

Date: 27<sup>th</sup> October' 16

To,
Deputy General Manager
Corporate Relationship Department,
The Stock Exchange, Mumbai,
14<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai: 400 001

<u>Sub: Submission of Statement for Investor Compliant for Quarter 2 of FY 2016-17</u> <u>Ref: Compliance to Regulation 13 of LODR, 2015.</u>

Respected Sir,

As per Regulation 13(2) we have registered with SEBI Scores and Statement of Investor Complaints status for  $\underline{\text{Quarter ended on } 30^{\text{th}} \text{ September}, 2016}$  is as follows:

Particulars	No. of Complaints			
Pending at the Beginning of the Quarter	NIL			
Received during the Quarter	NIL			
Disposed-off during the Quarter	NIL			
Remaining unresolved at the end of the Quarter	NIL			

Thanking You,

**For Frontier Capital Limited** 

Usha Iyengar

Director

DIN: 03447551

**Frontier Capital Limited** 

7th Floor, Tower 1, Equinox Business Park, Peninsula Techno Park, LBS Marg, Kurla (west), Mumbai - 400070

CIN: L65990MH1984PLC033128

T : +91-22 33826259 F : +91-22 33826123

W: www.frontiercapital.in



27th October, 2016

To
The Manager (CRD)
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai 400 001

Dear Sir,

Sub.: Status of Investor Complaints

Scrip Code: 508980

With reference to the captioned subject, please provide us status of investor complaints received during the period from 1<sup>st</sup> July, 2016 to 30<sup>th</sup> September, 2016.

Kindly acknowledge the receipt of the same.

Thanking You,

Yours faithfully,

For Frontier Capital Limited

Úsha Iyengar Director

DIN: 03447551

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F: +91-22 33826123
W: www.frontiercapital.in



## **CERTIFICATE**



## FRONTIER LEASING & FINANCE LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS REPORT FOR THE PERIOD : 01-07-2016 TO 30-09-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	Ĩ.	i de	3	Д	200	re
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	n=	#	: <del>=</del>	9	=	1	(H
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	Xe.		28	*	A	q	100
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	:5	Ξ.	S.E.	50	+	-	i.e
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	(5)	8	8.75	190	*	=	h <del>e</del> .
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	(A)	9417	25	(B)	В	:=	: <del>.</del>
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	227	1.60	*	93)	15		ংস্থ
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	*	2	129	<u> 20</u> 0	20	95	
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	GE .	72	nii v	ú	-	Œ	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	( <b>3</b> )	14	<b>#</b>	e.	1941	8	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	*	<u>124</u>	æ	ä	E	-21	~
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	8	Ĭ.	*	T	ŭ.	*	·
	NIL COMPLAINT RECEIVED	0	0	0	0	0	0	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)

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