

94/OCT/SE/2017/GBSL

25th October, 2017

To,
Listing Department,
Bombay Stock Exchange Limited,
Phiroze Jeejabhoy Towers, Dalal
Street,
Mumbai – 400 001
Security Code :509079

To,
Listing Department,
**National Stock Exchange of India
Limited**
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Security Code : GUFICBIO

Dear Sir,

**Sub.: Submission of Certificate under Regulation 40(9) of SEBI (Listing
Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Gajanan D. Athavale, Practicing Company Secretary, Mumbai for the half year ended 30th September, 2017.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For Gufic Biosciences Limited



Ami Shah
Company Secretary
Mem No. A39579



Encl : A/a



CERTIFICATE

[Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.]

To,
The Board of Directors,
Gufic Biosciences Limited (CIN L65990MH1984PLC033519)
Mumbai.

BSE Scrip Code: 509079
NSE Scrip Code: GUFICBIO
ISIN: INE742B01025

Based on reports of M/s. Link Intime India Pvt. Ltd., the Company appointed RTA dated 08 October 2017, which was further supported by the management representation letter dated 18 October 2017; in that respect, I hereby certify that during the period starting from 01 April 2017 and ending with 30 September 2017, neither the Company nor its afore referred appointed RTA received any request for transfer / transmission / name deletion / sub-division / consolidation / renewal, etc., in respect of the physical shares holding, *except electronic share transfer and / or request for DEMAT / REMAT, in respect of which the certified reconciled depository position is provided by the Company appointed RTA by their letter dated 08 October 2017, as of 30 June 2017 and 30 September 2017.*

For Gajanan D. Athavale
Company Secretaries

Gajanan D. Athavale
Proprietor
Membership No. FCS-9177
Certificate of Practice: 10121



Place: Mumbai
Date: 18 October 2017