



Dated: 17th January, 2017

To

Manager Listing Department BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code : 533344	Manager Listing Department National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 51 Scrip Code : PFS
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Subject: Status of Investor Complaints for Equity and Bonds

Ref : Regulation 13 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015

We attach herewith a statement giving the number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter as per Regulation 13 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015 for the quarter ended December 31, 2016.

We request you to take the documents on record.

Thanking You,

For PTC India Financial Services Limited



Mr **Vishal Goyal**
(Company Secretary)

Enclosed : as above

PTC India Financial Services Ltd. (CIN: L65999DL2006PLC153373)

(A subsidiary of PTC India Limited)

Registered Office: 7th Floor, Telephone Exchange Building, 8 Bhikaji Cama Place, New Delhi - 110 066, India

Board: +91 11 26737300 / 26737400 Fax: 26737373 / 26737374, Website: www.ptcfinancial.com, E-mail: info@ptcfinancial.com

COURIER

KARVY 

KCL/RIS/PTC/2016/3

Date: January 3, 2017

THE COMPANY SECRETARY
PTC INDIA FINANCIAL SERVICES LIMITED
7th FLOOR, TELEPHONE EXCHANGE BUILDING
8 BHIKAJI CAMA PLACE
NEW DELHI -110 066

Dear Sir,

Pursuant to the requirement under the Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, Investor Grievance Report for the Quarter ended December 31, 2016, is as under;

Particulars	No.
Investor Grievances pending at the beginning of the Quarter i.e. on October 1, 2016	Nil
Investor Grievances received during the Quarter	3
Investor Grievances disposed of during the Quarter	3
Investor Grievances unresolved at the end of the Quarter i.e. on December 31, 2016	Nil


Further we confirm that there is no complaint to resolve/attend pending more than 30 days.

Non-receipt of Dividend Warrants	: 1
Non-receipt of Annual Report	: 0
Non-receipt of Securities	: 0
Non-receipt of Securities after Transfer	: 0
Non-receipt of Refund Order	: 0
SEBI/NSE/BSE Complaints	: 2

Thanking you,

Yours faithfully,

For **KARVY COMPUTERSHARE PRIVATE LIMITED**


Ramesh Desai
Manager



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032, Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636

Australia | Bahrain | Canada | Channel Islands | Germany | Hong Kong | Ireland | New Zealand | Philippines | South Africa | United Kingdom | USA

KCPL/PTCA-BOND/STATUS/2017/1
January 3, 2017

PTC INDIA FINANCIAL SERVICES LTD
SECOND FLOOR, NBCC TOWERS,
15, BHICAJI CAMA PLACE
New Delhi - 110066

Sir,

Unit: PTC INDIA FINANCIAL SERVICES LTD SERIES-I – BONDS
Sub: Investor Grievances for the period 01.10.2016 – 31.12.2016.

We are furnishing hereunder the break-up of Investor's Complaints received and redressed during the period 01.10.2016 – 31.12.2016 – for the kind perusal.

Sr.No.	Nature of Complaints	Opening Balance	Received	Attended	Pending
1	Non Receipt of Securities	0	25	25	0
2	Non Receipt of Electronic Credit	0	01	01	0
3	Non Receipt of Refund Order	0	02	02	0
4	Non Receipt of Interest warrant	0	24	24	0
	Totals	0	52	52	0

Thanking you,

Yours faithfully,
For Karvy Computershare Pvt. Limited

Umesh Pandey
Manager



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

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KCPL/PTCB-BOND/STATUS/2017/1
January 3, 2017

PTC INDIA FINANCIAL SERVICES LTD
SECOND FLOOR, NBCC TOWERS,
15, BHIKAJI CAMA PLACE
New Delhi - 110066

Sir,

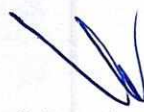
Unit: PTC INDIA FINANCIAL SERVICES LTD SERIES-II – BONDS
Sub: Investor Grievances for the period 01.10.2016 – 31.12.2016.

We are furnishing hereunder the break-up of Investor's Complaints received and redressed during the period 01.10.2016 – 31.12.2016 – for the kind perusal.

Sr.No.	Nature of Complaints	Opening Balance	Received	Attended	Pending
1	Non Receipt of Securities	0	103	103	0
2	Non Receipt of Electronic Credit	0	01	01	0
3	Non Receipt of Refund Order	0	0	0	0
4	Non Receipt of Interest warrant	0	69	69	0
	Totals	0	173	173	0

Thanking you,

Yours faithfully,
For Karvy Computershare Pvt. Limited



Umesh Pandey
Manager



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

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KCPL/PTC-BOND/STATUS/2017/1
January 3, 2017

PTC INDIA FINANCIAL SERVICES LTD
SECOND FLOOR, NBCC TOWERS,
15, BHICAJI CAMA PLACE
New Delhi - 110066

Sir,

Unit: PTC INDIA FINANCIAL SERVICES LTD NCD SERIES-IV – BONDS
Sub: Investor Grievances for the period 01.10.2016 – 31.12.2016.

We are furnishing hereunder the break-up of Investor's Complaints received and redressed during the period 01.10.2016 – 31.12.2016 – for the kind perusal.

Sr.No.	Nature of Complaints ¹	Opening Balance	Received	Attended	Pending
1	Non Receipt of Securities	0	0	0	0
2	Non Receipt of Electronic Credit	0	0	0	0
3	Non Receipt of Refund Order	0	0	0	0
4	Non Receipt of Interest warrant	0	0	0	0
	Totals	0	0	0	0

Thanking you,

Yours faithfully,
For Karvy Computershare Pvt. Limited

Umesh Pandey
Manager



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

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KCPL/PTC-BOND/STATUS/2017/1
January 3, 2017

PTC INDIA FINANCIAL SERVICES LTD
SECOND FLOOR, NBCC TOWERS,
15, BHIKAJI CAMA PLACE
New Delhi - 110066

Sir,


Unit: PTC INDIA FINANCIAL SERVICES LTD NCD SERIES-V – BONDS
Sub: Investor Grievances for the period 01.10.2016 – 31.12.2016.

We are furnishing hereunder the break-up of Investor's Complaints received and redressed during the period 01.10.2016 – 31.12.2016 – for the kind perusal.

Sr.No.	Nature of Complaints	Opening Balance	Received	Attended	Pending
1	Non Receipt of Securities	0	0	0	0
2	Non Receipt of Electronic Credit	0	0	0	0
3	Non Receipt of Refund Order	0	0	0	0
4	Non Receipt of Interest warrant	0	0	0	0
	Totals	0	0	0	0

Thanking you,

Yours faithfully,
For Karvy Computershare Pvt. Limited


Umesh Pandey
Manager



Karvy Computershare Private Limited

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