

**Dated:** 16<sup>th</sup> January, 2017

To,  
Deputy General Manager  
Corporate Relationship Department,  
The Stock Exchange, Mumbai,  
14<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai - 400001

**Sub: Submission of Statement for Investor Compliant for Quarter 3 of FY 2016-17**

Ref: Compliance to Regulation 13 of LODR, 2015.

Respected Sir,

As per Regulation 13(2) we have registered with SEBI Scores and Statement of Investor Complaints status for 3<sup>rd</sup> Quarter ended on 31<sup>st</sup> December, 2016 is as follows:

Particulars	No. of Complaints
Pending at the Beginning of the Quarter	NIL
Received during the Quarter	1
Disposed-off during the Quarter	NIL
Remaining unresolved at the end of the Quarter	1

Thanking You,

**For Frontier Capital Limited**

  
**Usha Iyengar**  
Director  
DIN: 03447551



**Frontier Capital Limited**

7th Floor, Tower 1, Equinox Business Park,  
Peninsula Techno Park, LBS Marg, Kurla (west),  
Mumbai - 400070

CIN: L65990MH1984PLC033128

T : + 91-22 33826259  
F : + 91-22 33826123  
W : www.frontiercapital.in

**Dated:** 16<sup>th</sup> January, 2017

To,  
The Manager (CRD)  
BSE Limited,  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400001

**Sub.: Status of Investor Complaints**

**Scrip Code: 508980**

Dear Sir/Madam,

Please find attached herewith the statement of Grievance Redressal Mechanism as per Regulation 13 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the quarter ended 31st December, 2016.

Kindly acknowledge the same.

Thanking You,

Yours faithfully,

**For Frontier Capital Limited**



**Usha Iyengar**  
Director  
DIN: 03447551



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# CERTIFICATE



AN ISO 9001-2008 COMPANY

## FRONTIER CAPITAL LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2016 TO 31-12-2016

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUNTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	<b>NIL COMPLAINT RECEIVED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)