

Corp. Office 2nd Floor, 'Dorr Oliver' House, B. D. Sawant Marg, Chakala, Andheri (East), Mumbai - 400 099.

Tel.: (91-22) 6726 1000 Fax: (91-22) 6726 1068 Website: www.gufic.com

CIN L65990MH1984PLC033519

94/OCT/SE/2017/GBSL

25th October, 2017

To,
Listing Department,
Bombay Stock Exchange Limited,
Phiroze Jeejabhoy Towers, Dalal
Street,
Mumbai – 400 001
Security Code:509079

To,
Listing Department,
National Stock Exchange of India
Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Security Code: GUFICBIO

Dear Sir,

Sub.: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Gajanan D. Athavale, Practicing Company Secretary, Mumbai for the half year ended 30th September, 2017.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For Gufic Biosciences Limited

Ami Shah Company Secretary Mem No. A39579

Encl: A/a

Regd. Off.: 37, First Floor, Kamala Bhavan II, S. Nityananad Road, Andheri (East), Mumbai - 400 069 Factory: National Highway No. 8, Near Grid, Kabilpore, Navsari - 396 424 * Tel. No.: (0263)329424/239946

C-01, Gurukrupa, Opp. Plaza Theatre, N.C. Kelkar Road, Dadar (West), Mumbai - 400028. E: gajanan@aathavale.com U: www.aathavale.com M: 91- 9870567711 S:live:gajanan.athavale

CERTIFICATE

[Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.]

To, The Board of Directors, Gufic Biosciences Limited (CIN L65990MH1984PLC033519) Mumbai.

> BSE Scrip Code: 509079 NSE Scrip Code: GUFICBIO ISIN: INE742B01025

Based on reports of M/s. Link Intime India Pvt. Ltd., the Company appointed RTA dated 08 October 2017, which was further supported by the management representation letter dated 18 October 2017; in that respect, I hereby certify that during the period starting from 01 April 2017 and ending with 30 September 2017, neither the Company nor its afore referred appointed RTA received any request for transfer / transmission / name deletion / sub-division / consolidation / renewal, etc., in respect of the physical shares holding, except electronic share transfer and / or request for DEMAT / REMAT, in respect of which the certified reconciled depository position is provided by the Company appointed RTA by their letter dated 08 October 2017, as of 30 June 2017 and 30 September 2017.

For Gajanan D. Athavale Company Secretaries

> Gajanan D. Athavale Proprietor

Membership No. FCS-9177 Certificate of Practice: 10121

Place: Mumbai

Date: 18 October 2017