

Date: 14/10/2017

The Head- Listing Compliance	The Head- Listing Compliance	The Manager
BSE Limited, PhirozeJeejeebhoy Towers, Dalal Street, FortMumbai- 400001	National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400051	The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001
Security Code: 511611	Stock Code: DCMFINSERV	

Subject: <u>Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Dear Sir/Ma'am,

We are appending below the Compliance Certificate for the halfyear ended30<sup>th</sup>September, 2017received from our Registrar and Share Transfer Agent, MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

Kindly take it on record.

FOR DCM FINANCIAL SERVICES LIMITED

(CS Srishti Singh)

Company Secretary and Compliance officer

## DCM FINANCIAL SERVICES LIMITED

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020
Tel-011-26387750 email ID: info@dfslonline.com
Website: www.dfslonline.com

## COMPLIANCE CERTIFICATE

[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]

We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65, Okhla Industrial Area, Phase-I, New Delhi - 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. DCM Financial Services Limited is adopting "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended September 30, 2017 i.e. for the period from April 1, 2017 to September 30, 2017 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited

Company Secretary

Date: 06.10.2017 Place: New Delhi For MCS Share Transfer Agent Limited

S Biswas Director