



# SIMMONDS MARSHALL LIMITED

**Regd. Office & Factory :**  
Mumbai-Pune Road, Kasarwadi, Pune 411 034.  
Tel. : (91-020) 3078-2160 / 3078-2170  
Fax : (91-020) 3078-2195  
E-Mail : [smlpune@simmondsmarshall.com](mailto:smlpune@simmondsmarshall.com)  
CIN : L29299PN1960PLC011645

**Admn. & Sales Office :**  
Apeejay Chambers, 5, Wallace Street, Mumbai-400 001.  
Tel. : (91-022) 6633-7425 / 6633-7426 / 6633-7427  
Fax : (91-022) 6633-7433 / 6633-7434  
E-Mail : [sales@simmondsmarshall.com](mailto:sales@simmondsmarshall.com)  
Website : [www.simmondsmarshall.com](http://www.simmondsmarshall.com)

13<sup>th</sup> October, 2016

BSE LTD.  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai - 400 001

**REF: Security Code No. 507998**

**SUB: Statement on Investor Complaints under Regulation 13(3) of SEBI (LODR) Regulation, 2015 for the quarter ended 30-09-2016.**

Dear Sir,

With reference to the captioned subject, we hereby state that there was following investor complaint received & resolved during the quarter ended 30<sup>th</sup> September, 2016:

Sr. No.	Nature of Compliant	Opening Balance	Stock Exchange	SEBI	Others	Total Received	Redressed	Pending
1	NON RECEIPT OF SHARE AFTER TRANSFER	0	0	0	1	1	1	0

Kindly take the above information on your records.

We are also enclosing herewith a copy of the Certificate received from the Registrar & Transfer Agent for your information / records.

Thanking You.

Yours faithfully,  
For SIMMONDS MARSHALL LTD

  
**S. J. MARSHALL**  
**CHAIRMAN (DIN:00085682)**

Encl: As above



IATF No. 0096543  
ISO/TS 16949-2009



ISO 14001:2004  
Reg. No. 8302-E



# CERTIFICATE



JAS-ANZ



N14340999113

AN ISO 9001-2008 COMPANY

## SIMMONDS MARSHALL LIMITED.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2016 TO 30-09-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.							
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.				1	1	1	
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.							
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.							
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.							
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.							
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.							
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.							
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.							
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.							
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.							
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.							
	<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

