                               | 0.00   | 0                       | 0  | 0                                     | 0  | 1.79         |  |
| 5            | Shiv Narayan Daga HUF   | 1275000                | 3.64                              | 0        | 0.00                               | 0.00   | 0                       | 0  | 0                                     | 0  | 3.64         |  |
| <b>TOTAL</b> |                         | <b>9753000</b>         | <b>27.87</b>                      | <b>0</b> | <b>0.00</b>                        | <b>0.00</b>  | <b>0</b>                | <b>0</b>   | <b>0</b>                              | <b>0</b>   | <b>27.87</b> |  |

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder                        | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} | Details of warrants     |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|--|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
|         |  |                       |  | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1       | IL AND FS SECURITIES SERVICES LIMITED          | 757769                | 2.17   | 0                       | 0   | 0                                     | 0  | 2.17   |
| 2       | SHARMA GANDHI HIRE PURCHASE LIMITED            | 3571106               | 10.20  | 0                       | 0   | 0                                     | 0  | 10.20  |
| 3       | P S GLOBAL LIMITED                             | 551435                | 1.58   | 0                       | 0   | 0                                     | 0  | 1.58   |
| 4       | VERITAS INDIA LIMITED                          | 500000                | 1.43   | 0                       | 0   | 0                                     | 0  | 1.43   |
| 5       | RANDER CORPORATION LIMITED                     | 351867                | 1.01   | 0                       | 0   | 0                                     | 0  | 1.01   |
| 6       | DHANLEELA INVESTMENT & TRADING COMPANY LIMITED | 1182252               | 3.38   | 0                       | 0   | 0                                     | 0  | 3.38   |
| 7       | RECTOR INVESTMENTS PVT. LTD                    | 415000                | 1.19   | 0                       | 0   | 0                                     | 0  | 1.19   |
| 8       | MOHAN LEASING LIMITED                          | 550000                | 1.57   | 0                       | 0   | 0                                     | 0  | 1.57   |
| 9       | RUNICHA CONSTRUCTION CO PVT LTD                | 358349                | 1.02   | 0                       | 0   | 0                                     | 0  | 1.02   |
| 10      | SHANKER CREDITS PRIVATE LIMITED                | 1602548               | 4.58   | 0                       | 0   | 0                                     | 0  | 4.58   |
| 11      | SHREYANS FINLEASE PVT. LTD.                    | 1492921               | 4.27   | 0                       | 0   | 0                                     | 0  | 4.27   |
| 12      | ANKUSH POLY ENGINEERING PRIVATE LIMITED        | 373351                | 1.07   | 0                       | 0   | 0                                     | 0  | 1.07   |
| 13      | GURU KIRPA FINVEST LIMITED                     | 4430091               | 12.66  | 0                       | 0   | 0                                     | 0  | 12.66  |

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|              |                |                 |              |          |          |          |          |              |
|--------------|----------------|-----------------|--------------|----------|----------|----------|----------|--------------|
| 14           | SHEETAL PERWAL | 752000          | 2.15         | 0        | 0        | 0        | 0        | 2.15         |
| <b>TOTAL</b> |                | <b>16888689</b> | <b>48.25</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>48.25</b> |

For DB (International) Stock Brokers Ltd.  
  
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(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No.      | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares<br>{i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} | Details of warrants |   | Details of convertible securities     |  |              | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|---|------------------|---|---------------------|---|---------------------------------------|--|--------------|--|
|              |   |                  |   | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |              |  |
| 1            | GURU KIRPA FINVEST LIMITED  | 4430091          | 12.66   | 0                   | 0   | 0                                     | 0  | 12.66        |  |
| 2            | SHARMA GANDHI HIRE PURCHASE LIMITED   | 3571106          | 10.20   | 0                   | 0   | 0                                     | 0  | 10.20        |  |
| <b>TOTAL</b> |   | <b>8001197</b>   | <b>22.86</b>  | <b>0</b>            | <b>0</b>  | <b>0</b>                              | <b>0</b>   | <b>22.86</b> |  |

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(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-------------------------|----------------------------|--|
| TOTAL   |                         | N.A.                       |  |


For DB (International) Stock Brokers Ltd.

  
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(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No.      | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|---|---------------------------|---|---|
|              | N.A.  | N.A.                      | N.A.  | N.A.  |
| <b>TOTAL</b> |   |                           |   |   |

For DB (International) Stock Brokers Ltd.

  
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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No.      | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
|              | N.A.                  | N.A.  | N.A.  | N.A.  |
| <b>TOTAL</b> |                       |   |   |   |

For DB (International) Stock Brokers Ltd.

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Company Secretary