

# CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3<sup>rd</sup> Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 [www.ctcl.co.in](http://www.ctcl.co.in) [ctclbse@gmail.com](mailto:ctclbse@gmail.com) CIN: L51909MH1980PLC231713

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Date: 13/10/2017

To,  
The Manager,  
Department of Corporate Services,  
BSE Ltd.  
Dalal Street, Fort,  
Mumbai – 400 001


Dear Sir/Madam,

Sub:- Compliance Certificate pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015  
Ref : Scrip Code 504340

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a certificate, duly signed by Authorised Representative of Purva Sharegistry (India) Pvt. Ltd. Share Transfer Agent and Compliance Officer of the Company, for the half year ending on 30<sup>th</sup> September, 2017.

This is for your information and records.

Thanking You  
Yours Faithfully  
**For Confidence Finance and Trading Limited**

  
*Anikshab*  
**Aniksha Bhatiwara**  
Company Secretary

Encl: as above

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## COMPLIANCE CERTIFICATE PURSUANT TO REGULATION 7 (3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED ON 30<sup>th</sup> SEPTEMBER, 2017

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., Purva Sharegistry (India) Pvt. Ltd. ("RTA"), having its Registered office at Unit no. 9 Shiv Shakti Ind. Estt. J.R. Boricha marg Opp. Kasturba Hospital Lane Lower Parel (E) Mumbai 400 011 and who are registered as Registrar & Transfer Agent in category-I with the SEBI vide Registration Number INR00000112.

For Confidence Finance And Trading Limited

For Purva Sharegistry (India) Pvt. Ltd



*Amiksha*  
Amiksha Bhatiwara  
Company Secretary

*V.B. Shah*  
V.B. Shah  
Authorized Representative



Date: 11<sup>th</sup> October, 2017