

HO: FINANCE DIVISION (SHARE DEPARTMENT), 5,SANSAD MARG, NEW DELHI-110001
Tel Nos : 011-23708257 ,011-23766079, E-mail : hosd@pnb.co.in

SDFD/SE/40(10)

October 12, 2017

Script Code : PNB	Script Code : 532461
The Asstt. Vice President National Stock Exchange of India Limited "Exchange Plaza", Bandra – Kurla Complex, Bandra (E), Mumbai – 400 051	The Dy. General Manager Bombay Stock Exchange Limited 1 st Floor, Phiroze Jeejeebhoy Towers Dalal Street, Mumbai – 400 001


Dear Sir,

Reg : Compliance certificate for transfer activities done during the period period 01.04.2017 to 30.09.2017 under Regulation 40(10) of the Securities and Exchange Board of India (SEBI) (Listing Obligations & Disclosure Requirements) Regulations 2015

Please find enclosed compliance certificate dt. 12.10.2017 issued by Ms. Ashu Gupta Practicing Company Secretary, in terms of Regulation 40(9) of Securities and Exchange Board of India (SEBI) (Listing Obligations & Disclosure Requirements) Regulations 2015.

Thanking you,

Yours sincerely,



(Balbir Singh)
Company Secretary

Encl: as above.





Ashu Gupta & Co.

COMPANY SECRETARIES

204A, Second Floor, 23, S.D.C. Building

Opp. DLF Tower, Shivaji Marg

New Delhi-110 012

Tel. : 011- 65569127, 45700331 Mob. : 9899071742

E-mail : ashugupta.es@gmail.com

The Board of Directors
Punjab National Bank
7, Bhikhaji Cama Place,
New Delhi-110607

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2017

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, records and other documents relating to **Punjab National Bank** (herein after referred to as Company) having its registered office at 7, Bhikhaji Cama Place, New Delhi-110607 maintained by **M/s Beetal Financial & Computer Services (P) Ltd**, Registrar & Share Transfer Agent having office at Beetal House, 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi-110062, pertaining to transfer of equity shares of the Company for the period from **1st April, 2017 to 30th September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (listing Obligations and Disclosures Requirements) Regulations, 2015 and based on such examination as well as information and explanation furnished to me, which to the best of my knowledge and belief were necessary for the purposes of certification, I hereby certify that the Company has delivered during half year ended on 30th September, 2017:

- A) Share Certificate relating to the Share Transfer Deeds received during the period as entered in the Memorandum of Transfers, have been issued within 15 days from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Requests received for consolidation, sub-division, replacement, spilt & issue of duplicate certificate during the period have been effected within stipulated period from the respective date of lodgment excepting those rejected on technical grounds.

Place: New Delhi
Date: 12.10.2017

For Ashu Gupta & Co
Company Secretaries

(Ashu Gupta)

Prop.

C.P. No. 6646