

NOVARTIS INDIA LIMITED
SHAREHOLDING PATTERN AS ON 30.06.2015

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 500672 | Name of Scrip : NOVARTIS | Class of Security : Equity | Quarter Ended : 30.06.2015

Category Code	Partly paid up shares	Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company				
	Held by Promoter/Promoter group							
	Held by Public							
	Total	0	0.00	0.00				
	Outstanding Convertible Securities	Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities				
	Held by Promoter/Promoter group							
	Held by Public							
	Total	0	0.00	0.00				
	Warrants	Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants				
	Held by Promoter/Promoter group							
	Held by Public							
	Total	0	0.00	0.00				
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities		31960797 shares of Rs. 5/- each						
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of		Number of Shares	As a %
					(A+B) ¹	(A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)* 100
(A)	Shareholding of Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/H.U.F	0	0	0	0.00	0.00		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	0	0	0	0.00	0.00		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	0	0	0	0.00	0.00		
(2)	Foreign							
(a)	Individuals(Non Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	4	23970597	23970597	75.00	75.00		
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
e-1								
	Sub-Total (A)(2)	4	23970597	23970597	75.00	75.00		
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	23970597	23970597	75.00	75.00		
(B)	Public Shareholding							
1	Institutions							
(a)	Mutual Fund/UTI	13	160348	159675	0.50	0.50		
(b)	Financial Institutions/Banks	38	4542	2451	0.01	0.01		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	3	291961	291961	0.91	0.91		
(f)	Foreign Institutional Investors	5	94014	94014	0.29	0.29		
(g)	Foreign Venture Cap. Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other - (Foreign Portfolio - Corp.)	0	0	0	0.00	0.00		
(i-ii)								
(i-ii)								
	Sub-Total (B)(1)	59	550865	548101	1.72	1.72		
B 2	Non Institutions							
(a)	Bodies Corporate	713	1054605	1050806	3.30	3.30		
(b)	Individuals							
I	Individual-I: Individual shareholders holding nominal share capital up to Rs. 1 lakh	41859	5458132	4740280	17.08	17.08		
II	Individual-II: Individual shareholders holding nominal share capital in excess of Rs 1 lakh	17	716359	716359	2.24	2.24		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
(d-i)	NRI - Non Rep	285	117743	115311	0.37	0.37		
(d-ii)	NRI - Rep.	254	56313	55229	0.18	0.18		
(d-iii)	Foreign National	1	54	54	0.00	0.00		
(d-iv)	Overseas Corporate Bodies	0	0	0	0.00	0.00		
(d-v)	Foreign Bodies	0	0	0	0.00	0.00		
(d-vi)	Trust	7	36129	36129	0.11	0.11		
(d-vii)	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	43136	7439335	6714168	23.28	23.28		
	Total Public shareholding (B)=(B)(1)+(B)(2)	43195	7990200	7262269	25.00	25.00		
	TOTAL (A)+(B)	43199	31960797	31232866	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
2	Public							
	Sub Total (C)							
	GRAND TOTAL (A)+(B)+(C)	43199	31960797	31232866	100.00	100.00		

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 COMPANY SECRETARY



b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

No.	Name of the shareholder	Details of Shares held		Encumbered shares(**)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage (VI) = (V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NOVARTIS AG	10170445	31.82	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	31.82
2	NOVARTIS AG	8147365	25.49	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	25.49
3	NOVARTIS AG	2921800	9.14	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	9.14
4	NOVARTIS AG	2730987	8.55	0	0.00	0.00	N.A.	N.A.	N.A.	N.A.	8.55
	TOTAL	23970597	75.00	0	0.00	0.00					75.00

The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	ATUL LIMITED	384660	1.20	N.A.	N.A.	N.A.	N.A.	1.20
	TOTAL	384660	1.20					1.20

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	N.A.			N.A.	N.A.	N.A.	N.A.	N.A.
	TOTAL							

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i) (d) Statement showing details of locked in shares

S. No.	Name of shareholder	No. of locked in shares	Locked-in shares as percentage of total no. of shares (i.e. Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above)	Promoter/ Promoter Group/ Public
	N A			
	TOTAL			

i) (a) Statement showing details of Depository Receipts (DRS)

S. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N A			
	TOTAL			

i) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no. of shares

S. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above)
	N A			
	TOTAL			

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